

Eigenforms, Interfaces and Holographic Encoding

Toward an Evolutionary Account of Objects and Spacetime

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> Context • The evolution of perceptual systems and hence of observers remains largely disconnected from the question of the emergence of classical objects and spacetime. This disconnection between the biosciences and physics impedes progress toward understanding the role of the “observer” in physical theory. **> Problem** • In this article we consider the problem of how to understand objects and spacetime in observer-relative evolutionary terms. **> Method** • We rely on a comparative analysis using multiple formal frameworks. **> Results** • The eigenform construct of von Foerster is compared to other formal representations of observer–environment interactions. Eigenforms are shown to be encoded on observer–environment interfaces and to encode fitness consequences of actions. Space and time are components of observational outcomes in this framework; it is suggested that spacetime constitutes an error-correcting code for fitness consequences. **> Implications** • Our results contribute to an understanding of the world in which neither objects nor spacetime are observer-independent. **> Constructivist content** • The eigenform concept of von Foerster is linked to the concepts of decoherence and holographic encoding from physics and the concept of fitness from evolutionary biology. **> Key words** • Active inference, boundary, conscious agent, icon, Markov blanket, redundancy.

PHYSICS CONCEPTS IN SECOND-ORDER CYBERNETICS

Introduction

« 1 » Heinz von Foerster (1976) introduced the eigenform and eigenbehavior concepts by considering an agent that both observes and acts on a surrounding world: an *eigenform* is an observation that remains invariant, in the limit of long interaction time, under some class of behaviors, while an *eigenbehavior* is an action that, in the same limit, leaves some eigenform invariant. These concepts naturally suggest an abstract picture in which the eigenbehavior continually reproduces the eigenform, independently of any other features or dynamics of the world. In this picture, eigenform and eigenbehavior compose a single reflexive system; all other aspects of the world can be neglected. Louis Kauffman has shown, conversely, that all such reflexive systems have eigenforms and eigenbehaviors as invariants. Kauffman elevates the reflexivity of

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such self-reproducing eigenform-eigenbehavior systems to a principle of cosmology:

“The Universe is constructed in such a way that it can refer to itself [...] the universe can pretend that it is two and then let itself refer to the two, and find that it has in the process referred only to the one, that is, itself.” (Kauffman 2009: 134)

This formulation makes explicit an important point: that there is no difference in *substance*, and hence no metaphysical dualism, between agent and environment.

« 2 » Here we pursue the notion of an eigenform not from the perspective of an abstract reflexive system, but rather from von Foerster’s original perspective of an agent that observes and acts on its world, a world that can be taken to be the rest of the Universe in which the agent is embedded. We impose, in other words, an “epistemic cut” in the sense used by John von Neumann (1955)

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or Howard Pattee (2001) between agent and world for the purposes of theory construction. It is from this perspective that an eigenform becomes, or perhaps better, *serves* as an object that the agent observes and acts with respect to. This agent-centered perspective, when combined with the essential external perspective of the theorist, allows us to consider the ecological situation of an agent for whom every observation presents multiple objects, every object allows multiple actions, and every pairing of an object with an action has consequences that may be good or bad for the agent. We compare the description of this situation in terms of eigenforms to its description in two independently developed formal representations of the agent-world interaction: the conscious agent formalism of Donald Hoffman and Chetan Prakash (2014) and the Markov blanket formalism of Judea Pearl (1988) as applied to biological systems by Karl Friston

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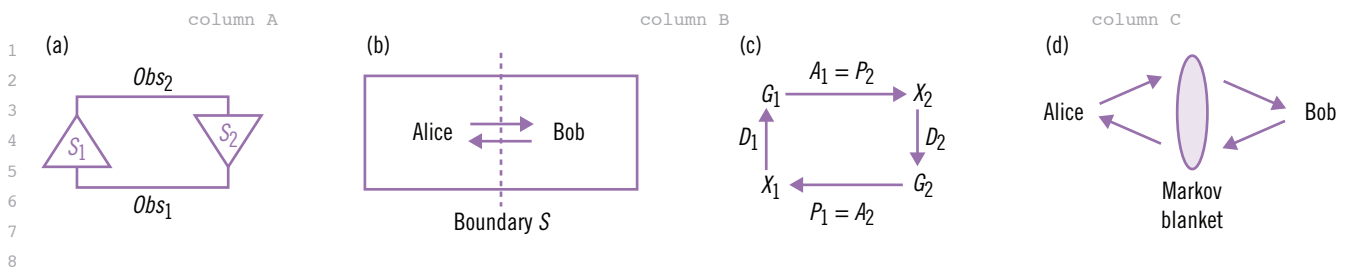


Figure 1 • Four representations of two-agent, or alternatively, agent-environment interaction. (a) Two agents S_1 and S_2 , here depicted as computational processes, exchange observations Obs_1 and Obs_2 (adapted from Foerster 1976: 94). (b) Two agents, or alternatively two classical black boxes, Alice and Bob exchange inputs and outputs across a boundary S that is in principle arbitrarily movable as described in Fields (2016). Alice's outputs are Bob's inputs and vice versa. (c) Two conscious agents as defined by Hoffman and Prakash (2014) act on each other. Here X_1 and X_2 and G_1 and G_2 are measurable spaces representing the experiences and available actions, respectively, of the two agents; D_1 and D_2 , P_1 and P_2 , and A_1 and A_2 are Markov kernels representing the decision processes, perceptions, and executed actions, respectively, of the two agents. (d) Two agents interact via an intervening Markov blanket as described in Friston (2013). Arrows represent Markov processes.

(2013). In both of these latter representations, the agent's observations and actions "pass through" a boundary or *interface* that separates the agent – even if this separation is purely notional – from its observed world. We show that eigenforms can be regarded as "icons" specifying possible interactions that are encoded on this interface. We then suggest that this notion of an encoding of information about possible interactions on an interface is in fact very general, by showing that it corresponds to the notion of holography developed within quantum information theory. In this case, the encoding can be regarded as "recorded" by the process of quantum decoherence, confirming the close relationship between the eigenform concept and quantum theory already suggested by Kauffman (2003, 2011).

« 3 » Considering eigenforms as encodings of information for a particular agent on that agent's interface with its observed world allows us to ask what information an eigenform encodes. If perceived "objects" are tokens for eigenforms, what is their informational role? The Interface Theory of Perception (ITP) of Hoffman, Manish Singh and Prakash (2015) provides a *prima facie* surprising answer: that "objects" do not encode information about the ontological or causal structure of the world, but rather information about the structure of the fitness function that relates the agent to the world. This information is object-relative, but not object-specific: an interaction with one object can have fitness consequences that affect interactions with other objects. An eigenform, in other words, encodes infor-

mation not just about its own stability, but also about the stability of other eigenforms. What kind of encoding, we then ask, can have this property? We suggest that spacetime itself, including both the space in which objects appear to be embedded and the time over which they appear to persist, is a relational, error-correcting code for the fitness consequences of interactions. The forms and locations of "objects" in "space" encode probabilistic information about what future interactions with these or other objects, if they occur at all, may be like. The persistence of an "object" in "time" encodes the robustness of the corresponding eigenform as an attractor. Eigenforms have evolved, we argue, to make this encoding of future consequences as precise as possible given the energetic and other resource constraints of the encoding interface.

The interface

« 4 » As von Foerster recognized, a reflexive model escapes solipsism when the "world" or "environment" of each agent includes other agents, or in the limit is another agent (e.g., Foerster 1960). Such a two-agent model is shown in [Figure 1a](#); here two agents S_1 and S_2 exchange observations Obs_1 and Obs_2 (Foerster 1976: 94). From the perspective of either agent, the other agent is its entire "world" and every observation appears to be an observation of this entire world; there is nothing else with which the agent interacts, and hence nothing else that it observes. It is only from the perspective

of a theorist describing the overall situation "from the outside" that the two agents and their exchange of observations within the closed-loop system can be made explicit.

« 5 » The closed-loop, two-agent exchange in [Figure 1a](#) involves an apparent paradox: each agent receives information from the other, so the total information in a two-agent system appears to increase. Any such increase in a closed system, as von Foerster (1960) notes, appears to violate the 2nd law of thermodynamics. Indeed, any agent, as a self-organizing system, must "eat energy and order from its environment" (Foerster 1960: 36) in order to survive; from the perspective of any such agent, the order in its environment must decrease as it is "eaten." The environment of either agent in [Figure 1a](#) is the other agent; hence each agent must perceive the other as *losing* information. It is here that the difference between the agents' and the theorist's perspectives becomes critically important. As Max Tegmark (2012) remarks in a similar context, neither agent has observational access to the total entropy of the two-agent system (neither agent has the theorist's perspective); neither agent can get "outside" the system to measure the total entropy. The total entropy of the two-agent system could be zero, as indeed it would be if the agents were quantum-mechanical systems with an entangled joint state (in this case, each agent would see itself communicating, but an outside observer would see no communication as discussed further below). It is only the agents' principled lack of observational access to the system in which they are embedded that allows each agent to con-

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1 sider itself to be gaining information at the
2 expense of its environment. Hence the sec-
3 ond law is respected from each agent's indi-
4 vidual perspective. This comports well with
5 the probabilities that appear in the second
6 law's being subjective, not objective.

7 « 6 » The lack of observational access
8 that rescues [Figure 1a](#) from paradox has a
9 second important consequence: the envi-
10 ronment of each agent becomes a classical
11 black box, a system to which observers have
12 only external access. More formally, a clas-
13 sical black box is a system about which no
14 observer can have more (non-hypothetical)
15 information than is contained in a finite
16 list of finite-length bit strings representing
17 observed input-output transitions (Ashby
18 1956; for a recent review, see Fields [2016a](#)).
19 Because neither agent can see “inside” the
20 black box of its environment – this is, after
21 all, what “no observational access” means –
22 neither agent knows what its environment
23 contains. The two agents of [Figure 1a](#) can,
24 therefore, also be represented as two inter-
25 acting black boxes; we give them their tra-
26 ditional names Alice and Bob ([Figure 1b](#); cf.
27 the similar construction of Ranulph Glan-
28 ville 1982: [Figure 5](#), where the theorist's per-
29 spective is made explicit). Alice gives inputs
30 to the unknown system Bob and receives
31 outputs in return; the situation is the same
32 from Bob's point of view. Edward Moore's
33 (1956) theorem assures that neither Alice
34 nor Bob can determine the complete state
35 space or dynamics of the other from finite
36 input-output observations (see Fields 2013,
37 2016 for extensive discussion). Either must,
38 therefore, regard the other as a “non-trivial
39 machine,” i.e., as a system whose behavior is
40 unpredictable in principle as von Foerster
41 (1973) emphasizes. Principled unpredict-
42 ability is considered by some to indicate
43 autonomy or “free will” and hence agency
44 from the perspective of external observers
45 (e.g., Conway & Kochen 2006; Fuchs 2010;
46 Fields 2013); even infants associate agency
47 with behavioral unpredictability (e.g., Luo
48 & Baillargeon 2010; Csibra & Gergely 2012).
49 Any black box can, on this view, be consid-
50 ered to be or at least contain an agent. The
51 inability of any observer of a black box to
52 determine where in the box an enclosed
53 agent is, or how much of the box the en-
54 closed agent occupies is what allows the lim-
55 iting case in which the other agent is the box

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(Fields [2016a](#)), and is hence what allows the
two-agent representation in [Figure 1a](#).

« 7 » The position of the boundary S
separating Alice from Bob in [Figure 1b](#) is,
like the total entropy of the joint Alice+Bob
system, definable only from the “god's eye”
perspective of the theorist. Moving the
boundary changes the “sizes” of Alice and
Bob and hence their definitions as “sys-
tems.” It also changes what “counts” for each
of them as an input or an output. However,
moving the boundary S changes nothing
about the relationship of mutual exchange
between Alice and Bob, and indeed nothing
about the behavior of the joint system they
compose. This invariance under changes in
the positions of boundaries drawn by theo-
rists is built deeply into the formalisms of
both classical and quantum physics (Fields
[2016a](#)); it is, indeed, this invariance that al-
lows theorists to choose “systems of inter-
est” arbitrarily. It is implicit in von Foerster's
(1976) and Kauffman's (2009) reduction of
the agent-environment dynamics to the re-
flexive dynamics of a single, unitary system.
The Alice–Bob boundary being arbitrarily
movable means that Alice and Bob do not
know, and cannot determine, where in the
joint system their mutual boundary is. Each
can only locate the boundary from her or his
own perspective; the “god's eye” perspective
needed to locate it within the joint system
is unavailable. Not only can they not ob-
serve the “interior” of their interaction part-
ner/environment, they cannot observe the
boundary separating themselves from their
partner/environment. All that either Alice
or Bob can observe is the sequence of “in-
puts” that cross their respective boundaries
from their respective environments. These
sequences of inputs are the totality of their
perceptual, as opposed to internally gener-
ated or introspective, experiences.

« 8 » As agents, Alice and Bob not
only perceive, but also act; eigenforms are
fixed points of and hence encode regulari-
ties in the perception-action relationship.
Why should such regularities exist? From
the theorist's point of view, eigenforms
are inevitable, as shown by von Foerster
(1976) and made more explicit by Kauff-
man (2003, 2009). Such a proof does not,
however, say *which* eigenforms are inevita-
ble. From an agent's perspective, an eigen-
form is an *eigenpercept*, a percept that does

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not change when the “right” action – the
eigenbehavior – is executed. Such an ei-
genpercept has persistence over time *if* the
right action is taken; the wrong action may
lead to its disappearance. An autonomous
agent must *choose* the right action to take in
any particular circumstance, i.e., given any
combination of current state and current
percept. To the eigenform-eigenbehavior
concept, therefore, we may add the notion
of an *eigendecision*, the decision to execute
the eigenbehavior that results in renewal
of the eigenform. While autonomy in the
non-trivial machine sense inferred above
is somewhat abstract, a requirement for au-
tonomous decision-making at least suggests
an awareness of potential consequences and
hence consciousness.

« 9 » A minimal formal model of a con-
scious agent (CA) that experiences percep-
tual input from the world W in which it is
embedded, decides between possible actions
to take on the basis of that input, and then
executes the selected action on W has been
developed by Hoffman and Prakash (2014),
who show that this minimal model is com-
putationally universal. They propose as the
thesis of “conscious realism” that the world
 W can always be considered to itself be a
CA; in this case, the agent-world interaction
can be represented as in [Figure 1c](#) (adapted
from Hoffman and Prakash 2014: [Figure 2](#)).
Conscious realism incorporates, clearly, the
assumption discussed above that the limit in
which the other agent “fills” the entire en-
vironment exists. As in the case of a black-
box agent, this assumption can be stated as
a claim about observational access: no agent
can demonstrate by observation that its en-
vironment or any component thereof is not
also a conscious agent. Conscious realism
makes each agent's action the other agent's
perception in [Figure 1c](#), just as they are in
Figures 1a and 1b. In either agent's case, the
space X of experiences contains all of the
information on which its choices of actions,
which are assumed to be autonomous and
hence “free,” may be based, including any
memories, values, goals, or other introspec-
tively accessible content. It is important to
emphasize that a CA does not experience
the operations P , D or A , but only the ele-
ments of the experience space X ; an account
of how experiences are “written on” X is dis-
cussed below.

