

Chapter 1S Rotation matrix: Eulerian angles
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We consider two types of rotations here; (i) Type-I: the rotation of the orthogonal basis with the position of vector being fixed (passive role of transformation). (ii) Type-II: the rotation of the position vector with the orthogonal basis being fixed (active role of transformation).

1S.1 2D rotation matrix (type-I rotation)

First we consider the type-I rotation for the two-dimensional (2D) system. Suppose that the rotation of the orthogonal basis $\{\mathbf{e}_1, \mathbf{e}_2\}$ by angle θ around the z axis (counter clock wise) yields to the new orthogonal basis $\{\mathbf{e}_1', \mathbf{e}_2'\}$ as shown in Fig. We note that the position vector $\mathbf{r}$ is fixed under the rotation. This implies that $\mathbf{r}$ in the old basis $\{\mathbf{e}_1, \mathbf{e}_2\}$ is equal to $\mathbf{r}'$ in the new basis $\{\mathbf{e}_1', \mathbf{e}_2'\}$; $\mathbf{r} = \mathbf{r}'$.

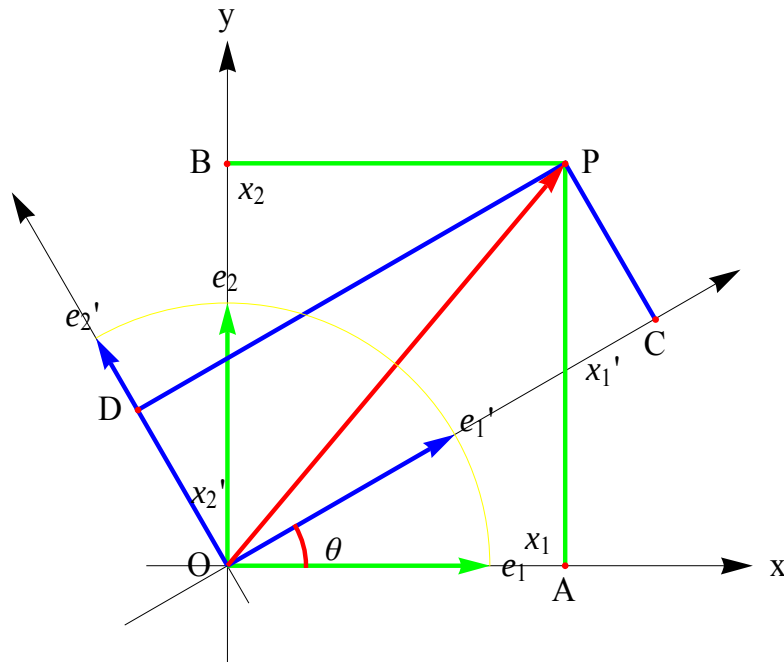


Fig. Rotation of the coordinate axes. $\overrightarrow{OP} = \mathbf{r} = \mathbf{r}'$. $\{\mathbf{e}_1, \mathbf{e}_2\}$; the old orthogonal basis. $\{\mathbf{e}_1', \mathbf{e}_2'\}$; and the new orthogonal basis.

We assume that

$$\mathbf{e}_1' = a_{11}\mathbf{e}_1 + a_{12}\mathbf{e}_2$$

$$\mathbf{e}_2' = a_{21}\mathbf{e}_1 + a_{22}\mathbf{e}_2$$

with

$$\begin{aligned}a_{11} &= (\mathbf{e}_1 \cdot \mathbf{e}_1') = \cos \theta \\a_{12} &= (\mathbf{e}_2 \cdot \mathbf{e}_1') = \sin \theta \\a_{21} &= (\mathbf{e}_1 \cdot \mathbf{e}_2') = -\sin \theta \\a_{22} &= (\mathbf{e}_2 \cdot \mathbf{e}_2') = \cos \theta\end{aligned}$$

or

$$\mathfrak{R}(-\theta) = \begin{pmatrix} a_{11} & a_{12} \\ a_{21} & a_{22} \end{pmatrix} = \begin{pmatrix} \cos \theta & \sin \theta \\ -\sin \theta & \cos \theta \end{pmatrix}$$

where the matrix elements $\{a_{ij}\}$ are real and $\mathfrak{R}(-\theta)$ is the rotation matrix. We use $(-\theta)$ for convenience. The transpose of the matrix $\mathfrak{R}(-\theta)$ is given by

$$\mathfrak{R}^T(-\theta) = \begin{pmatrix} a_{11} & a_{21} \\ a_{12} & a_{22} \end{pmatrix} = \begin{pmatrix} \cos \theta & -\sin \theta \\ \sin \theta & \cos \theta \end{pmatrix}.$$