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1 « 10 » The analog in [Figure 1c](#) of the
2 arbitrarily-movable inter-agent boundary S
3 in [Figure 1b](#) is the purely notional point at
4 which Alice's action A becomes Bob's per-
5 ception P and vice versa. Consistent with
6 the discussion above, this point is invisible.
7 From Bob's perspective, Alice acts directly
8 on his experience space X_{Bob} ; similarly for
9 Alice. We can, therefore, simply identify the
10 two oriented surfaces of the boundary S ,
11 the surface facing Bob and the surface fac-
12 ing Alice, with the experience spaces X_{Bob}
13 and X_{Alice} respectively. In this case, Alice
14 and Bob each act outwardly, through their
15 own experience spaces, on the experience
16 space of the other. Note that making this
17 identification of the two surfaces of S with
18 the experience spaces X_{Bob} and X_{Alice} renders
19 Alice and Bob neither "open" nor "closed" in
20 the mereotopological sense (Smith 1996);
21 Alice and Bob rather share a single bound-
22 ary that "belongs" to neither of them (for
23 further discussion of this point, see Fields
24 2014). Treating each agent's outward action
25 on the other agent as experienced by the
26 agent performing the action requires giving
27 the space X a structure that allocates some
28 part of X for the recording of at least short-
29 term memories of executed actions. Record-
30 ing each action as it is executed, even if this
31 record is "forgotten" immediately thereafter,
32 is the minimal requirement for experienced
33 learning and hence for experientially under-
34 standing or expecting anything about the
35 environment. It is, similarly, the minimal re-
36 quirement for any experience of acting, i.e.,
37 of *being an agent*.

38 « 11 » The idea that interacting agents
39 interact via a shared, epistemically impen-
40 etrable boundary has been formulated inde-
41 pendently by Friston (2013), who provides
42 an analog, using Pearl's (1988) Markov blan-
43 ket formalism, of the von Foerster-Kauff-
44 man demonstration that eigenforms are
45 inevitable. A Markov blanket is a collec-
46 tion of nodes, such that knowing the state
47 of this collection renders the states of two
48 sets of nodes interacting only via the blan-
49 ket conditionally independent ([Figure 1d](#)).
50 Pearl (1988) shows that a Markov blanket
51 appears whenever a random dynamical sys-
52 tem is factored into parts (see Friston 2013
53 or Friston et al. 2015 for more informal dis-
54 cussions). The blanket effectively encodes
55 information about how the actions of one

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system affect the state of the other; it thus
"translates" Alice's actions into Bob's percep-
tions and vice-versa, just as the boundary S
does in [Figure 1b](#). It plays the role that von
Foerster (1979) assigns, in a very general
sense, to language. Either agent's interac-
tions with its own surface of the blanket can
be described in terms of Bayesian "active
inference," in which the agent can choose,
given any percept, either to alter its expecta-
tions about the world, i.e., about the prob-
abilities of future percepts, or to act in some
way that changes the percept (Friston 2010;
2013). This conceptualization of the agent's
potential responses to a percept has led to
architectural predictions in both neuro-
science (Adams, Friston & Bastos 2015) and
developmental biology (Friston et al. 2015).

« 12 » The idea that perceptions, in the
broad sense of informational inputs from
the world, appear on a "surface" separating
an agent from the world on which it acts – a
surface that not only presents information
and enables action, but also blocks further
epistemic access to what is on the other side
– immediately suggests a familiar analogy:
the user interface of a computer. Like the
surface S in [Figure 1b](#), the user interface of
a computer presents *all* of the information
about the computer's internal state that the
user can access without disrupting the com-
puter's function. User interfaces provide
highly abstracted representations of the
computer's internal state, each of which al-
lows a circumscribed set of possible actions.
They systematically hide not just the be-
havioral complexity, but the entire physical
and causal structure of the computer. User
interfaces are, moreover, ambiguous about
this structure by design: as with any virtual
machine (Smith & Nair 2005), platform in-
dependence is a major component of a user
interface's utility. Computer programs are by
no means alone in having these properties;
as Willard Van Orman Quine (1960; see also
Quine 1970) points out, all human natural
languages have them. If a model-theoretic
approach to semantics (Tarski 1944) is
adopted, all "languages" of any kind have
them. A computer's user interface, however,
obviously has them, which is what makes it a
particularly good analogy.

« 13 » The Interface Theory of Percep-
tion (Hoffman, Singh & Prakash 2015)
challenges the still-dominant assumption

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that human perception is at least approxi- 1
mately veridical (e.g., Marr 1982; Palmer 2
1999; Geisler & Diehl 2003; Trivers 2011; 3
Pizlo, Li, Sawada & Steinman 2014) with the 4
claim that human perception and action are 5
interactions with a "user interface" formed 6
of conscious experience that systemati- 7
cally hides both the ontology and the causal 8
structure of the world. As stable action-per- 9
ception associations, eigenforms "live on" 10
this interface. The icons and windows of a 11
computer interface are placed there by de- 12
signers. There is, however, no "designer" in 13
ITP. We discuss in the next section how in- 14
formation can be encoded on an interface by 15
the process of information exchange itself. 16

Holographic encoding

« 14 » Objects as spatially bounded, 21
temporally persistent, internally cohesive, 22
causally independent entities are simply 23
taken for granted as part of the "classical 24
worldview" (roughly corresponding to what 25
Edmund Husserl 2012 called the "natural 26
attitude") on which human material culture 27
is largely based. This classical conception of 28
objecthood is so critical to ordinary human 29
cognition that it is widely regarded as innate 30
(e.g., Spelke 1994; Baillargeon 2008). Albert 31
Einstein viewed the boundedness, persis- 32
tence and causal independence of objects 33
as critical to science, claiming that "without 34
such an assumption of the mutually inde- 35
pendent existence (the "being-thus") of spa- 36
tially distant things, an assumption which 37 203
originates in everyday thought, physical 38
thought in the sense familiar to us would not 39
be possible" (quoted in Fuchs & Stacey 2016: 40
6). Niels Bohr (1928, 1958) emphasized that 41
items of laboratory apparatus must be re- 42
garded as classical objects if the notion of an 43
"observational outcome" is to make sense. 44
Eugene Wigner's (1962) "friend" paradox 45
nicely illustrates the consequences, within 46
the classical worldview, of not treating other 47
observers as bounded, persistent objects: 48
they not only lose any claim to conscious- 49
ness and hence observerhood, they become 50
entangled with the rest of the world and ef- 51
fectively disappear. 52

« 15 » The assumptions of epistemic 53
transparency and objective persistence over 54
time underlying the classical worldview 55

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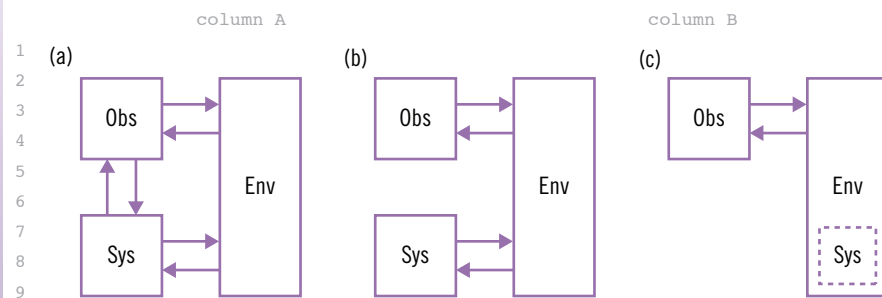


Figure 2 • Three views of decoherence. (a) An observer (Obs) prepares and measures a quantum system (Sys). Both independently interact with a large surrounding environment (Env), which renders their states effectively classical by a decoherence mechanism (e.g., ambient photon scattering). (b) The “environment as witness” formulation of Ollivier, Poulin & Zurek (2004, 2005), in which the observer interacts with the system only via the “witnessing” environment. This environment decoheres the system but interacts effectively classically with the observer. (c) If the assumption of environmental transparency is rejected, the environment becomes a black box. In this case, the system is completely embedded within it in a way that provides the observer with no access to the system-environment boundary. In this case, decoherence can only be defined at the observer-environment boundary.

have been criticized at least since Heraclitus. Quantum theory, however, forcefully raises the question of how it could even be possible to experience spatially bounded, temporally persistent, internally cohesive, causally independent entities. While some physicists still reject it (e.g., Ghirardi, Rimini & Weber 1986; Penrose 1996; Weinberg 2012), unitary quantum theory with no scale-dependent physical “collapse” mechanism is increasingly supported by both experiments (e.g., Eibenberger et al. 2013; Hensen et al. 2015; Manning, Khakimov, Dall & Truscott 2015; Rubino et al. 2017) and theoretical considerations (e.g., Schlosshauer 2006; Tegmark 2012; Saini & Stojkovic 2015; Susskind 2016). In unitary quantum theory, the universe is permanently in an entangled state; there are no classical objects. While the appearance of classicality in such a universe is given multiple explanations (for overviews, see Landsman 2007; Wallace 2008), since the 1980s most have appealed in some way to a process of *decoherence*, i.e., an apparent removal of quantum coherence that results in an apparently classical object in an apparently classical state (for reviews, see Zurek 2003; Schlosshauer 2007).

«16» Three views of the decoherence process are shown in Figure 2. In the original environment-induced decoherence process of Dieter Zeh (1970, 1973), an “en-

vironment” such as a macroscopic apparatus or the ambient photon field interacts continuously with both the observer and the system being observed (Figure 2a; cf. Tegmark 2012; Figure 2). This interaction effectively removes quantum coherence from both observer and system by spreading it over the many unobserved – and in practice unobservable – states of the environment (formally, the degrees of freedom of the environment are traced over). With both observer and observed system now in effectively classical states (formally, eigenstates of their respective interaction Hamiltonians with the environment), both the preparation and measurement interactions are effectively classical. As pointed out by Harold Ollivier, David Poulin and Wojciech Zurek (2004, 2005), however, observers typically interact with systems of interest only via an apparatus or an ambient field such as the photon field (Figure 2b; cf. Ollivier, Poulin & Zurek 2005; Figure 1). This intervening environment serves as a “witness” that both decoheres the system and encodes information about its state (formally, information about the eigenstates of the system-environment interaction Hamiltonian) in a way that is accessible to the observer – indeed, to multiple independent observers – via an effectively classical interaction. In this picture, the witnessing environment

“does all the work” of observation; the human observers read their observational outcomes off from the environment in the same way that they would read them out of a shared or multiply copied book. While the indirectly observed “system” is quantum, the directly observed components of the environment constitute, in this case, an effectively classical object that stands between the observer and the quantum system of interest.

«17» The environment-as-witness formulation of decoherence assumes that the observer knows and can characterize the system-environment boundary; the intervening environment is, in other words, assumed to be at least epistemically “transparent.” What happens if this assumption of a transparent environment is rejected? In this case, the environment becomes a black box. Any “systems” are contained fully within it, in such a way that their boundaries, if they have them, are observationally inaccessible (Figure 2c; cf. Fields 2016a; Figure 1). From the observer’s perspective, it is completely consistent with all available observational outcomes to treat the “system” as expanding to fill the entire “environment” (formally, system and environment are in an entangled quantum state and so cannot be assigned quantum states individually); this is precisely the limiting case discussed above. If the system-environment boundary cannot be defined, however, a decoherence interaction between system and environment cannot be defined either (Fields 2012). Decoherence can, in this case, only be defined at the observer-environment boundary, i.e., at the interface characterized above. This process is illustrated in Figure 3. The quantum state Ψ “passes through” the interface to produce an observational outcome x_i . This outcome is defined at the observer-environment boundary (formally, it is an eigenvalue of the observer-environment interaction Hamiltonian). If receiving the observational outcome x_i is to have any determinate effect on the observer, e.g., if it is to be an input to a decision process that selects a next action to perform, then it must be a classical outcome. To characterize x_i as classical is just to say that decoherence actually happens; hence it is to say that the observer-environment interaction actually occurs from the perspectives of both observer and environment. A

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1 classical outcome can be recorded as a clas-
 2 sical bit string, e.g., a finite sequence of bi-
 3 nary numbers; indeed it must be recorded
 4 in a thermodynamically irreversible way
 5 if it is to be considered to have a causal ef-
 6 fect (Landauer 1961, 1999; Bennett 2003).
 7 Where is it encoded? In the CA model, it is
 8 encoded on the space X of experiences. As
 9 discussed above, this space X can simply be
 10 identified with the interface. Hence, we can
 11 regard the classical observational outcome
 12 value x_i as encoded on the interface itself, as
 13 shown in [Figure 3](#).

14 « 18 » Encodings of classical informa-
 15 tion – information that can be written as a
 16 finite bit string – on surfaces at which inter-
 17 actions are defined are called *holographic* by
 18 physicists. Such holographic encodings were
 19 first characterized for the surfaces bounded
 20 by the event horizons of black holes (Beken-
 21 stein 1973) and were extended to the surface
 22 of the observable universe as a whole by Ge-
 23 rard 't Hooft (1993) and Leonard Susskind
 24 (1995). Holographic encodings record on
 25 the surface of a system all of the information
 26 that may be obtained from it by observa-
 27 tion; to say that a system has a holographic
 28 encoding (i.e., satisfies the “holographic
 29 principle”) is to say that its *observationally*
 30 *accessible* information content is propor-
 31 tional to its surface area, not to its volume
 32 (reviewed by Bouso 2002). While the terms
 33 “surface area” and “volume” here suggest
 34 ordinary three-dimensional space, the con-
 35 cept of holography is much more general,
 36 applying to any system with a bounding
 37 surface and an “interior” or as physicists
 38 call it, a “bulk,” that is contained within the
 39 boundary. A classical black box provides a
 40 suitably abstract example. The boundary
 41 of the black box can be taken to comprise
 42 only the degrees of freedom that encode the
 43 inputs to and outputs from the box; this re-
 44 stricted notion of a boundary corresponds
 45 to the restricted notions of a “system” and an
 46 “observer” commonly employed in discus-
 47 sions of environment-induced decoherence
 48 (e.g., Tegmark 2012). In this case, the “bulk”
 49 of the black box comprises all of the non-
 50 boundary degrees of freedom, in particular,
 51 all of the degrees of freedom involved in the
 52 process of generating the next output in re-
 53 sponse to a given input. It is precisely these
 54 “bulk” degrees of freedom to which observ-
 55 ers of a black box have no access; indeed

column A

Moore’s (1956) theorem prevents them from
 determining any more than a lower limit on
 the number of bulk degrees of freedom of a
 black box. The amount of information that
 can be obtained from a black box is strictly
 limited by the total coding capacity of its
 boundary degrees of freedom. This coding
 capacity can be expressed precisely as an ab-
 stract dimension. Let $\{\xi_i\}$ be the set of mu-
 tually independent degrees of freedom of
 the boundary, and let n_i be the number of
 possible distinct values of the i -th boundary
 degree of freedom ξ_i . The dimension of the
 boundary is then the sum of the numbers n_i
 over all the degrees of freedom in $\{\xi_i\}$:

$$d_{boundary} = \sum \{\xi_i\} n_i$$

Similarly, let $\{\zeta_j\}$ be the set of mutually-in-
 dependent degrees of freedom of the bulk,
 and let m_j be the number of possible distinct
 values of the j -th bulk degree of freedom ζ_j .
 The dimension of the bulk is then:

$$d_{bulk} = \sum \{\zeta_j\} m_j$$

The amount of information that an observer
 can obtain from any black box is clearly pro-
 portional to $d_{boundary}$, not to d_{bulk} ; hence any
 black box satisfies the holographic principle.

« 19 » The only information that an
 observer can obtain about the surrounding
 environment is the information that can be
 encoded on the observer-environment inter-
 face by decoherence; the environment of
 any observer is, therefore, a black box and
 satisfies the holographic principle (cf. Fields
 2016a). The loop from [Figure 3](#) back to [Fig-
 ure 1b](#) is thus closed: from the environment’s
 perspective, the observer also satisfies the
 holographic principle, as the environment
 can only obtain information about the ob-
 server that can be encoded on the observer-
 environment boundary.

« 20 » Kauffman (2003, 2011) has previ-
 ously related the eigenvectors representing
 observable degrees of freedom and eigen-
 values representing observable outcome
 values in quantum theory to eigenforms
 as stable outcomes of repeated measure-
 ments. Indeed, the stability of observational
 outcomes under exactly repeated measure-
 ments underlies the notion of “system pre-
 paration” and is often regarded as an axiom
 of quantum theory (e.g., Zurek 2003: 747).
 The above discussion localizes this concep-
 tual connection to the observer-environment

column B

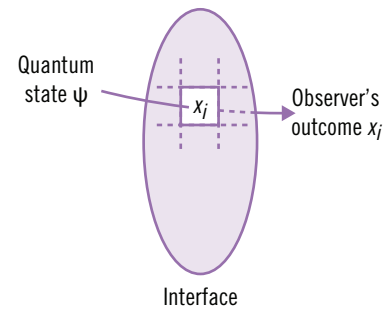


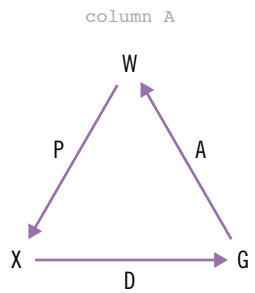
Figure 3 • Decoherence encodes a classical
 outcome value x_j on the observer-environ-
 ment interface. Such an encoding is required
 if receipt of the observational outcome is
 to be considered to have any effect on the
 observer’s subsequent behavior. This encod-
 ing is holographic, i.e., the only information
 about the environment that the observer
 can obtain is the information that can be
 encoded on the observer-environment inter-
 face by decoherence.

boundary – the interface as described by
 ITP – and shows that the connection is im-
 plemented by decoherence, the process that
 creates stable classical records of transient
 quantum states.

Interfaces encode fitness

« 21 » As discussed above, information
 is classical to the extent that it has an effect
 on decision and action, i.e., to the extent that
 it is *useful* to the agent that receives it. In-
 formation that has no effect – information
 that changes nothing about its recipient – is
 information that has not been recorded. As
 Gregory Bateson put it, “**what we mean by
 information – the elementary unit of infor-
 mation – is a difference which makes a differ-
 ence**” (Bateson 1987: 460; emphasis in origi-
 nal). All the information that agents possess
 is information that has had some effect on
 them; it is all “pragmatic information” in
 Juan Roederer’s (2005) sense, information
 that enables doing something. Von Foerster
 (1970) makes a similar point, quoting Jerzy
 Konorski: “**information and its utilization
 are inseparable [...] one single process**” (Fo-
 erster 1970: 46).

column C



11 **Figure 4** • A CA as defined by Hoffman &
 12 Prakash (2014) as a perceive-decide-act (P-
 13 D-A) loop through a “world” W, which takes
 14 the place of the “second agent” X_2 - D_2 - G_2 in
 15 Figure 1c.

20 « 22 » In the CA model of Hoffman and
 21 Prakash (2014), the recursive loop is per-
 22 ceive-decide-act (P-D-A) as shown in [Fig-](#)
 23 [ure 4](#). Here perceptions (P) come from and
 24 actions (A) are on the “world” W of the CA;
 25 W replaces the “second agent” X_2 - D_2 - G_2 in
 26 [Figure 1c](#). A CA is defined by the continued
 27 performance of this P-D-A loop. Should the
 28 recursion be for any reason interrupted –
 29 should there occur a perception after which
 30 no decision follows, a decision after which
 31 no action (including the action: take no ac-
 32 tion) follows, or an action after which no
 33 perception follows – the CA ceases to exist.
 34 It is “dead.”

35 « 23 » We can, therefore, define the *fit-*
 36 *ness* of a CA as the probability of continued
 37 recursion, and the *fitness function* F of a CA
 38 as a mapping $F: X \times G \times W \rightarrow$ Non-negative
 39 Reals. “Continued recursion” is “viability”
 40 in Ernst von Glasersfeld’s (1981) sense for a
 41 CA; the CA only survives as long as its P-D-
 42 A loop “keeps working.” The meaning of F
 43 becomes particularly clear when the world
 44 W is regarded as a second agent as in [Fig-](#)
 45 [ure 1c](#). The state w of W being such that, for
 46 states x of X and g of G, $F(x, g, w) = 0$ means
 47 that the world acts on the agent in a such a
 48 way that the agent cannot respond. This is
 49 a lethal action. As W is itself defined rela-
 50 tive to the agent – it is *that agent’s* world – W
 51 “dies” as well, following such an action.

52 « 24 » We are now in a position to see
 53 what interfaces encode. An interface en-
 54 codes, by its very existence, the fact that it
 55 has not permitted a lethal action in either

direction: for every triple of states (x, g, w)
 that has occurred so far, $F(x, g, w) > 0$. It has
 not, in particular, allowed an action after
 which no perception follows, or a percep-
 tion from which no action follows. This
 can be expressed probabilistically: an inter-
 face encodes, by its very existence, the fact
 that the probabilities of lethal perceptions
 and actions have (at least so far) been low
 enough that none has occurred. The prob-
 abilities of perceptions and actions are, how-
 ever, specified by the kernels P , D and A
 and the initial state (x_0, g_0, w_0) . If we identify the
 interface with X as discussed above, a state x
 of X can be viewed as specifying a probabil-
 ity distribution $Prob(g' | x, g) = D(x, g, g')$ of
 the next state g' of G given the current state
 via the Markov kernel D and a probability
 distribution $Prob(w' | g, w) = A(g, w, w')$ of
 the next state w' of W via the kernel A. Here
 the kernel action $D(x, g, g')$ is the probabil-
 ity of deciding on g' , given that the current
 percept is x and the previous decision was g ;
 similarly for $A(g, w, w')$. From these an ex-
 pected fitness $EF(x | g, w)$ can be calculated
 by summing over the fitness values of the
 future states (x, g, w') that can immediately
 follow the current state (x, g, w) , with each
 future state weighted by its probability:

$$EF(x | g, w) = \sum_{g', w'} F(x, g', w') \cdot Prob(g' | x, g) \cdot Prob(w' | g, w)$$

or making the operator actions explicit:

$$EF(x | g, w) = \sum_{g', w'} F(x, g', w') \cdot D(x, g, g') \cdot A(g, w, w')$$

Interfaces, therefore, encode *expected fitness*.
 They encode their own best estimates of
 their likelihood of survival, i.e., their likeli-
 hood of receiving a next input and transmit-
 ting a next action.

« 25 » If interfaces encode information
 about fitness, then they do not encode in-
 formation about the observer-independent
 ontology or causal structure of the world.
 In the present conceptual framework,
 of course, this is tautologous: there is no
 observer-independent ontology or causal
 structure in any world that is defined only
 relative to an observer. From the perspec-
 tive of the classical worldview, however,
 this is a surprising result. It is supported by
 evolutionary game-theory experiments that
 adopt the classical worldview in so far as
 they assign “true” world states in an agent-

independent manner, but show that agents
 that make decisions based on these “true”
 world states are generally driven to extinc-
 tion by agents that make decisions solely on
 the basis of expected fitness (Mark, Marion
 & Hoffman 2010). These empirical results
 have since been put on a rigorous footing by
 a “fitness beats truth” theorem demonstrat-
 ing that decision strategies based on expect-
 ed fitness will dominate decision strategies
 based on the “truth” about the world for
 all but a generically small subset of fitness
 functions. The “fitness beats truth” theorem
 provides a formal justification for von Gla-
 sersfeld’s remark that “we must never say
 that our knowledge is ‘true’ in the sense that
 it reflects an ontologically real world” (Gla-
 sersfeld 1981: 93).

« 26 » Making use of the computer
 interface analogy, Hoffman, Singh and
 Prakash (2015) characterize perceived “ob-
 jects” as “icons” on an agent’s interface.
 These icons encode “packages” of expected
 fitness consequences, what James Gibson
 (1979) called “affordances,” though Gibson
 tended to view affordances as “objectively”
 encoded by the environment. An icon that
 is a perceived coffee cup, for example, en-
 codes the expected fitness of its own use for
 drinking coffee. They are useful to the extent
 that they support behaviors – at least ap-
 proximate eigenbehaviors – that leave their
 structure at least approximately constant. As
 noted earlier with respect to experiences of
 actions, stable icons representing “objects”
 with “identity over time” or “processes” that
 “unfold in time” require some components
 of the experience set X to be allocated to dis-
 tinct collections of “memory” and “expec-
 tation” experiences. As limits of an infinite
 recursive process, as well as fixed points for
 that very process, eigenforms are encod-
 ings of their own fitness ($F \rightarrow \infty$ in the $t \rightarrow \infty$
 limit) that the icons manipulated by finite
 organisms only approximate.

« 27 » It is important to note that the in-
 formation about expected fitness that icons
 encode is non-local. Actions taken with re-
 spect to one icon can have consequences for
 future interactions with others; one’s actions
 with respect to a perceived kitchen knife, for
 example, can have consequences for how
 one interacts later with a perceived com-
 puter. An agent that stops interacting, more-
 over, stops interacting with everything. Such

column A

1 non-local effects suggest apparent causal
 2 relations between the icons themselves.
 3 Causation in turn suggests an apparent spa-
 4 cetime in which causal processes operate.
 5 *Experienced* spacetime, however, must be
 6 encoded, like the icons themselves, on the
 7 interface. How is this done?

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 10 **Spacetime as an error-**
 11 **correcting code**

12
 13 « 28 » As noted earlier, the agent-envi-
 14 ronment interface can be characterized in
 15 abstraction from any notion of ordinary
 16 three-dimensional space. Human percep-
 17 tion, however, is resolutely spatial: the
 18 “objects” we see occupy space and move in
 19 space, and the actions we take are taken in
 20 space. Human experience, moreover, un-
 21 folds in time. Where does this spacetime
 22 come from? The recursion that gives rise to
 23 eigenforms provides a natural “counter” for
 24 time; this conception of time as an agent-
 25 specific counter for experience is built into
 26 the CA framework (Hoffman & Prakash
 27 2014). What, however, about space? What
 28 is it about perception-action interfaces
 29 that makes them spatial, and what explains
 30 three-dimensionality?

31 « 29 » We suggest that space, and by
 32 extension spacetime, provides an error-
 33 correcting code for fitness consequences. A
 34 spatiotemporal encoding provides a way of
 35 “spreading out” information about fitness
 36 in a way that allows redundancy and hence
 37 an ability to detect and correct perceptual
 38 errors. To see the value of a spatial encod-
 39 ing, consider the information about quan-
 40 tity encoded by the positive whole numbers.
 41 These numbers are just discrete points on
 42 the real line, hence they can be represented
 43 simply as a sequence of points:

44
 45

46 This representation can even be compressed
 47 further:

48 .
 49

50 « 30 » Such representations are, how-
 51 ever, useless: there is no way to tell, for ex-
 52 ample, that “.” represents 4 while “.” repre-
 53 sents 27. Making this distinction requires
 54 adding a spatial dimension that allows a
 55 planar character like “4” to be drawn out.

column A

column B

This added dimension allows redundancy,
 as shown in [Figure 5](#). An icon that is al-
 lowed to occupy space can have “parts” that
 each contribute to the icon’s ability to com-
 municate a message to the observer.

« 31 » Redundancy is the key to er-
 ror correction, and hence to increasing the
 probability that the messages about fitness
 encoded by, for example, “4” and “27” can
 be distinguished. Merely repeating a sym-
 bol provides the simplest form of redundan-
 cy; for example, the code “11” reinforc-
 es the message “1.” Three repeats have long
 been known to be better than two, as in the
 long-standing Morse-code emergency dis-
 tress signal:

.....

or “SOS,” by convention always repeated
 three times.

« 32 » To examine the use of redundan-
 cy, we first consider the simplest case, a bi-
 nary code. For a binary code, the Hamming
 distance provides a convenient measure of
 the dissimilarity or distance between two
 encoded symbols. The codes “111” for “S”
 and “000” for “O” are, for example, sepa-
 rated by a Hamming distance of three; three
 bit flips are required to transform one mes-
 sage into the other. The redundancy of such
 a code provides a natural sense of spatial
 dimensionality, as shown in [Figure 6](#). Here
 flipping a bit is “traveling” in a “direction”
 on a graph. The bits are independent, so the
 directions are orthogonal.

« 33 » As can be seen in [Figure 6](#), a
 three-bit binary code provides the possibil-
 ity of error correction – every message with
 mixed bits has a 67% likelihood of being
 one pure-bit message and only a 33% likeli-
 hood of being the other – while the two-bit
 code does not. Hence a three-fold redundan-
 cy is the minimum for error-correction
 utility for a binary code.

« 34 » At the very basis of human per-
 ception is a binary question: is something
 there or not? It is this question that distin-
 guishes an “object” from an undifferentiated
 “background.” We suggest that the need to
 answer this simple binary question accurat-
 ely requires the error-correction capability
 of a triply redundant encoding and hence
 a three-dimensional Hamming space. Sys-
 tems that must answer more complex ques-
 tions can be expected to employ greater re-

column B

column C



Figure 5 • Spatially encoding an icon allows
 its “parts” to each contribute to its message.

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dundancy. This added redundancy comes,
 however, at a cost: redundant encodings
 require more degrees of freedom and hence
 a higher d_{boundary} . Distinguishing the values
 of these additional degrees of freedom re-
 quires, moreover, an energy expenditure of
 at least $N \times \ln 2 \times kT$ per distinction, where
 N is the number of bits required to encode
 each distinguishable value, k is Boltzmann’s
 constant and T is absolute temperature
 (Landauer 1961, 1999; Bennett 2003).

« 35 » Organisms such as humans do
 not encode one-to-one eigenform-to-ei-
 genbehavior relationships: there are many
 different uses for a screwdriver or a coffee
 cup, and one can reach for and grasp many
 different objects. We suggest that organ-
 isms faced with the task of encoding such
 complex relationships devote some of their
 available interface redundancy to encod-
 ing eigenform *persistence over time* and
 the rest to encoding eigenform *actionabil-
 ity*. For example, some degrees of freedom
 are devoted to encoding that a coffee cup
 is present, while others are devoted to en-
 coding whether and how it can be grasped.
 Encodings of persistence and actionability
 are subject to different constraints. An ac-
 tion type, like grasping, may be executed in
 a large number of ways, only one of which
 may yield positive fitness (getting one’s cof-
 fee!) in a particular situation. Accurately se-
 lecting the one right high-fitness grasp from
 the large number of possible grasps requires
 a redundant encoding, but redundantly en-
 coding many distinct grasps is expensive.
 One might expect, therefore, organisms to
 employ the minimal redundancy that pro-
 vides error correction, three-fold redundan-
 cy, for action encoding. Assuming a
 continuous range of grasps, a three-fold re-
 dundant encoding is an encoding into real
 ordered triples and hence into real three-
 space. Discretizing the possible grasps vox-
 elates this space.

column C

column A

1 «36» Employing a distinct real or
 2 even a high-resolution discrete three-space
 3 for each of a large number of action types
 4 would, however, be very expensive both for
 5 encoding perception and for memory; one
 6 would therefore expect organisms to overlay
 7 their encodings so as to encode many differ-
 8 ent action types in the same space. Whether
 9 this is possible depends on the composabil-
 10 ity of actions and the existence of inverse ac-
 11 tions, i.e., on whether the action space sup-
 12 ports a group structure. It has been shown,
 13 within the CA framework, that a group
 14 structure on the action space G induces one
 15 on the interface X (Hoffman, Singh & Pra-
 16 kash 2015). Hence it is plausible to suggest
 17 that three-fold encoding redundancy and
 18 a group structure on actions is sufficient to
 19 generate an interface with three extended
 20 “spatial” dimensions in which actions are
 21 represented.
 22 «37» The encoding of eigenform per-
 23 sistence, on the other hand, is subject only
 24 to the constraint of being “good enough” to
 25 support appropriate actions. One can, there-
 26 fore, expect a quasi-hierarchical encoding in
 27 which resolution can be varied to suit obser-
 28 vational context. As this encoding must “fit
 29 into” a spatially-organized interface, one ex-
 30 pects a spatial encoding in which the spatial
 31 dimensions associated with a particular ei-
 32 genform are not extended over the entire in-
 33 terface but are rather “compressed” into only
 34 a small part of the interface. A compressed
 35 spatial structure is a *shape*, like “4” in [Fig-](#)
 36 [ure 5](#), that occupies space and redundantly
 208 encodes persistence.

column A

column B

«38» Mammalian visual (e.g., Goodale
 & Milner 1992) and auditory (e.g., Hickok &
 Poeppel 2007) systems use distinct process-
 ing streams for action and object perception,
 consistent with the prediction above. Ob-
 jects are indeed categorized quasi-hierarchi-
 cally (e.g., Martin 2007). The shapes of both
 natural and artificial objects can often be
 represented by scalable codes such as crys-
 tal structures, Fibonacci numbers or fractals
 (e.g., Thompson 1945; Mandelbrot 1982).
 The idea that spacetime itself is emergent
 from underlying quantum- or information-
 theoretic constraints is now being taken
 seriously by physicists (e.g., Swingle 2012;
 Arkani-Hamed & Trnka 2014; Pastawski et
 al. 2015; D’Ariano & Perinotti 2017).