Then we have

$$\begin{aligned}\mathbf{e}_1' &= a_{11}\mathbf{e}_1 + a_{12}\mathbf{e}_2 = \begin{pmatrix} a_{11} \\ a_{12} \end{pmatrix} = \mathfrak{R}^T(-\theta) \begin{pmatrix} 1 \\ 0 \end{pmatrix} \\ \mathbf{e}_2' &= a_{21}\mathbf{e}_1 + a_{22}\mathbf{e}_2 = \begin{pmatrix} a_{21} \\ a_{22} \end{pmatrix} = \mathfrak{R}^T(-\theta) \begin{pmatrix} 0 \\ 1 \end{pmatrix}\end{aligned}$$

Suppose that the vector $\mathbf{r}$ can be expressed by

$$\begin{aligned}\mathbf{r} &= \sum_i x_i \mathbf{e}_i = x_1 \mathbf{e}_1 + x_2 \mathbf{e}_2 \\ \mathbf{r}' &= \sum_i x_i' \mathbf{e}_i' = x_1' \mathbf{e}_1' + x_2' \mathbf{e}_2' \\ \mathbf{r} &= \mathbf{r}'\end{aligned}$$

in the basis $\{\mathbf{e}_1, \mathbf{e}_2\}$ and the basis $\{\mathbf{e}_1', \mathbf{e}_2'\}$, respectively. Then we have

$$x_1' = \mathbf{e}_1' \cdot \mathbf{r}' = \mathbf{e}_1' \cdot \mathbf{r} = \mathbf{e}_1' \cdot (x_1 \mathbf{e}_1 + x_2 \mathbf{e}_2) = a_{11}x_1 + a_{12}x_2$$

$$x_2' = \mathbf{e}_2' \cdot \mathbf{r}' = \mathbf{e}_2' \cdot \mathbf{r} = \mathbf{e}_2' \cdot (x_1 \mathbf{e}_1 + x_2 \mathbf{e}_2) = a_{21}x_1 + a_{22}x_2$$

or

$$\begin{pmatrix} x_1' \\ x_2' \end{pmatrix} = \mathfrak{R}(-\theta) \begin{pmatrix} x_1 \\ x_2 \end{pmatrix}. \quad (\text{A})$$

((Interpretation))

This is interpreted as an orthogonal transformation as a rotation of the vector, leaving the coordinate system unchanged. We can rotate $\mathbf{r}'$ clockwise by an angle θ to a new vector r' . The component of new vector r' will then be related to the component of old by the same equations (A).

((Mathematica))

RotationMatrix[ϕ]:

To give the 2D rotation matrix that rotates 2D vectors **counter-clockwise** by ϕ radians.

In the present case, $\mathfrak{R}(-\theta)$ can be obtained as RotationMatrix[- θ].

```
Clear["Global`"];
```

```
R = RotationMatrix[- $\theta$ ] // Simplify; R // MatrixForm
```

```
{{Cos[ $\theta$ ], Sin[ $\theta$ ]}, {-Sin[ $\theta$ ], Cos[ $\theta$ ]}}
```

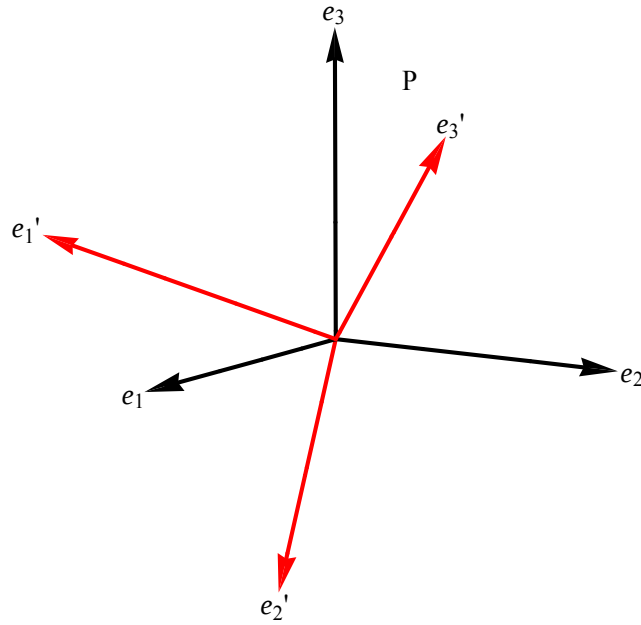
```
R[[1]]
```

```
{Cos[ $\theta$ ], Sin[ $\theta$ ]}
```

```
R[[2]]
```

```
{-Sin[ $\theta$ ], Cos[ $\theta$ ]}
```