Conclusion

«39» In his paper introducing the “it
 from bit” concept, John Wheeler insisted
 that “what we call existence is an informa-
 tion-theoretic entity” (Wheeler 1990: 8),
 later quoting Gottfried Leibniz, “time and
 space are not things, but orders of things”
 and Einstein, “time and space are modes
 by which we think, and not conditions in
 which we live” in support of his “Fourth No:
 no space, no time” (ibid: 10). Von Foerster
 could well have added: spacetime is the ei-
 genform that by remaining constant enables
 actions.

«40» To this we have added: eigen-
 form – eigenbehavior loops, and hence the
 interfaces through which they pass, encode

column B

column C

information about fitness and hence per- 1
 sistence. Spacetime itself, therefore, is an 2
 encoding of fitness; it exists only because it 3
 is useful to organisms going about the busi- 4
 ness of staying alive. Organisms with differ- 5
 ent structures and lifestyles – as different as 6
E. coli, an oak tree, and a person – may expe- 7
 rience very different “spacetimes.” 8

«41» It remains, however, to extract 9
 from this idea predictions of sufficient 10
 power and precision that confirming them 11
 would overcome the intuitive appeal of an 12
 “objective” spacetime filled with “objec- 13
 tive” objects. The stubborn resistance of the 14
 classical worldview in the face of eight de- 15
 cades of quantum theory, experiments and 16
 technology shows that this will not be easy. 17
 Bringing these ideas into the science – and 18
 hence the technology – of perception itself 19
 may yet, however, open the door to empiri- 20
 cal demonstrations that cannot be denied. 21

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CHETAN PRAKASH

PhD Mathematical Physics, Cornell University 1982, has published, with Bruce Bennett and Donald Hoffman, the book *Observer Theory*. His current research intends to elaborate a theory that shows how consciousness gives rise to the "physical" world as our interface with reality – as against the idea that brains produce consciousness. As this "reverse hard problem of consciousness" is a view by no means standard in the scientific community, he has used rigorous mathematical analyses to demonstrate the falsity of the commonly held belief that evolution has led us to perceive an "objective" reality with ever-increasing accuracy.



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Open Peer Commentaries

on Chris Fields et al.'s "Eigenforms, Interfaces and Holographic Encoding"

PHYSICS CONCEPTS IN SECOND-ORDER CYBERNETICS

Do Nonclassical Worlds Entail Dualism?

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> Upshot • The vast differences between the objective, classical realm of our everyday lives and any nonclassical realm (like quantum physics) have worried researchers for almost a century. No attempt at resolving the differences or explaining them away has ever worked. Maybe there are two realms, the classical and the nonclassical, and maybe they are paradoxical.

« 1 » Chris Fields et al. are wrestling with, among other things, the paradox, the clash, between "quantum reality" and "classical reality" concerning tables and chairs and dogs and cats and people. There are usually two main ways to deal with paradox. One can try to explain it away (the paradox is illusory) or one can try to eliminate it by showing that one side of the paradox is based on a mistake. Optical illusions are one example of the former way; Zeno's Paradoxes of Motion are an example of the latter way. Of course, there are other, less common ways of dealing with paradox. One can just stipulate the paradox away. This is the method used by mathematicians when dealing with the paradoxes of set theory; this method really only works if one is prepared to go axiomatic. And lastly, one can just embrace the paradox. This is the way

taken by paraconsistent logicians, especially those who embrace dialetheism, the thesis that some contradictions are true, while also being false. So, for example, to a dialetheist, the Liar Paradox – "This sentence is false" – is both true and false at the same time. In this commentary, I argue that though the authors opt for an eliminativist approach to the nonclassical-classical paradox, they ought to opt for the last way: they ought to embrace the dualistic paradox.

« 2 » In their article, Fields et al. present an interesting and large theory that begins with taking observer-relativity seriously and ends with the proposal that spacetime could profitably be construed as error-correcting code. Then at the end, in §41, the authors say that their theory still needs to produce predictions sufficiently powerful to overcome the intuitive appeal of mind-independent spacetime filled with mind-independent objects – i.e., powerful enough to overcome our resolutely perceiving the classical world.

« 3 » In the very next sentence, the reader senses perhaps some despair on the part of the authors, for they bemoan the "stubborn resistance" of the classical world in the face of eight decades of quantum theory – in effect saying that after eight decades, one would have thought that we would have finally said goodbye to the classical world, to the mind-independent world. Interestingly, perhaps in an effort to hurry the classical world out the door, the authors do not use the term "world," but rather call it a *worldview*. But this latter is a term they are not entitled to because, as they just said, they have yet to prove their theory experimentally because they have yet to derive any

experiments from their theory. For all they know now, it seems, the classical world is the world, or at least one of them. There are not merely different viewpoints, rather there are different worlds.

« 4 » The authors, then, are stuck with the classical, mind-independent world while they develop and experimentally test their new theory, which posits a nonclassical, mind-dependent "world" as a replacement.

« 5 » It is not clear what the authors hope for at this stage. They themselves are acutely sensitive to the staying power, the stubbornness, of the classical world. But they also know the explanatory power of mind-dependent approaches to understanding minds and their realities (there are many reasons to take observer-relativity seriously). One gets the impression that by drawing from several sources – quantum physics, consciousness studies, cognitive science, evolutionary theory, math, and philosophy – the authors hope that their theory will simply liberate the human mind from its preference for occupying a mind-independent universe.

« 6 » At this point a movie reference is needed. In the movie *Arrival*, space aliens show up in the present time and offer us the gift of their written language. This language is unlike any language on Earth. To use it, one has to have a decidedly nonhuman relation to time – in particular, one has to be able to see the future. To the space aliens, seeing the future is second nature; indeed, they experience all at once what we would call sequential events. The key is that when humans learn the alien language, their perception of time changes, and, like the

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1 space aliens, they then also see the future,
2 experiencing all events at once. Learning
3 their language changes our brains. Do the
4 authors want the same property for their
5 theory – merely learning it, or learning that
6 experiments support it, will change our
7 stubborn human resistance to sensing the
8 world in a mind-dependent fashion? Will
9 learning their theory, or learning that their
10 theory agrees with all experimental chal-
11 lenges, change human perception in such a
12 way that the classical world is eliminated?

13 « 7 » Of course, it is unlikely the au-
14 thors want any such thing (still, in §41,
15 they do say that confirmatory predictions
16 of their theory would “overcome the in-
17 tuitive appeal of [the classical world ...]”).
18 Assuming the authors do not think mere
19 knowledge of their theory will liberate hu-
20 mans from our classical world or diminish
21 its appeal (this has not worked for quantum
22 mechanics), then what are they going to do
23 about “the stubborn resistance of the classi-
24 cal world”? Unless something frees human
25 perception from its moorings in the clas-
26 sical world, it does not matter what brave
27 new theory is developed, the moorings will
28 remain.

29 « 8 » Suppose *X* is the extremely so-
30 phisticated future version of the theory the
31 authors are working on now, and thus con-
32 sidered “ultimately true.” It is profoundly
33 unlikely that *X* will finally free humans
34 from their classical worldview (the mov-
35 ie, after all, was fiction). Rather, we will
36 be stuck with the very situation we have
37 now with quantum physics, where human
38 physicists occupy the classical world while
39 they develop, experiment on, and prove the
40 nonclassical theory of quantum reality. We
41 have had 80 years of quantum mechanics
42 (as Fields et al. note). In that whole time, no
43 physicist has started experiencing the non-
44 classical world in their daily lives. Rather,
45 they all daily experience the classical world.
46 And these physicists also experience the
47 classical world while they experiment on
48 and theorize about the nonclassical world.
49 So, the authors’ theory, *X*, will represent a
50 nonclassical realm, and we will learn it, ap-
51 ply it, and come to see *X*’s beauty, all the
52 while firmly planted in the classical world.
53 Go back and watch the videos of the an-
54 nouncement at the Large Hadron Collider
55 (LHC) of finally finding the Higgs Boson.

column A

Everything in the video is classical. The
Higgs is not. The same can be said of *X*.

« 9 » So, what to do? We humans seem
to occupy one realm, the classical one,
while developing nonclassical theories of
nonclassical realms accessible to us only via
our thought (the LHC is classical, the data
from its experiments are classically pre-
sented and represented, but via our minds,
we see beyond the data to a nonclassical
world). And the two realms together form
a paradox: crucial propositions true in one
realm are false in the other.

« 10 » One proposal is to give up the
quest to “overcome the intuitive appeal” of
the classical world (§41). Embrace the two
worlds, or many worlds, solution: one is
classical and others are not.

« 11 » Specifically, the authors’ theory
could explain human and other animal
minds in the nonclassical way they detail,
while at the same time, we humans and
other cognizers occupy a classical world.

« 12 » I said above (in §3) that the au-
thors were not entitled to use the term “clas-
sical worldview” (from their §41) because
until their theory was supported by experi-
ments, they could not know that classical-
ity was a *worldview* and not a *world*. We
now see that “classical worldview” has an-
other problem. It suggests that there is one
world: from one worldview (point of view)
it looks classical and from another it looks
nonclassical. Think about walking around
a car. From one view (a sideview) the car
looks one way, from another (a front view)
it looks another. The “real” car is the inte-
gration of all such views (for the viewer).
Note that the car is *not* paradoxical, so the
integration works. But this does not apply
to the world posited by the authors’ and the
classical view we inhabit as we read about
their theory: the two are decidedly para-
doxical. So, integration is unlikely to work.
The one-world-with-two-worldviews ap-
proach might, I suppose, better accord with
Ockham’s Razor, but that’s not in the cards.
This all suggests that there are many *worlds*
– we view them somehow by visiting them,
by “changing locations,” via our conscious-
ness. (Of course, ontologically, some of us
are still committed to some over-arching,
single *meta-world*, and this meta-world has
to be at least contradictory and probably
dialetheic (the locus of unresolvable con-

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traditions). As with the other issues in this
area, it is not clear why this meta-world ap-
pears or exists. I am inclined to invoke the
observer, which is what I think the authors
might support.)

« 13 » The cost associated with this
contradictory-worlds approach, and not
just contradictory points of view, is that
consciousness remains unexplainable. But
many of us already think this is the ulti-
mate knowledge about consciousness (Di-
etrich & Hardcastle 2005). It is unlikely
that the authors will agree with this since
a large part of the motivation for their article
is bringing consciousness into the science
tent.

« 14 » Regardless of whether one picks
one world with many contradictory, para-
doxical viewpoints or many contradictory
worlds, the (unintended) message of the
authors’ research seems clear: the classical
world does not merely have an “intuitive
appeal” for us (§41), rather it is *ineluc-
table*. We are classical beings with minds
that allow us to see the nonclassical. How
this can be so is very puzzling. And the
authors’ theory does not directly address
this. However, as already claimed in §8
above, it is very unlikely that any theory of
this “dualism” – classical beings studying a
nonclassical realm – will ever be intuitive
to us even though it may well be robustly
explanatory. What will come to seem in-
tuitive then is that what is called “reality” is
bigger than we thought, and more unstable
and protean than we supposed. Epistemic
humility should follow.

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“There is no progress in philosophy” (2011).

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column C

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1 Where is Spacetime 2 Constituted?

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7
8
9 > **Upshot** • In an attempt to understand
10 its presuppositions, the commentary
11 takes a closer look at the model proposed
12 by the target article. By analysing the
13 interactions between conscious agents,
14 the model tries to derive the enaction of
15 a spacetime framework. A critical exami-
16 nation of the ontological status of the in-
17 volved entities indicates inconsistencies,
18 especially at the adoption of viewpoints.
19 It seems that despite the model's being
20 supposedly grounded on the primacy of
21 consciousness, this characteristic is not
22 immediately apparent. The commentary
23 proposes an even more radical adoption
24 of the first-person point of view.

25 26 **Ontological status of entities in the 27 conscious agents model**

28 « 1 » I am inclined to support the model
29 presented by Chris Fields et al., especially the
30 way it, in one big stroke, connects biological
31 constructivism (Maturana & Varela 1980;
32 Foerster 1984; Riegler 2012) with quantum
33 physics. Yet, extraordinary claims (such as
34 the proposed model) require extraordinary
35 evidence. When the model's results confirm
36 the authors' goals, i.e., that from the interac-
37 tions of conscious agents almost miraculously
38 springs a 3+1D physical framework of our
39 everyday world, one should always beware of
40 the possibility of motivated reasoning.

41 « 2 » As the remainder of this section
42 will show, an explication of the proposed
43 model's presuppositions exposes consider-
44 able issues. It remains to be seen whether
45 those problems stem from the commenta-
46 tor's misunderstanding, from small incon-
47 sistencies in the proposed model (which can
48 be easily patched), or from flaws with serious
49 consequences for the model's fitness. I hope
50 it will turn out to be one of the former op-
51 tions, for the idea of deriving characteristics
52 of the physical world from the dynamic of
53 consciousness is an exceptional one.

54 « 3 » The aim of the target article is to
55 create a mathematical model of how con-

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sciousness constitutes the world. The au-
thors avoid the presupposition of “**objects
as spatially-bounded, temporally-persistent,
internally-cohesive, causally-independent
entities**” (§14), and instead attempt to create
a mathematical model of the constitution of
those objects, presuming the primacy of con-
sciousness. Discarding the natural attitude
(the tendency to believe our construction of
the world to be an accurate representation
of objective reality), the authors seem to as-
sume the phenomenological attitude (Hus-
serl 1982), the attitude that phenomenol-
ogy shares with constructivism (as argued in
Kordeš 2016a).

« 4 » According to phenomenology,
phenomenal consciousness is the episte-
mologically safest foundation on which
to build science. According to Dan Zahavi
(2004), for Edmund Husserl, studying how
the world is constituted in consciousness
became the cornerstone for transcendental
phenomenology, which in turn was sup-
posed to become the foundation of science.
Despite the fact that Husserl created a philo-
sophical system with this particular pur-
pose, phenomenology has never completely
succeeded in this endeavour. The problem
being that phenomenologists never made it
exactly clear how to actually build natural
science (starting with physics) on phenom-
enological foundations. The target article of-
fers a solution.

« 5 » The proposed mathematical model
is based on the concept of conscious agents
(CAs) (§2). In the following paragraphs I
will try to summarise and more clearly ex-
plicate the presuppositions that come with
this concept.

« 6 » The authors suggest that a defining
feature of a CA is its “**principled unpredict-
ability [...] considered by some to indicate
autonomy or ‘free will’ and hence agency
from the perspective of external observers**”
(§6). Furthermore:

“While autonomy in the non-trivial machine
sense inferred above is somewhat abstract, a re-
quirement for autonomous decision-making at
least suggests an awareness of potential conse-
quences and hence consciousness.” (§8)

« 7 » From this definition of a CA, it is
clear that consciousness is inferred from the
CA's behaviour. Since this behaviour takes

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place in an abstract mathematical space 1
rather than in the space of our everyday 2
world, the question arises: What is the onto- 3
logical status of entities or phenomena those 4
spaces represent? 5

6 7 **The gap between functional 8 and phenomenal aspects 9 of consciousness**

« 8 » Susan Blackmore (2013) divides 10
discussions concerning consciousness into 11
two distinct realms represented by the fol- 12
lowing two questions: “What is it like to 13
be...?” and “What does consciousness do?” 14
(for the purposes of this commentary, they 15
will be referred to as the phenomenal and 16
the functional aspect respectively). There 17
are many answers to the latter. One of them 18
is proposed by the target article, i.e., con- 19
sciousness behaves in principle unpredict- 20
ably. Between the functional aspect of con- 21
sciousness and the aspect that answers the 22
question “What is it like to be...?” (describ- 23
ing so-called phenomenal consciousness), 24
there is an unsurmountable chasm – usually 25
referred to as the explanatory gap. 26

« 9 » In order to assess which aspect is 27
assumed by the authors of the target article, 28
the basic mathematical elements of the pro- 29
posed model need to be examined. What are 30
the categories that define agents CA₁ and 31
CA₂, the interaction between whom enacts 32
physical entities? **Figure 1** of the target 33
article provides the answer: “**Here X₁ and G₁
and X₂ and G₂ are measurable spaces rep-
resenting the experiences and available ac-
tions, respectively.**” The space X is especially 37
important as on it rests the weight of the en- 38
tire model. It is precisely X that is supposed 39
to contain encoded objects. 40

« 10 » But what kind of entities does X 41
represent? What is the meaning of “expe- 42
riences” (§9) within the model? It would 43
seem that X also introduces phenomenal 44
consciousness into the model based on the 45
strong presupposition that phenomenal 46
consciousness can be mathematically de- 47
scribed. With this, the model adopts the 48
first-person perspective of lived experience 49
(a perspective that is unreachable for most 50
of natural science). By simultaneously in- 51
cluding the functional and the phenomenal 52
aspect of consciousness it seems that the 53
model of Fields et al. unwittingly mixes first- 54
and third-person perspectives. 55

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1 « 11 » Another indication for the mix-up
2 of perspectives is the model's separation of *G*
3 and *X*. Separating experiences (*X*) and avail-
4 able actions (*G*) indicates a distinction be-
5 tween the two. If the model took the auton-
6 omy of CAs and the primacy of experience
7 seriously, *G* would be a subset of *X* – available
8 actions are only those noticed or auton-
9 omously constructed and as such experienced
10 as available by the CA. Because that is not the
11 case, the only possible interpretation is that
12 the authors presuppose the possibility of a
13 space of available actions as perceived from
14 outside the CA. This takes autonomy away
15 from the agent. Being autonomous means
16 that the agent chooses from the options the
17 agent itself constructs rather than from pre-
18 given options (cf. Winograd & Flores 1986).
19 Genuine autonomy is in the very construc-
20 tion of the elements of the world, which are,
21 in this case, options to choose from.

Consciousness as the foundation

24 « 12 » With the exception of phenom-
25 enology, most other approaches see con-
26 sciousness as a product of an observer-
27 independent, “natural” world (i.e., they
28 naturalise consciousness). If consciousness
29 is to be taken as the foundation of a theory,
30 then naturalising approaches are inappropri-
31 ate, as they presuppose the primacy of some-
32 thing other than consciousness. The only
33 aspect of consciousness that can be used as
34 the foundation for a theory is phenomenal
35 consciousness, i.e., lived experience. This is
36 only possible if the theory's point of view is a
37 first-person one. However, in the case of the
38 proposed model it is the point of view of the
39 CA.

40 « 13 » Constructivists always stress that
41 every view is a view from somewhere. I
42 fear that Fields et al. are not very clear from
43 where they are observing. Are they looking
44 at the world from the eyes of an agent (who,
45 of course, does not have access to anything
46 other than its own horizon – i.e., the surface
47 that connects it to the world) or through the
48 “eyes of God,” who sees all agents, their ac-
49 tions and interactions?

50 « 14 » The “God's eye” view or the view
51 “from nowhere” (Nagel 1989) is character-
52 istic of fields that have uncritically accepted
53 the natural attitude (that is, for most of sci-
54 ence with a few exceptions, such as phenom-
55 enologically inspired research). This view

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enables intersubjectively valid methods and
exceptionally successful research, character-
istic of physics, neuroscience, biology, etc.
What this view filters out, though, is con-
sciousness. It perceives the researched struc-
tures as “real” and forgets that they came
about only due to the act of consciousness.
If naturalising research approaches are at all
interested in consciousness, they look for it
as a product of those natural structures. By
filtering out the observer's consciousness,
the naturalistic view can only resort to infer-
ence from behavior when trying to detect
consciousness “out there.” As a consequence,
they can only answer the functional question,
i.e., “What does consciousness do?” while
the question of phenomenal consciousness –
“What is it like to be...?” – is inaccessible to
the behaviour-oriented third-person view of
natural science.

« 15 » By renouncing the view from no-
where, consciousness appears everywhere.
Phenomenal consciousness imbues every-
thing there is, everything one notices, thinks
or perceives (Kordeš 2016b). Consciousness
from the first-person perspective is a me-
dium in which all features of the world are
constituted.

« 16 » The history of cognitive science
has shown that the growing understanding
of brain dynamics and human behaviour
does not bring us closer to understanding
experience. The failure to bridge the explan-
atory gap points towards the conclusion that
phenomenal consciousness is not only pri-
mary but also irreducible. If we want to get
conscious experiences as a result, we have
to start with conscious experiences. Only in
that case can we say that we take conscious-
ness as the foundation of our theory.

« 17 » The model proposed in the target
article puts agents and their life dramas in an
abstract space. The authors attempt to “de-
velop the dynamics of interacting conscious
agents, and study how the perception of ob-
jects and spacetime can emerge from such
dynamics” (Hoffman & Prakash 2014: 557).
Whatever this space is supposed to represent
does not seem to represent the space of phe-
nomenal consciousness. As argued above,
only if the theory performs the (very radical)
step of grounding itself in phenomenal
consciousness, is it sensible to start looking
for appropriate mathematics that might en-
able the modelling of the constitution of the

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world. (One of such notable attempts being 1
“primary algebra” proposed by George Spen- 2
cer Brown 1969 in his *Laws of Form*). 3

« 18 » It would seem that the authors are 4
not modelling the construction of a world 5
from consciousness, but the construction 6
of a world by entities that are behaving as if 7
conscious. 8

Agency and the sense of agency

« 19 » The confusion of perspectives is 10
also apparent from the use of the term “agent” 11
and the consequential notion of agency. It 12
seems that the authors conflate the sense of 13
agency with agency as the actual ability of a 14
CA to consciously influence courses of ac- 15
tion. Agency and the sense of agency should 16
not be carelessly equated. Many third-person 17
studies such as those of Benjamin Libet et 18
al. (1983) and Daniel Wegner (2003) have 19
shown that our conscious decisions are not 20
(always) causally linked with our actions, de- 21
spite what the sense of agency might suggest. 22
The phenomenal sense of agency functions 23
mostly as a way of smoothing the narrative 24
(i.e., sense-making). 25

« 20 » Agency and the sense of agency 27
could only be equated if the model were to 28
be intrinsically rooted in the experiential 29
world, that is, if the whole process were to 30
be seen as metamorphoses of phenomenal 31
consciousness. Such a model would describe 32
a consciousness that changes itself. That way, 33
sense-making, the constitution of objects, 34
etc. would all be part of the same substance, 35
and the dualism that spoils the image of the 36
presented model would be avoided. 37 213

Acknowledgement

I would like to thank Florian Klauser for 40
his help with exploring and articulating the 41
target article's (as well as our own) epistemo- 42
logical positions and presuppositions. 43

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he is currently heading the cognitive science programme. 47
His current research involves such training in order to 48
study the phenomenology of the enactment of knowledge. 49

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Eigenform Encoding and Spacetime

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> Upshot • An eigenform is both a symbol for a process and the instantiation of a process itself. As such, eigenform provides a new entry to spacetime, as a unification of entity, place and process.

What is an eigenform?

« 1 » In order to provide some background for a discussion of the target article “Eigenforms, Interfaces and Holographic Encoding” by Chris Fields, Donald Hoffman, Chetan Prakash and Robert Prentner, I shall start this discussion by describing what an eigenform is and then I shall explore the nature of the relationship between quantum theory and eigenforms. First, let us note that formally, mathematically, an eigenform is nothing more and nothing less than the fixed point of a transformation in some domain. If the domain has name D and the transformation is regarded as a function $T: D \rightarrow D$, then an eigenform E is an entity (either in D or in an extension of D) such that $T(E) = E$.

« 2 » Why do we take this notion of eigenform to be of importance for cybernetics? An initial answer is that the transformation T acting on a system D produces a natural recursion. Start with $X(0)$, some entity that we think may approximate a fixed point. Let $X(1) = T(X(0))$. In general, let $X(n+1) = T(X(n))$ for $n = 1, 2, 3, \dots$ ad infinitum. Then the transformation T becomes the generator of a process and hence propels the system into time by the very action of the transformation. This process may have no fixed point. And we are well familiar with such a situation. In fact, almost every object or action that we know has a potentially endless recursion associated with it. This applies in particular to fundamental transformations, such as simple motions of the human body like taking an upright step. We take a step and we can take another. Of course some transformations do have fixed points. For example, $T(x) = x^2$ has as a fixed point the number 1, whose square is equal

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to itself. Alas, this fixed point will not be reached if we take a starting value that is not equal to 1. If we start with a number greater than 1 and square it, we get a number even greater than that and the values will approach infinity. Infinity! Well we were not thinking of that as a number, but surely $\text{Infinity}^2 = \text{Infinity}$ and so Infinity is (if we allow it into our conversation) an eigenform for T . If we take a number greater than 0 and less than 1, then applying T to that number will lead to a sequence that tends to 0. And 0 is a fixed point of T , indeed. So, we have found that T has three eigenforms, Infinity, 1 and 0. This could lead us out beyond the specific transformation to thoughts about the fantastic distinction that seems to present itself between the Infinite, the Nothingness and Unity. We could go off track as far as the calculating forms are concerned and find that the simple working with and searching for a fixed point for $T(x) = x^2$ has led us into cosmological concerns.

« 3 » Heinz von Foerster, in discussing what he called “eigenvalues” (Foerster 1981) and what I call “eigenforms” went off track in a carefully planned formal way that indicates a systematic abduction from the given system into a larger context. He suggested considering the context-free application of T upon itself, for any T whatsoever! And he finds that he can take $E = T(T(T(T(\dots))))$ and then with this infinite concatenation of T upon itself, like the deep repeated reflections seen by an observer between two mirrors, we have $T(E) = E$. What has happened here? Does this concept go too far? Any T has a fixed point and that fixed point is nothing more than an infinite reflection zone of copies of T in a circuit upon themselves. Such a fixed point has no basis other than the transformation T itself. John Wheeler (Misner, Thorne & Wheeler 1973) had the same concept for quantum cosmology. He said (in my paraphrase) that *the Universe is a self-excited circuit, arising from its own observation of itself, which is that very observation of itself*. There is nothing in the universe except the self-participation of the nothing that becomes information and form arising from its own eternal return. The eigenform E is an existence and comes about in the cleft where spatial form and temporal process (time itself) meet. Von Foerster pronounced this self-excited circuit in his own

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way with his statement “**I am the observed relation between myself and observing myself**” (Foerster 1981). We can go from von Foerster to Wheeler by a substitution: “**The Universe is the observed relation between itself and observing itself.**” There is no difference. Spacetime, the Universe, the Self, all are central eigenforms in the genesis of worlds. These words are here capitalized to indicate their roles in this allegory of the nature of Everything.

Quantum theory and it from qubit

« 4 » Having stated my point of view, directly and allegorically, let us turn to the target article, where the authors say “[...] **we pursue the notion of an eigenform not from the point of view of an abstract reflexive system, but from von Foerster’s original perspective of an agent that observes and acts on its world**” (§2). This is a correct stance. One can consider an abstract reflexive system, but the whole point in considering a reflexive system is that the agent, the observer, is the system, and observers become both the system and the parts of the system. Let the allegory become prose. The universe is the source of its own observation. The universe is a self-excited circuit. The agents are not separate from their worlds. In §2, Fields et al. say that we propose an “epistemic cut” between agent and world for the purpose of theory construction. Theory demands such a cut in order to distinguish a theorizing agent. In fact, such a cut has to come along with any perception at all. And the key to the situation of perception is that we are sensitive to the fact that while a distinction is made, it is also mutable. There is no final cut and in the acts of perception, as we come to our senses, we find those places of ambiguity, of feeling, where it is not possible to say what is our construction and what is the world.

« 5 » In §3, the authors state:

“We suggest that spacetime itself, including both the space in which objects appear to be embedded and the time over which they appear to persist, is a relational, error-correcting code for the fitness consequences of interactions.”

At this point I am not prepared to comment on the nature of the code as error-correcting. I am not clear what constitutes

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1 an error in the context of the authors' position. Thus, I ask the authors for clarification about their notion of error (Q1). At one level there can be no error. At another level, what are called errors are certain distinctions made by an observer. At yet another level, errors are what are "corrected" by feedback. In the case of eigenform, there can be "error correction" in the sense of stabilization if the recursive process does stabilize. But we also create forms of stabilization such as the infinite concatenation of the agent's action in the form of $E = T(T(T(\dots)))$. It is to be understood that this infinite activity is an *abduction*, a leap to a form that is invariant under T . It does not mean that an infinite number of operations have been performed. It means that the self-excited living circuit has come into being. In this sense, the eigenform corrects itself. It *makes* itself correct. I am the one who says I.

« 6 » As in §12, the authors are quite taken with the notion of physical surface as the manifestation of the epistemic boundary. I agree that this is useful for physics and particularly in the wake of the recent holographic hypotheses in cosmology and quantum physics. But the most generally applicable epistemic boundary is any distinction whatsoever. And when I say distinction, I mean an arising of observed difference and the arising of an observer of this apparent difference. *I do not regard physical surfaces as fundamental epistemic distinctions. I re-*

gard them as eigenforms for the convenience of the observer who is searching for deeper understanding. Can the authors address this issue from their point of view? (Q2)

« 7 » To offer support for my point in §6, above, consider the fundamental situation of the quantum mechanical model. The state of a quantum system is a vector in a complex vector space (a Hilbert space) that is seen as a sum over all possible observations that can be made for the given experiment. These possible observations are taken as an orthonormal basis for the vector space, and the sum of the absolute values squared of the coefficients of the basis vectors is equal to unity (now lower case). In this way, the state vector is a probability distribution and indeed the probability of making an observation of one of these possibilities is equal to the absolute square of its coefficient. Physical processes are unitary transformations that preserve the total probability distribution. Because we allow complex coefficients, the superposition can model interference and quantum effects. An observation makes the distinction that brings forth one of the possibilities. This distinction is often articulated without the usual spatial boundaries. Thus, a superposition that indicates an entangled state does not have to show the spatial structure that may possibly separate the entangled particles. We need only know the form of their entanglement to know that upon observing one of

them, the other's possible observation is determined. This interrelationship goes across the structure of spacetime. This situation is seen by some to be a paradox. I state it here to bring into question the notion of Fields et al. that the fundamental source of the epistemic boundary is spacetime itself. The Universe (now capitalized as we reenter the allegory) goes beyond spacetime and comes forth as self-excited circuit, living quantum information, unified with a living observer that is both distinct and not distinct from what is observed.