1S.2 3D rotation matrix (type-I rotation)



We now move to the 3D case.

$$\mathbf{e}_1' = a_{11}\mathbf{e}_1 + a_{12}\mathbf{e}_2 + a_{13}\mathbf{e}_3$$

$$\mathbf{e}_2' = a_{21}\mathbf{e}_1 + a_{22}\mathbf{e}_2 + a_{23}\mathbf{e}_3$$

$$\mathbf{e}_3' = a_{31}\mathbf{e}_1 + a_{32}\mathbf{e}_2 + a_{33}\mathbf{e}_3$$

The matrix $\mathfrak{R}$ is defined by

$$\mathfrak{R} = \begin{pmatrix} a_{11} & a_{12} & a_{13} \\ a_{21} & a_{22} & a_{23} \\ a_{31} & a_{32} & a_{33} \end{pmatrix}$$

The transpose of the matrix $\mathfrak{R}$ is given by

$$\mathfrak{R}^T = \begin{pmatrix} a_{11} & a_{21} & a_{31} \\ a_{12} & a_{22} & a_{32} \\ a_{13} & a_{23} & a_{33} \end{pmatrix}$$

Then we have

$$\mathbf{e}_1' = a_{11}\mathbf{e}_1 + a_{12}\mathbf{e}_2 + a_{13}\mathbf{e}_3 = \begin{pmatrix} a_{11} \\ a_{12} \\ a_{13} \end{pmatrix} = \mathfrak{R}^T \begin{pmatrix} 1 \\ 0 \\ 0 \end{pmatrix}$$

$$\mathbf{e}_2' = a_{21}\mathbf{e}_1 + a_{22}\mathbf{e}_2 + a_{23}\mathbf{e}_3 = \begin{pmatrix} a_{21} \\ a_{22} \\ a_{23} \end{pmatrix} = \mathfrak{R}^T \begin{pmatrix} 0 \\ 1 \\ 0 \end{pmatrix}$$

$$\mathbf{e}_3' = a_{31}\mathbf{e}_1 + a_{32}\mathbf{e}_2 + a_{33}\mathbf{e}_3 = \begin{pmatrix} a_{31} \\ a_{32} \\ a_{33} \end{pmatrix} = \mathfrak{R}^T \begin{pmatrix} 0 \\ 0 \\ 1 \end{pmatrix}$$

Suppose that the vector $\mathbf{r}$ can be expressed by

$$\mathbf{r} = \sum_i x_i \mathbf{e}_i = \mathbf{r}' = \sum_i x_i' \mathbf{e}_i'$$

Then we have

$$x_1' = a_{11}x_1 + a_{12}x_2 + a_{13}x_3$$

$$x_2' = a_{21}x_1 + a_{22}x_2 + a_{23}x_3$$

$$x_3' = a_{31}x_1 + a_{32}x_2 + a_{33}x_3$$

or

$$\begin{pmatrix} x_1' \\ x_2' \\ x_3' \end{pmatrix} = \mathfrak{R} \begin{pmatrix} x_1 \\ x_2 \\ x_3 \end{pmatrix}$$

The rotation matrix for the type-I rotation is given by $\mathfrak{R}(-\theta, \mathbf{w})$.

((**Mathematica**))

RotationMatrix[- θ , $\mathbf{w}$]

To give the 3D rotation matrix for a **clockwise** rotation (angle θ) around the 3D vector $\mathbf{w}$.