« 8 » I believe that it is a fundamental insight that Universe is identical with Self and that it shall be possible to reformulate present-day physics so that it is seen as a form of the living. We are not there yet. Fields et al. have gone forward with courage to explore aspects of this possibility for Unity.

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Certain Questions Regarding Perception and Boundaries

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> **Upshot** • I elaborate on how boundaries are accounted for in the target article. This is a substantial issue if we are to understand the proposal laid out by Fields et al. I argue that certain boundary-related notions and theses need clarification.

« 1 » Perception has to do with boundaries. I find this general idea laid out in the tar-

get article by Chris Fields et al. very intriguing. The text is insightful in many respects, yet it is also dense, which makes addressing all the issues that should be addressed virtually impossible. For that reason, I shall focus solely on the problem of boundaries. They are salient factors in the proposal under consideration, however, certain things need clarification. There is a literature on boundaries, in particular, a sub-discipline of ontology called mereotopology ([see references in my target article in this issue](#)). I shall not refer to this literature, though, as it would require much more space.

« 2 » So, what does perception have to do with boundaries? The authors say that the perceived world, the familiar realm of things that we perceive every day, results

from encoding the incoming data for us and that this happens on the boundary that separates us from our surroundings. However, crucially, the perceived spectacle does not encode "information about the ontological or causal structure of the world, but rather information about the structure of the fitness function that relates the agent to the world" (§3). This means that the outside world is a black box (a metaphor brought up several times by the authors themselves): that it is, in a sense, hidden.

How many boundaries?

« 3 » Imagine that you are on a beach and you see the line dividing the surface of the sea and the sky above it. Now, how many boundaries are there and to which entity

column A

column B

column C

column A

1 do they belong? Is it the case that the water
2 (or the air) is *closed*, meaning that it has its
3 boundary as a part, while the air (respec-
4 tively, the water) is *open*, i.e., it does not have
5 a boundary of its own, thus the boundary of
6 one entity serves as the boundary between
7 the entities in question? Or is it the case that
8 both the water and the air have their own
9 boundaries and that these boundaries abut
10 each other? Finally, perhaps the water and
11 the air share the boundary, meaning that the
12 latter is a common part of both entities. (I
13 omit the antirealist scenario in which there is
14 no boundary at all but only an illusion of its
15 actual existence). These questions may seem
16 silly, yet if being bounded is an essential fea-
17 ture of some entity, a condition of its identity,
18 the issue becomes ontologically critical.

19 « 4 » When it comes to our case, the
20 authors claim that perception is a spectacle
21 played on the boundary between the per-
22 ceiver and the outside world (the black box),
23 but how many boundaries do we have there?
24 Here is the first option:

25
26 (1Bpw) There is one boundary. It belongs
27 to the perceiver and to the outside world; it
28 is shared by them. They are both closed.

The perceiver	The outside world
---------------	-------------------

29
30
31
32
33 This option is clearly endorsed by the au-
34 thors in §10.

35 « 5 » If we generalize what the authors
36 say in §10, then the perceiver and the out-
37 side world are *neither closed nor open* (origi-
38 nally, the model outlined in the target article
39 referred to the simplified situation in which
40 an outside world for one perceiver is another
41 perceiver). This cannot be right. The alleged
42 “purely notional” character of the bound-
43 ary in question has nothing to do with the
44 context. This is because, by deeming the
45 boundary “purely notional” we take a par-
46 ticular position as regards the *nature* of the
47 boundary, not about its very *existence*. So, for
48 example, the boundary between Poland and
49 Russia (Kaliningrad Oblast) is purely con-
50 ventional, but surely it exists and it is even
51 guarded by heavily armed forces. So, if there
52 is a boundary (or boundaries) between the
53 perceiver and the outside world, regardless
54 of its nature, the two realms must be either
55 open or closed.

column A

column B

« 6 » So, suppose that we have one
boundary shared by both sides as (1Bpw)
proposes. This means that both the perceiver
and the world are closed, yet they are not
separated. Imagine two pieces of a material
sewn together: they are distinct and each of
them is bounded but they cannot be set apart;
they are parts of one whole, so to speak, pre-
cisely because they are sewn. However, there
is one subtle puzzle here: if the perceiver and
the world are sewn by their shared boundary,
then one can hardly say that what happens in
the sewing itself has nothing to do with the
ontological structure of the world; after all,
this sewing is likely part of the ontological
structure; if not, then what is it?

« 7 » In this context, we can notice an
interesting tension in the very nature of at
least some boundaries. Think of a living
creature: boundaries constitute an organ-
ism by cutting it off from its environment,
yet at the same time, they provide channels
for communication with the environment.
Say, once they bound something, they open
some doors to make traffic possible. When
it comes to the philosophy of mind and
perception this tension is crucial: there is
the Cartesian approach to the mind-world
boundary, putting stress on isolation or
separation, while, e.g., in Edmund Husserl’s
or Maurice Merleau-Ponty’s approaches, the
boundary in question was supposed to – let
me use Husserl’s original and very pregnant
formulation – *bring the world to a presenta-
tion*. The authors apparently take the Carte-
sian route and I am not sure if that is neces-
sary for their project as a whole.

« 8 » But perhaps there are actually two
boundaries, as it is also suggested in §10,
where the authors introduce a distinction
between a boundary and its surfaces. But is
a surface not a boundary, too? So, we can at
least take into consideration the following
scenario:

(2B) There is one boundary that belongs
to the perceiver and one boundary that
belongs to the outside world. They are
both closed.

The perceiver	The outside world
---------------	-------------------

However, this case is very problematic due
to the fact that it becomes unclear where ex-

column B

column C

actly the information is encoded: on which
boundary does this process occur? If it oc-
curs on the perceiver’s boundary, then what
role is left to be played by the world’s bound-
ary? Perhaps here is the point where the idea
of the *structure of fitness*, as opposed to the
ontological structure of the world, comes
on stage. Suppose that the world’s boundary
provides a barrier that the perceiver bumps
against, so to speak, adjusting its shape, i.e.,
adjusting its boundaries, so that they fit,
metaphorically, to the world’s boundaries.
However, if there are two separate boundar-
ies and their abutting determines the struc-
ture of fitness, then why is there any need for
a rather complex process of *encoding* infor-
mation and establishing this whole theater
of phenomena that we face once we open
our eyes in the morning? This is just another
way of formulating what David Chalmers
(1995) once called the hard problem of con-
sciousness, yet from a different side; this is,
say, the *hard problem of presentations*: why
there presents something rather than noth-
ing; why are we not “zombies,” bumping
against the boundary of the world, adjusting
to it and by doing so maintaining solely our
structure of fitness? It seems that we could
do so without facing any phenomena and it
is likely that the most primitive organisms
still function in this way.

« 9 » Perhaps stripping the perceiver
from its boundaries yields an even better
understanding of the structure of fitness.

(1Bw) There is one boundary and it be-
longs to the outside world. The perceiver is
open while the world is closed.

The perceiver	The outside world
---------------	-------------------

Here the perceiver is shaped by the bound-
aries of the world as boundless water poured
into the glass. In this sense, the perceiver fits
the boundary (or boundaries) of the world.
This boundary must be there, pre-given and
ready-made (Hilary Putnam’s term) inde-
pendently of the perceiver if the latter is sup-
posed to adjust itself to it. Such a scenario
has been discussed and criticized, e.g., by
Francisco Varela, Evan Thompson and Elea-
nor Rosch (1991: 193, 198). However, aside
from Varela’s criticism, here as in the (1Bpw)
scenario, it is not clear why the structure

column C

column A

column B

column C

1 of fitness is distinct from the alleged onto-
2 logical structure of the world. After all, the
3 boundary that the perceiver faces partakes
4 in this ontological structure. If it does not
5 partake in it, then what is it? Thinking of the
6 boundary in question as if it were like a mere
7 wrapping paper having nothing to do with
8 the thing being wrapped – the world in this
9 case – makes the boundary a mysterious, su-
10 perfluous entity of unknown origin. But if it is
11 not a mere wrapping paper, then one cannot
12 say that the ontological structure is hidden
13 behind the boundary; the structure is there,
14 and the boundary is its manifestation.

15 « 10 » Finally, there is a scenario that
16 strips the world from its boundary:

17
18 (1Bp) There is one boundary and it be-
19 longs to the perceiver. The world is open
20 while the perceiver is closed.



21
22
23
24
25 Varela et al. likely have this scenario in
26 their minds when they write that “our lived
27 world does not have predefined boundar-
28 ies” (Varela, Thompson & Rosch 1991:
29 148), and

30
31 “cognition is not the representation of a pre-
32 given world by a pre-given mind but is rather the
33 enactment of the world and a mind on the basis of
34 a history of the variety of actions that a being in
35 the world performs.” (ibid: 9).

36
37 Here, admittedly, we cannot speak of a pre-
38 given or ready-made ontological structure
39 of the world in the absence of what the per-
40 ceiver does. However, whatever the struc-
41 ture of this world is, it cannot be regarded as
42 obscured or hidden either. Here, structures
43 of the world result from the perceiver’s in-
44 teractions with the world.

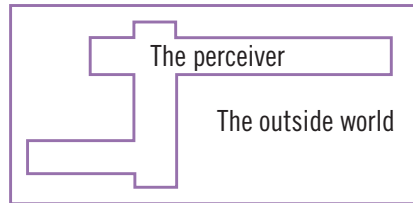
45 « 11 » To conclude this part, I wonder
46 to what extent the conception outlined in
47 the target article could be freed from the
48 Cartesian idea of the world’s being ready-
49 made (its having a structure independently
50 of cognition) and hidden (meaning the in-
51 accessibility of this ready-made structure).

52 How many types of boundaries?

53 « 12 » The scenarios presented above, as
54 well as those presented in the target article,

column A

suggest that there is just one place where
the perceiver-world boundary is drawn so
that both sides seem to be like two blocks.
But what forces us to accept this two-blocks
model? Maybe it would be much better to
draw several lines composing a more com-
plex structure, say, something like this:



« 13 » Someone might say that there is
no essential difference between the latter
and former scenarios. While this is correct,
the latter drawing makes an important sug-
gestion: both sides, i.e., the perceiver and
the world, are *shaped with respect to each
other*; the boundary line is not just a *line*;
it contributes to what the two bounded
realms are.

« 14 » There may be, however, an es-
sential difference, too. The essential, yet
rather tacit assumption behind what I have
just dubbed provisionally the two-blocks
model is that there is just one *type* of per-
ceiver-world boundary. But why? Perhaps
each perceptual subsystem, be it vision,
hearing or touch, sets up and imposes on
the world its own structure of boundaries.
Note that from the evolutionary perspec-
tive, the step from mere mechanical senses
like touch or from chemical senses, the
oldest ones, to vision – the step that marks
a great evolutionary achievement – origi-
nated from a new ability to target what was
literally on the boundary of an organism,
where receptors are plugged in, not as the
object perceived but as a signal of an object
or as information. Hence, while in the case
of touch, the boundary of the thing being
perceived abuts the physical boundary of
the perceiver (let alone chemical sensation
where a substance that is perceived must
react with certain proteins, which makes
the question of boundaries difficult – there
is something more than abutting), in the
case of vision, for instance, these respective
boundaries have nothing to do with each
other. But perhaps – let me set this off as
a speculative hypothesis – together with
vision, a specific *new system of boundaries*

column B

came into being, so that, say, the vision-
determined boundary of the perceiver is
not identical to its physical boundary *qua*
organism, and at the same time this new
boundary serves as the vision-determined
boundary of the thing perceived. Here per-
ception, cognition in general, brings forth
significantly new types of boundaries and
– this is a constructivist aspect of the idea
– imposes these boundaries on the world
so that the world is brought to a presenta-
tion in such and such a guise (see my target
article in this issue). And perhaps further
steps in this evolutionary process resulted
in the boundaries of what we used to call
mind. Recall Andy Clark and Chalmers’s
(1998) groundbreaking idea of an extended
mind. What they propose boils down to the
claim that the mind sets its special arrange-
ment of boundaries that are not identical to
the physical boundaries of the body.

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is interested, first of all, in so-called “aspectual
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knowledge. His articles concern epistemological,
ontological as well as logical aspects of this issue.
Still in connection with it, he has recently directed his
attention toward evolutionary origins of cognition.

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column A

“Eigenforms, Interfaces and Holographic Encoding”: Their Relation to the Information Loss Paradox for Black Holes and Quantum Gravity

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> Upshot • I emphasize possible analogies and links between the content of Fields et al.’s target article and some consolidated recent studies in the literature of quantum gravity and the information loss paradox for black holes. This follows from the attempt by the authors to account for spacetime as an error-correcting code. The paradigm the authors focus on can be naturally cast in the language of some models of quantum gravity based on graph theory, and suggests a generalization of the perceptual systems so as to account for quantum holographic encoding as described in quantum gravity.

« 1 » At the core of the target article “Eigenforms, interfaces and holographic encoding: Toward an evolutionary account of objects and spacetime” there is the development of the interface theory of perception (Hoffman, Singh & Prakash 2015). This framework is unfolded within the very same language in which the epistemic foundations of quantum mechanics can be phrased (§§4–13). The interface theory of perception allows a detailed description of the holographic encoding, and is naturally tailored in order to account for the complexity of the observer–environment interface’s interactions. Within this framework the authors address the structure itself of spacetime (§§32–36), after having reviewed and analyzed the most relevant options for holographic encoding (§§15–18), and summarized the propositions for the fitness functions (§§22–24) deployed in the interface theory of perception.

« 2 » Although the axioms of quantum mechanics are not explicitly stated, the focus throughout the work is on quantum states, entanglement and observer–environment

column A

column B

decoherence (§§15–18). The underlying assumption is therefore that a theory of perception should be addressed and studied through the lenses of quantum mechanics. The authors explicitly mention criticism of the assumptions of “epistemic transparency and objective persistence” proper of the classical worldview and point toward the elaboration of experiences within the theoretical framework of quantum mechanics. They ascribe particular relevance to unitary quantum theory (§15) as the correct paradigm in which to address decoherence and holographic encoding. As they point out, in unitary quantum theory “the universe is permanently in an entangled state; there are no classical objects” (§15; emphasis in the original).

« 3 » Besides the philosophical preference toward unitary quantum mechanics, the line of thought followed by the authors has a striking overlap with a vast part of the literature developed in the last four decades about the information loss paradox of black holes, and crosses its natural consequence, which is the development of the holographic principle – see, e.g., the seminal works by Gerard ’t Hooft (1993) and Leonard Susskind (1995) – in quantum gravity and high energy physics (Bousso 2002). There are evident analogies that assimilate the crucial role of the black hole event horizon in the flow of information to the role of the membrane between observer and system in the interface theory of perception. The comprehension of the function of the physical degrees of freedom that puncture the observer–system interface represents a possible pathway to solve the information loss paradox. The key point is to overcome the no-hair theorem for classical black holes. This states that the thermodynamics of black holes shall be described only in terms of three quantities: the mass, the spin and the electric charge of black holes, all the other classical degrees of freedom being irrelevant (Misner, Thorne & Wheeler 1973). The no-hair theorem can be avoided by resorting to the notion of quantum hairs. The latter are quantum numbers that black holes may carry, which are not associated with massless gauge fields and which may solve the information paradox, allowing for storing of information. This is a perspective that comes from an old idea (Coleman, Preskill & Wilczek 1992) that re-

column B

column C

cently underwent a popular revival in the literature of high energy physics, thanks to the intuitions of Stephen Hawking (2015) and to the work of Hawking, Malcolm Perry and Andrew Strominger (2016) on soft photons. The very quantum-mechanical description of the theory of perception the authors move from can naturally encode quantum hairs. But then a first provocative question to address would be: Can an observer have access to quantum hairs and thus to the information that can be encoded in these latter entities? (Q1)

« 4 » Beyond this analogy between event horizon and interface of perception, it is possible to point out a more general correspondence between quantum degrees of freedom that are encoded on the observer–system boundary and some theories of quantum gravity that make explicit use of graph theory. Among the latter we mention loop quantum gravity (Rovelli 2004) and theoretical constructions that arise from string-nets (Levin & Wen 2005). Gauge interactions, and eventually also fundamental particles of the standard model (Bilson-Thompson, Markopoulou & Smolin 2007), can be derived in these two frameworks. The basic objects of these theories are graphs, namely sets of nodes interconnected by links, which are colored by fundamental representations of some continuous or discrete Lie group. These latter are sets of elements on which it is possible to define a product rule, recover a unit element and then find an inverse element that reproduces the unit element by virtue of the product rule. The redundancy that the authors propose to be deployed for unravelling the emergence of space, and in general spacetime, as an error-correcting code could be then associated with the irreducible representations that are assigned to the links of the graphs in these theories. This is exactly the same construction developed in loop quantum gravity or string-nets. The quantum states of the models of emergent spacetime are then recovered from the graphs that are taken into account. The colors, i.e., the irreducible representations of elements of the Lie group, are now associated with eigenvalues of the observable quantum operators of the theory. The dimension of the Hilbert space associated with the irreducible representations of a discrete or continuous group Lie group G – or eventually to a quan-

column C

column A

1 tum group – that are assigned to the links of
2 the graphs, is the natural instantiation of this
3 line of thought and might represent redun-
4 dancy.