We can carry out the transformation from a given Cartesian coordinate system to another one by means of three successive rotations (Eulerian angles) performed in a specific sequence. The sequence is started by rotating the initial system of axes x, y, z , by an angle ϕ counterclockwise around the z axis. The resultant coordinate system is labeled in the ξ, η, ζ axes. In the second stage, the intermediate axes, ξ, η, ζ , are rotated about the ξ axis counterclockwise by an angle θ to produce another intermediate set, the ξ', η', ζ' axes. Finally, the ξ', η', ζ' axes are rotated counterclockwise by an angle γ about the ζ' axis to produce the desired x', y', z' system of axes.

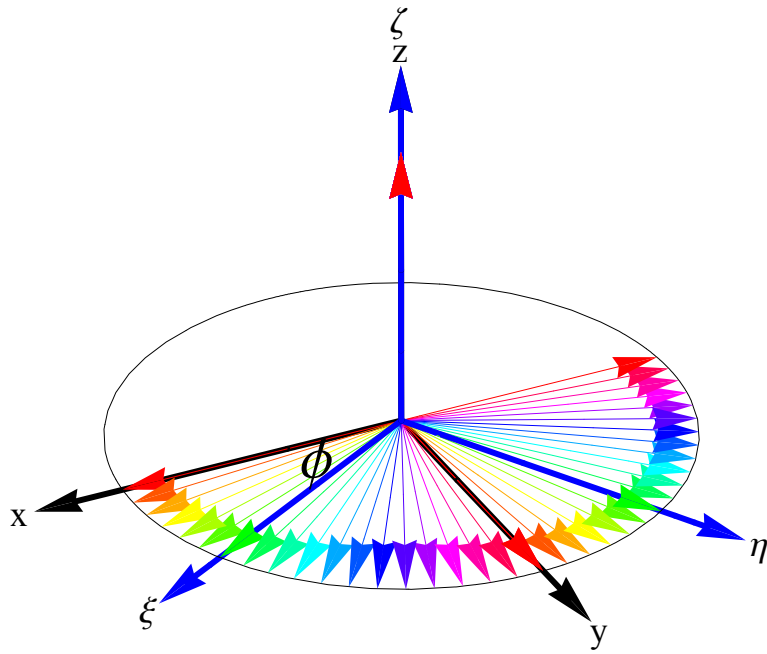
(a) The first rotation

The rotation (through ϕ about z) can be expressed as

$$\mathfrak{R}_z(-\phi) = \begin{pmatrix} \cos\phi & \sin\phi & 0 \\ -\sin\phi & \cos\phi & 0 \\ 0 & 0 & 1 \end{pmatrix}$$

$$(x, y, z) \rightarrow (\xi, \eta, \zeta)$$

where $\zeta = z$.



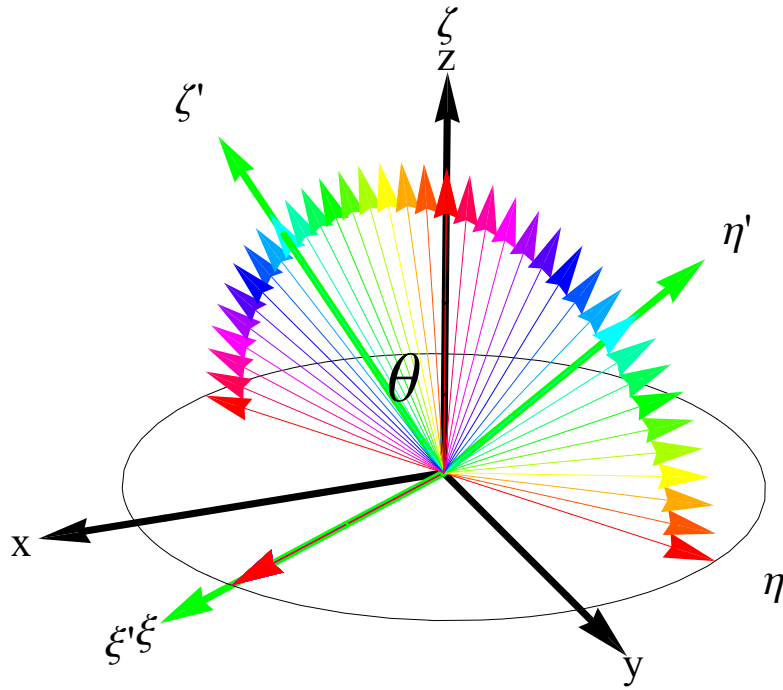
(b) The second rotation

The rotation (through θ about ξ) can be expressed as

$$\mathfrak{R}_x(-\theta) = \begin{pmatrix} 1 & 0 & 0 \\ 0 & \cos \theta & \sin \theta \\ 0 & -\sin \theta & \cos \theta \end{pmatrix}$$

$$(\xi, \eta, \zeta) \rightarrow (\xi', \eta', \zeta')$$

where $\xi' = \xi$.



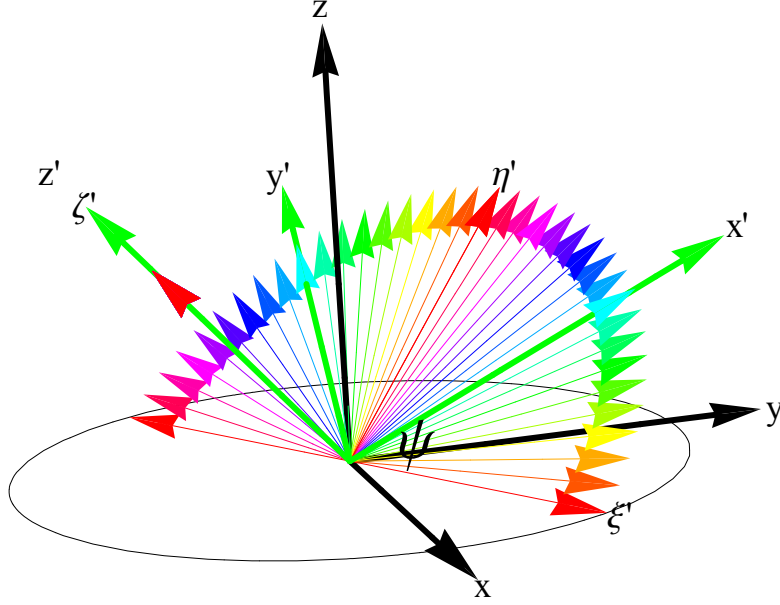
(c) The third rotation

The rotation through ψ about ζ' can be expressed as

$$\mathfrak{R}_z(-\psi) = \begin{pmatrix} \cos \psi & \sin \psi & 0 \\ -\sin \psi & \cos \psi & 0 \\ 0 & 0 & 1 \end{pmatrix}$$

$$(\xi', \eta', \zeta') \rightarrow (x', y', z')$$

where $\zeta' = z'$.



3D rotation matrix is given by

$$\mathfrak{R} = \mathfrak{R}_z(-\psi)\mathfrak{R}_x(-\theta)\mathfrak{R}_z(-\phi) = \begin{pmatrix} \mathfrak{R}_{11} & \mathfrak{R}_{12} & \mathfrak{R}_{13} \\ \mathfrak{R}_{21} & \mathfrak{R}_{22} & \mathfrak{R}_{23} \\ \mathfrak{R}_{31} & \mathfrak{R}_{32} & \mathfrak{R}_{33} \end{pmatrix}$$

$$= \begin{pmatrix} \cos \phi \cos \psi - \sin \phi \cos \theta \sin \psi & \sin \phi \cos \psi + \cos \phi \cos \theta \sin \psi & \sin \theta \sin \psi \\ -\cos \theta \sin \phi \cos \psi - \cos \phi \sin \psi & \cos \phi \cos \theta \cos \psi - \sin \phi \sin \psi & \sin \theta \cos \psi \\ \sin \phi \sin \theta & -\cos \phi \sin \theta & \cos \theta \end{pmatrix}$$

$$\begin{pmatrix} \mathbf{e}_{x'} \\ \mathbf{e}_{y'} \\ \mathbf{e}_{z'} \end{pmatrix} = \mathfrak{R} \begin{pmatrix} \mathbf{e}_x \\ \mathbf{e}_y \\ \mathbf{e}_z \end{pmatrix} = \begin{pmatrix} \mathfrak{R}_{11} & \mathfrak{R}_{12} & \mathfrak{R}_{13} \\ \mathfrak{R}_{21} & \mathfrak{R}_{22} & \mathfrak{R}_{23} \\ \mathfrak{R}_{31} & \mathfrak{R}_{32} & \mathfrak{R}_{33} \end{pmatrix} \begin{pmatrix} \mathbf{e}_x \\ \mathbf{e}_y \\ \mathbf{e}_z \end{pmatrix}$$