5 « 5 » Moving from such an intuitive ap-
6 proach we are led to ask a second relevant
7 question: How can we encode the observer-
8 system duality in those models of quantum
9 gravity that are phrased within the language
10 of graphs (spin-networks, Wilson loops or
11 string-nets)? (Q2) If we take into account
12 the authors' analysis in (§§15–17), it seems
13 natural to argue that the individuation of an
14 interface distinguishes two subsystems of the
15 Hilbert space, and thus implies that the total
16 set of degrees of freedom encloses both the
17 observer that perceives and the perceived
18 system. Nonetheless, redundancy would re-
19 quire, in order to let emerge the notion of
20 spacetime that satisfies the Einstein equiva-
21 lence principle, that a continuous group
22 structure G could be consistently defined
23 (§§15–17) for the spaces of actions, and that
24 this G could be connected to the Lorentz
25 group.

26 « 6 » As the authors point out in §34, a
27 sizable amount of energy expenditure is re-
28 quired for the holographic encoding, which
29 is roughly proportional to the number of bits
30 involved at the interface and to thermal en-
31 ergy for each degree of freedom. This implies
32 that the redundancy increases in propor-
33 tion to the dimension of the Hilbert space at
34 the boundary between the observer and the
35 system. Thus, the simple system described
36 by a binary code, namely the Hilbert space
37 of spin 1/2 particle in the physicist's jargon,
38 might already turn into an extremely com-
39 plicated model to be solved. Nonetheless,
40 at least from a theoretical perspective, we
41 may ask what happens if the Hilbert space
42 at the boundary is composed by N degrees
43 of freedom whose internal degeneracy is
44 described by the irreducible representation
45 of a Lie group G . The main last question I
46 propose is therefore: What is the nature of
47 these degrees of freedom at the interface be-
48 tween the observer and the system and what
49 is the internal degeneracy group, namely the
50 redundancy, connected to these degrees of
51 freedom? (Q3)

52 « 7 » The answer to Q3 amounts to the
53 correct reconstruction of the boundary
54 physical theory. We must indeed recover the
55 relevant degrees of freedom at the interface,

column A

column B

and consistently describe at the quantum(-
gravitational) level the interactions these un-
dergo. The role of the symmetries, to which
are connected charges that may play the role
of bits, is indeed very intertwined with this
aspect, as emphasized in a series of studies by
Hawking, Perry and Strominger — see, e.g.,
Hawking, Perry & Strominger (2016).

« 8 » I wish also to emphasize that the
role of quantum gravity is not only crucial to
determine the dimensionality of the bound-
ary Hilbert space – this pertains to the total
set constituted by the “observer” and the
“system,” and accounts for the description of
their interaction – but is relevant as well to
regularize the maximum amount of degrees
of freedom that shall be considered while
reckoning the exchange of bits and the flow
of information through the membrane. This
provides a set-up in which we can operation-
ally accomplish calculations, avoiding infin-
ities.

« 9 » I end this brief commentary by
recalling the authors' suggestive remark (in
§38) – part of common belief in the commu-
nity of quantum gravity that has been grow-
ing in recent years – that with the relation be-
tween interface's perception and holographic
encoding we may only actually be probing
the tip of an iceberg. A deeper understand-
ing of the emergent nature of spacetime
might indeed arise from the development
of a theory of quantum information gravity
that many authors are currently developing
in the literature.

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inflation and CMBR physics, currently his main topics
of research. In the USA, he also continued focusing on
the Wilson-loop approach to Quantum Cosmology and
Quantum Gravity, learnt while working at Aix-Marseille
University, soon after his PhD at Sapienza University
of Rome. His current research also encompasses
the implementation in condensed-matter physics of
mathematical tools borrowed from quantum gravity, as
an attempt to address dynamics on lattice structures,
including graphene, in non-perturbative regimes.

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column B

column C

Authors' Response Boundaries, Encodings and Paradox: What Models Can Tell Us About Experience

Chris Fields, Donald D.
Hoffman, Chetan Prakash
& Robert Prentner

> **Upshot** • Formal models lead beyond
ordinary experience to abstractions such
as black holes and quantum entangle-
ment. Applying such models to experi-
ence itself makes it seem unfamiliar and
even paradoxical. We suggest, however,
that doing so also leads to insights. It
shows, in particular, that the “view from
nowhere” employed by the theorist is
both essential and deeply paradoxical,
and it suggests that experience has an
unrecorded, non-reportable component
in addition to its remembered, report-
able component.

« 1 » We thank our commentators for
their insightful criticism. While each of them
chooses a different focus for their comments,
the issues they raise overlap considerably. We
highlight in what follows what we take to be
the major issues, and attempt to show how
they relate both to what we propose in the
target article and to one another.

The “classical world” is the explanandum

« 2 » Constructivists, phenomenolo-
gists, and others who reject naive realism
are faced with the task of explaining a shar-
able experience of a classical world – a world
of “tables and chairs and dogs and cats and
people” (Eric Dietrich §1). Even the “natu-
ralized” sciences, however, face this chal-
lenge. This is obvious in the case of quan-
tum theory, but even the classical theory of
atom-based matter – the classical physics of
the late 19th century – faces the problem of
how clouds of atoms could appear to us to be
tables or chairs. It is less obvious in the case
of biology and psychology, but here it must
be explained how agglomerations of cells –
i.e., organisms – could self-assemble in ways
that allow the experience of such things as
tables and chairs as opposed to, say, just
brightness and saltiness.

column C

column A

column B

column C

1 « 3 » We agree with **Dietrich (§14)** that
 2 the *experience* of a classical world is in-
 3 eluctable. When we open our eyes, we see
 4 bounded objects with definite shapes, sizes
 5 and locations; when we open our ears we
 6 hear tones with definite loudness and pitch.
 7 Our goal is to explain why we have such
 8 experiences. **Dietrich** suggests that the ex-
 9 perience of a classical world is ineluctable
 10 because there is an ontologically real clas-
 11 sical world, one with a “mind-independent
 12 spacetime” that is “filled with mind-inde-
 13 pendent objects” (§2). We “visit” this world
 14 by opening our eyes and ears. According to
 15 **Dietrich (§12)**, an utterly differently struc-
 16 tured quantum world that we can access
 17 (since the 1920s) only via our thoughts can
 18 be considered to be equally real, and there
 19 may be other equally real worlds with yet
 20 different structures that we cannot access at
 21 this time. From a constructivist perspective,
 22 these “worlds” are all constructs, one of our
 23 perceptual systems and the other(s) of our
 24 theoretical imaginations. Why the former
 25 should provide compelling experimental evi-
 26 dence for the latter remains a mystery. Why
 27 we can only express our theories – even to
 28 ourselves, in thoughts – using classical sym-
 29 bols is also mysterious.

30 « 4 » We attempt to address these ques-
 31 tions by appealing to a specific mechanism:
 32 holographic encoding on an interface that
 33 employs spacetime as an error-correcting
 34 code. We (each) see a classical world, in our
 35 view, because we (each) have this kind of
 36 interface. The “objects” – including objects
 37 of thought – that our interfaces present to
 38 us are eigenforms. As Heinz von Foerster
 39 (1976) emphasized, eigenforms and the cor-
 40 responding eigenbehaviors are (at least ap-
 41 proximate) fixed points of multiply repeated
 42 (ideally infinitely repeated) perception-ac-
 43 tion loops (cf. **Louis Kauffman’s** commentary).
 44 Eigenform and eigenbehavior must be clas-
 45 sically correlated across these repetitions;
 46 hence the process of repetition, whether it
 47 is conscious or not, constitutes a *memory*. It
 48 is this memory of classical correlation that
 49 confers classicality on the “classical world”
 50 of our interface-encoded experience.

51 « 5 » If we are correct, the “classical
 52 world” is not a world at all, but is *only* an
 53 experience. The classical-world experience
 54 is ineluctable because the interface that
 55 encodes it is the only interface we have; as

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Ernst von Glasersfeld puts it, summarizing
 three millennia of philosophical empiri-
 cism, “it is impossible to compare our im-
 age of reality with a reality outside” (Gla-
 sersfeld 1981: 89). When we imaginatively
 construct theories of what lies beyond the
 interface, we construct and express them
 using symbols and diagrams that our inter-
 faces allow: classical symbols and diagrams
 that have definite arrangements and shapes.
 Such symbols and diagrams are, like our
 percepts, eigenforms, fixed points that are
 only recognizable through repeated use. We
 have no choice in our use of *classical* sym-
 bols and diagrams, as our experiences of
 theory construction and our experiences of
 our constructed theories are *experiences* and
 so are encoded on our interfaces. The classi-
 cal symbols and diagrams that we use to ex-
 press our theories make use of redundancy
 in space and time; hence they enable error
 correction.

« 6 » What we have called the classical
 worldview, on the other hand, is an assump-
 tion that the classical world of our experi-
 ence is not just encoded on our interfaces,
 but also exists beyond them as an ontologi-
 cally real structure comprising a multitude
 of well-defined, bounded, time-persistent
 macroscopic objects. We see tables and
 chairs, in this worldview, because tables
 and chairs (not just clouds of atoms) are out
 there, bouncing light into our eyes. Percep-
 tion is (mostly) veridical because the inter-
 faces through which we have perceptual
 experiences are (mostly) transparent. The
 world, on this worldview, is not a black box
 at all, but rather a (mostly) white one. What
 you see is what you get. **Dietrich** argues (§3,
 §12) that this world/worldview distinction
 is illegitimate without empirical evidence
 that our model is correct. We disagree: the
 classical worldview is an explicit philosophi-
 cal claim or, more commonly, an implicit
 and perhaps innate assumption that can be
 (and in point of fact is) made independently
 of whether the classical world that it postu-
 lates actually has the ontological status that
 the classical worldview claims it to have. On
 the other hand, we agree with **Dietrich** that
 there is a deep issue here: stating this dis-
 tinction is making a *statement*, and making
 any particular statement is a classical act. If
 the classical worldview is rejected, the sta-
 tus of statements is cast into doubt; it is un-

column B

clear how anyone could speak one particular
 sentence or think one particular thought.
 Memory and communication both become
 paradoxical. Any non-classical theory seems
 to require, as Niels Bohr argued, a classical
 metatheory just to support *language*. Here
 a dialethic world (**Dietrich §12**) seems ines-
 capable (Dietrich & Fields 2015).

« 7 » While we do not, as **Dietrich** points
 out, have direct empirical evidence for our
 model, there is plentiful (albeit indirect) evi-
 dence for holography as a mechanism (see,
 e.g., **Antonio Marcianò’s** commentary). Many
 would argue, moreover, that the mounting
 evidence for quantum effects at macroscop-
 ic scales demonstrates empirically that the
 classical worldview is wrong. As **Dietrich** em-
 phasizes, accepting this argument requires
 the acceptance of another deep paradox.
 Experiments, in particular, require time-
 persistent observers and apparatuses that
 interact while remaining separable in the
 physicist’s sense of having independently
 characterizable states. Joint states of inter-
 acting systems are not, however, separable
 under the unitary evolution prescribed by
 quantum theory. This paradox can be stated
 starkly: local decoherence requires global
 coherence, i.e., global entanglement. From a
 global quantum-theoretic perspective, both
 decoherence and the classical world it pro-
 duces are epiphenomenal.

« 8 » **Dietrich** also points out (§14) that
 we have offered no theory of how human
 beings can formulate, within their classical
 interfaces, theories of the non-classical. This
 is a fair challenge that we hope someday to
 accept.

Consciousness is fundamental, but architecture must be fundamental too

« 9 » Both **Dietrich (§13)** and **Urban
 Kordeš (§10)** suggest that we are trying to
 explain phenomenal consciousness, or are
 at any rate not taking it to be fundamental.
 We were perhaps not sufficiently clear that
 we take phenomenal consciousness to be
 fundamental and irreducible, and simply as-
 sume that conscious agents have it. However,
 we also assume that conscious agents have
 an architecture in addition to consciousness.
 The structure and content of phenomenal
 consciousness (i.e., experience) alone is, we
 claim, insufficient to explain itself, e.g., in-

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1 sufficient to explain the structure and content of the experienced classical world.

2
3 « 10 » Kordeš specifically argues that our
4 distinction between the experience space
5 X and the space G of available actions is a
6 mistake; G , Kordeš suggests, should be a sub-
7 set, presumably a proper one, of X . “Being
8 autonomous,” he claims, “means that the
9 agent chooses from the options the agent
10 itself constructs rather than from pre-given
11 options” (§11). Placing G within X results,
12 however, in an agent aware of every available
13 action and of every choice of action. No ac-
14 tions by such an agent can be “automatic” as
15 psychologists such as John Bargh and Tanya
16 Chartrand (1999) use this term. Genuine
17 autonomy, moreover, requires that the agent
18 be able to actually perform whatever action
19 is chosen. This is possible only if the world
20 never interferes to prevent a chosen action.
21 The conscious agent (CA) formalism sepa-
22 rates G from X not just to enable automa-
23 ticity, but also to take the evident ability of
24 the world to interfere with our desires into
25 account. The best argument for the existence
26 of a world independent of your own mind is,
27 as The Rolling Stones explain it, “you can’t
28 always get what you want.”

29 « 11 » Postulating an architecture is, by
30 its very nature, going beyond “lived expe-
31 rience” to the realm of theoretical models.
32 We fully agree with Kordeš that pretending
33 to “eyes of God” that “[see] all agents, their
34 actions and interactions” (§13) is a mis-
35 take, but we nonetheless regard an ability
36 to build, consider, and derive predictions
37 from theoretical models as an essential ad-
38 junct to phenomenology. The formalism
39 and diagrams of von Foerster, for example,
40 compose such a model, as do those of Karl
41 Friston or Wojciech Zurek or indeed of any
42 other author who claims to explain or pre-
43 dict any experience of any observer. Kordeš is
44 no exception. “By renouncing the view from
45 nowhere, consciousness appears every-
46 where” (Kordeš §15) may well be a report of
47 first-person experience, but saying *how this*
48 *happens* requires a model. For many, more-
49 over, consciousness appears everywhere
50 only from a theoretical, view-from-nowhere
51 perspective, one from which the futility of
52 attempts to make consciousness “emerge”
53 from something else becomes evident.

54 « 12 » Consciousness appears every-
55 where in the CA framework via a postulate:

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conscious realism (see §9 in our target ar-
ticle). This postulate is not as radical as it
seems. Two CAs defined to have the same
“world” set W can be taken to represent two
“points of view” on W . If, however, W is
reconceptualized as simply the *information*
channel via which the agents interact, its de-
grees of freedom can be subsumed into the
perception and action maps of the agents
to produce the interacting-agent configura-
tion shown in Figure 1c of our target article.
From the perspective of either agent, the
“world” is indistinguishable from the other
agent. René Descartes realized this in his
Meditations, stating that nothing in his ex-
perience could prove that he was not inter-
acting with an “evil demon” that synthesized
his every percept. The currently fashionable
idea that we (each) live in a computer simu-
lation constructed by some advanced race,
maybe even our own descendants (Bostrom
2003), updates Descartes. The simulation is,
in this view, the channel by which the aliens,
or maybe our grand“-children, toy with us.

The interface is a boundary in state space, not spacetime

« 13 » Kauffman and Konrad Werner both
wonder how the interface is defined, a ques-
tion that is present but implicit for both Di-
etrich and Kordeš. Kauffman asks, in particu-
lar, (Q2) whether we require the interface to
be a “physical surface,” later attributing to us
the notion that “the fundamental source of
the epistemic boundary is spacetime itself”
(§7). The word “physical” here is ambigu-
ous; physicists often use it to mean merely
“consistently describable in the language of
physics,” ruling out as “unphysical” only sit-
uations with mathematical descriptions that
are self-contradictory or meaningless. We
can, however, state categorically that we do
not require the interface to be a boundary in
spacetime, and we apologize if anything in
our text suggests this. We regard spacetime
as a way of encoding information on an in-
terface, one that may or may not be used, but
that provides the benefit of some level of er-
ror correction. Human experience and thus
the (typical) human interface employs spa-
cetime to advantage for encoding percepts,
some concepts (e.g., those of geometry), and
much of what we imagine, but other kinds
of observers may have interfaces that do not
employ spacetime, or that employ spacet-

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imes with more or fewer dimensions or even
different geometries from ours. Encodings
of some kinds of human experience, e.g., of
emotions or epistemic feelings, tend to em-
ploy time but not space. Nothing requires or
even suggests a common encoding across
the entire interface.

« 14 » The notions of open and closed
boundaries of classical mereotopology are
motivated by the characteristics of ordinary
objects occupying continuous, locally Eu-
clidean spacetime. Hence it is unsurprising
that, as Werner shows, they are of little use
in understanding the kind of interface pro-
posed here. Werner rejects, in particular, our
characterization of observer (or “perceiv-
er”) and environment (“outside world”) as
mereotopologically neither open nor closed
(Werner §5). If either is open, its complement
must be closed (Smith 1996). Observer and
environment are, however, on this model
entirely equivalent and interchangeable; this
is why we draw them symmetrically and
prefer the neutral “Alice” and “Bob” nomen-
clature to the connotation-laden “observer”
and “environment.” Nothing motivates any
structural distinction between the two;
hence there is no justification for a mereo-
topological distinction. Given that they
interact, we are left with the situation that
Werner (§4) labels “1Bpw”: both systems are
closed and they share a boundary. While the
boundary is shared, however, the systems
cannot both be closed: observer and envi-
ronment together compose the entire uni-
verse, which, as Barry Smith (1996) points
out, is boundaryless and hence not mereo-
topologically closed (it is, however, closed in
the physicist’s sense of not interacting with
anything). This situation is rendered even
more paradoxical by noting that observer
and environment each appears fully embed-
ded in the other when viewed from their
own perspective.

« 15 » Kauffman remarks that “the most
generally applicable epistemic boundary is
any distinction whatsoever” (§6). The dis-
tinctions between red and green or between
happy and sad are examples. Any prop-
erty that supports such a distinction (what
physicists call a “degree of freedom”) can be
thought of as a component of the *state* of a
system. The boundaries in which we are in-
terested are boundaries in the abstract state
space (as Kauffman §7 points out, this is a

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1 Hilbert space in quantum theory) of the uni-
 2 verse. Observer and environment are distin-
 3 guished as subsystems by the states that they
 4 can occupy. The epistemic boundary be-
 5 tween them – the boundary by which *we*, as
 6 theorists, distinguish them – is their shared
 7 interface. The states on this boundary are
 8 available to encode experiences; they imple-
 9 ment the respective spaces X of observer and
 10 environment in the CA formalism. *What is*
 11 *encoded* on the interface at any instant of
 12 either system-relative time depends on how
 13 the two systems are interacting at that time.
 14 The interaction need not involve spatial de-
 15 grees of freedom, as **Kauffman** makes clear in
 16 his discussion of entanglement (§7).

All boundaries encode experience, but all boundaries can be erased

17
 18 « 16 » **Kauffman**'s remark that "any dis-
 19 tinction whatsoever" creates an epistemic
 20 boundary is, however, even more powerful
 21 than this. It implies, when taken seriously,
 22 that every possible boundary in state space
 23 encodes experience. Every system is an ob-
 24 server; likewise, every system is an observed
 25 environment. Every state corresponds to an
 26 experience on some interface. The universe
 27 is, therefore, filled with experiencers and
 28 filled with experience. In this sense, contra
 29 **Kordeš** (§17), the abstract space in which
 30 agents live is indeed a space of phenomenal
 31 experience. Each agent, however, experienc-
 32 es only what is encoded on its own interface.
 33 Sensations, thoughts, feelings, imaginations,
 34 the experiences of deciding or doing, all
 35 are encoded on the interface. All are eigen-
 36 forms. Each agent's internal, "bulk" states
 37 are experientially inaccessible to it, even
 38 though each of them is on the interface of
 39 and hence encodes accessible experience for
 40 *some* agent. To see this in the simpler arena
 41 of spacetime, think of the constant experi-
 42 ences of your own neurons (of which **Cook**
 43 2008 provides a compelling description), all
 44 of which are inaccessible to you.

45
 46 « 17 » Expanding one's (theoretical) per-
 47 spective to the entire universe considered as
 48 a whole, however, produces not **Kauffman**'s
 49 hoped-for abduction but **Dietrich**'s dialethic
 50 paradox. As described in §7 of our target
 51 article, both classical and quantum physics
 52 allow inter-system boundaries to be moved
 53 or erased arbitrarily without affecting joint-
 54 system dynamics (e.g., **Zanardi** 2001; **Dugic**

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& **Jeknić-Dugić** 2008; **Harshman** & **Ranade**
 2011); this constancy of whole-system dy-
 namics under arbitrary decomposition has
 been termed "decompositional equivalence"
 (Fields 2016). Within the CA formalism,
 decompositional equivalence is imple-
 mented by the arbitrary composability of
 Markov processes. The universe as a whole
 has no "outer" boundary; decompositional
 equivalence allows the erasing of any "in-
 ner" boundaries as well. Hence the universe
 can be considered to be filled with observ-
 ers and experiences as described above, but
 the boundaries defining these observers can
 also be erased with no effect. In the CA for-
 malism, the universe can be considered to
 be a CA or any combination of CAs, but it
 can also be considered to be a single set W
 mapped to itself. If any distinction creates a
 boundary, such a boundaryless system can
 make no distinctions. With no boundary to
 serve as an interface and no ability to make
 distinctions, the universe has no experience
 space X and no experiences. It has no point
 of view, on itself or on anything else. **John**
Wheeler's well-known statement (**Kauffman**
 §3) is, therefore, misleading. The universe
 is composed of observer-participants, but is,
 when viewed as a boundaryless whole, itself
 neither an observer nor a participant.

« 18 » Taking actions into account deep-
 ens the above paradox. Boundaries encode
 not just experiences but actions: the per-
 ceptions of each agent are the actions of its
 environment and vice versa. The actions of
 agents drive the evolution of the universe;
 the dynamics of a universe entirely com-
 posed of agents is nothing beyond the com-
 bination of all of their actions. Yet from the
 (theoretical) perspective of the entire uni-
 verse, none of the boundaries matters. De-
 compositional equivalence allows the eras-
 ing of all boundaries with no effect. From
 the perspective of the whole universe, there
 is no spacetime (indeed no classical infor-
 mation) and nothing is happening. The uni-
 verse is in a pure entangled state. That this
 fixed point exists is the physical content of
 the **Wheeler-DeWitt** equation.

« 19 » The paradox posed by the "uni-
 versal view" is, however, deeper still. The
 boundary erasure allowed by decomposi-
 tional equivalence erases all interfaces and
 hence all encoded experience. From the
 (theoretical) perspective of the entire uni-

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verse, consciousness and its contents are,
 1 like decoherence, epiphenomenal. Decom-
 2 positional equivalence renders a universe
 3 filled with awareness and a universe con-
 4 taining no awareness indistinguishable from
 5 a (theoretical) perspective that stands "out-
 6 side" of it. The "view from nowhere," even
 7 when adopted via an abstract model, is in-
 8 herently paradoxical.
 9

Experience is both classical and non-classical

10
 11 « 20 » A partial resolution of this para-
 12 dox of disappearing awareness may come
 13 from an unlikely corner. **Marcianò** focuses on
 14 a particular system for which the state-space
 15 boundary corresponds to a spatial bound-
 16 ary, the black hole, and asks (Q1) how our
 17 approach might deal with the paradox that
 18 black holes appear to destroy information
 19 whenever they gain energy, in violation of
 20 quantum theory's requirement of unitar-
 21 ity and hence information conservation. As
 22 **Marcianò** points out, one answer to this para-
 23 dox is to recognize that black holes are only
 24 apparently classical objects; they are entan-
 25 gled with the rest of the universe by "soft"
 26 photons and possibly other "quantum hair"
 27 (see **Strominger** 2017 for a recent elabora-
 28 tion of this view).
 29

30
 31 « 21 » As all systems smaller than the
 32 universe as a whole are observers in our
 33 approach, black holes are observers. In-
 34 deed, they are *ideal* observers: all informa-
 35 tion (particles or waves) that contacts their
 36 surfaces is both fully absorbed and holo-
 37 graphically encoded. Black holes are also
 38 ideal actors: they constantly alter the states
 39 of their environments by emitting **Hawking**
 40 radiation. These observations and actions
 41 are classical: they can be observed by (i.e.,
 42 can encode information on the interface of)
 43 an external observer. When the situation is
 44 viewed quantum-mechanically, however,
 45 on the two sides of a black hole's bound-
 46 ary are simply quantum states, which to
 47 preserve unitarity must be entangled. The
 48 correlations that implement this entangle-
 49 ment cross the boundary; they are the soft
 50 quantum hairs. In **Andrew Strominger**'s
 51 formalism, these soft hairs are the decoher-
 52 ing environment for the **Hawking** radiation;
 53 the latter is detectable by us only because
 54 the soft hairs are there. The soft hairs them-
 55 selves, however, are not detectable; they

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1 carry zero energy and hence cannot encode
2 classical bits on an interface.

3 « 22 » The interfaces of black holes, our
4 ideal observers, are thus more complicated
5 than is depicted in [Figure 3](#) of our target
6 article. Not only do they encode classical
7 information; they are also a locus of quan-
8 tum correlation. The former cannot happen
9 without the latter. If the encoded classical
10 information is the content of *recallable, re-
11 portable, classical* experience, the kind of
12 experience that can be remembered or put
13 into a sentence, then it is natural to regard
14 the boundary-crossing non-classical corre-
15 lations as a kind of ineffable, non-classical
16 experience that can be neither remembered
17 nor reported. Without this ineffable experi-
18 ence, recallable, reportable experience could
19 not occur.

20 « 23 » If all of the boundaries in the
21 universe are erased, the classical, reportable
22 experience disappears. It is, as noted ear-
23 lier, epiphenomenal from a whole-universe
24 perspective. The *non-classical experience*,
25 however, remains. The quantum correla-
26 tions that implement this non-classical ex-
27 perience constitute the universal entangled
28 state, the fixed point of the universe's time-
29 less evolution. Hence [Kauffman's](#) abduction
30 can be partially recovered: the universe
31 remains filled with ineffable, non-classical
32 experience even when all observer-system
33 boundaries have been removed. Perhaps
34 [Kauffman's](#) "places of ambiguity" ([§4](#)) point
35 to this non-classical experience as surely
36 as do [Dietrich's](#) *dialetheia*. William James's
37 (1892) "fringe" of consciousness similarly
38 seems to point here.

39 "What is it like?" is not one 40 question but two

41 « 24 » [Kordeš](#) ([§14](#)) introduces the tradi-
42 tional distinction between what conscious-
43 ness *does* and what it *is like*, suggesting that
44 we may address the former but can say
45 nothing about the latter. We disagree, for we
46 claim that "what is it like?" is two distinct
47 questions. One asks what *sorts of experiences*
48 might we expect a system to have, while the
49 other asks what each of those experiences
50 is like for each system that has it. The first
51 of these questions can be answered, maybe
52 not in all cases, but in some. We can expect
53 bacteria, for example, to experience salti-
54 nity and expect humans to experience time-

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persistent objects located in 3D space. We
can expect both to experience the difference
between well-being and its absence ([Peil
Kauffman 2015](#)). What these experiences
are like for each individual experiencer,
however, remains unanswerable. It remains
unanswerable, we would argue, even from a
first-person perspective. What is the experi-
ence of green like? It is like green! Even elab-
orating, saying that green is more like cyan
than red, contributes nothing to capturing
in non-experiential terms the experience of
greenness. Remembering and then describ-
ing the greenness makes it, if anything, less
immediate and vivid. Forcing experience
into language, even first-person language,
distances it.

« 25 » Holography provides a mecha-
nism for rendering experience classical.
Beyond that, answering the "what sorts of
experiences?" question requires the inves-
tigation and modeling of the particular in-
terfaces of particular kinds of systems – e.g.,
particular kinds of organisms – or even
particular individuals. It requires us to take
[Werner's](#) questions ([§14](#)) about the structures
of sensory and cognitive systems seriously.
Such questions inevitably lead to the field
station, the laboratory, or the clinic. It is,
once again, a fair challenge to ask how and
even if such investigations can be fully and
adequately described within a purely con-
structivist framework. We doubt it.

« 26 » Framed in [Marciànò's](#) terms,
"what sorts of experiences?" becomes a
mathematical question about the formal
structures of model interfaces. Given an ob-
server-environment pair, for example, what
group structures characterize their interface
(Q3)? We have addressed this question from
the reverse direction, showing that an inter-
face with a given group structure imposes
that structure on the experienced world
([Hoffman, Singh & Prakash 2015](#) and cur-
rent work). For a finite interface and hence
a finite classical experience space X , such
groups are finite; hence they can at best ap-
proximate continuous group transforma-
tions, e.g., those of the Lorentz group (Q2).
Whether the CA formalism can replicate
the graph structures employed by physicists
while maintaining its intended interpreta-
tion is a topic of ongoing investigation.

« 27 » [Kauffman](#) raises a general question
about encoded experiences: what does it

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mean to say that the informational redun- 1
dancy enabled by spacetime or any other 2
group structure corrects errors (Q1)? As 3
[Kauffman](#) notes, an experience *per se* simply 4
is what it is; there is no sense in calling it an 5
"error." The errors that are corrected, in our 6
view, are errors of association between ex- 7
periences and actions. Depth perception, for 8
example, enables accurate grasping; disrupt- 9
ing depth perception introduces errors. In 10
some cases, experience-action associations 11
are mediated by intervening experiences. 12
An accurate representation of the time be- 13
tween a current sensory experience and a 14
remembered experience – as encoded in an 15
experience of recall happening now – may 16
be required to choose an appropriate action, 17
e.g., whether to hurry to avoid being late. It 18
is errors of this sort that can decrease fitness, 19
and in extreme cases send fitness toward 20
zero, stopping further input. For an organ- 21
ism, no action is repeated *ad infinitum* and 22
no eigenform is stable forever. In a universe 23
where you cannot always get what you want, 24
you are better off having an interface that 25
gets you what you need. 26

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