Suppose that the position vector $\mathbf{r}$ in the orthogonal basis $\{\mathbf{e}_x, \mathbf{e}_y, \mathbf{e}_z\}$ is the same as the position vector $\mathbf{r}'$ in the orthogonal basis $\{\mathbf{e}_{x'}, \mathbf{e}_{y'}, \mathbf{e}_{z'}\}$. Then $\mathbf{r}'$ can be described by

$$\mathbf{r}' = \begin{pmatrix} x' \\ y' \\ z' \end{pmatrix} = \mathfrak{R} \begin{pmatrix} x \\ y \\ z \end{pmatrix} = \mathfrak{R} \mathbf{r}.$$

((Mathematica))

```
Clear["Global`"];
```

```
Rφ = RotationMatrix[-φ, {0, 0, 1}] // Simplify; Rφ // MatrixForm
```

$$\begin{pmatrix} \cos[\phi] & \sin[\phi] & 0 \\ -\sin[\phi] & \cos[\phi] & 0 \\ 0 & 0 & 1 \end{pmatrix}$$

```
Rθ = RotationMatrix[-θ, {1, 0, 0}] // Simplify; Rθ // MatrixForm
```

$$\begin{pmatrix} 1 & 0 & 0 \\ 0 & \cos[\theta] & \sin[\theta] \\ 0 & -\sin[\theta] & \cos[\theta] \end{pmatrix}$$

```
Rψ = RotationMatrix[-ψ, {0, 0, 1}] // Simplify; Rψ // MatrixForm
```

$$\begin{pmatrix} \cos[\psi] & \sin[\psi] & 0 \\ -\sin[\psi] & \cos[\psi] & 0 \\ 0 & 0 & 1 \end{pmatrix}$$

```
S = Rψ.Rθ.Rφ // Simplify; S // MatrixForm
```

$$\begin{pmatrix} \cos[\phi] \cos[\psi] - \cos[\theta] \sin[\phi] \sin[\psi] & \cos[\psi] \sin[\phi] + \cos[\theta] \cos[\phi] \sin[\psi] & \sin[\theta] \sin[\psi] \\ -\cos[\theta] \cos[\psi] \sin[\phi] - \cos[\phi] \sin[\psi] & \cos[\theta] \cos[\phi] \cos[\psi] - \sin[\phi] \sin[\psi] & \cos[\psi] \sin[\theta] \\ \sin[\theta] \sin[\phi] & -\cos[\phi] \sin[\theta] & \cos[\theta] \end{pmatrix}$$

```
S[[1]]
```

```
{Cos[φ] Cos[ψ] - Cos[θ] Sin[φ] Sin[ψ], Cos[ψ] Sin[φ] + Cos[θ] Cos[φ] Sin[ψ], Sin[θ] Sin[ψ]}
```

```
S[[2]]
```

```
{-Cos[θ] Cos[ψ] Sin[φ] - Cos[φ] Sin[ψ], Cos[θ] Cos[φ] Cos[ψ] - Sin[φ] Sin[ψ], Cos[ψ] Sin[θ]}
```

```
S[[3]]
```

```
{Sin[θ] Sin[φ], -Cos[φ] Sin[θ], Cos[θ]}
```

1S4. Angular velocity

We derive the angular velocity in the new coordinate (x' , y' , z'). The rotation matrix is given by

$$\mathfrak{R}(t) = \mathfrak{R}_z(-\psi(t))\mathfrak{R}_x(-\theta(t))\mathfrak{R}_z(-\phi(t))$$

where the Euler angles are dependent on time t .

$$\mathbf{\Omega}_\phi = \mathfrak{R}(t) \begin{pmatrix} 0 \\ 0 \\ \dot{\phi}(t) \end{pmatrix}_{x,y,z} = \begin{pmatrix} \sin \theta(t) \sin \psi(t) \dot{\phi}(t) \\ \sin \theta(t) \cos \psi(t) \dot{\phi}(t) \\ \cos \theta(t) \dot{\phi}(t) \end{pmatrix}_{x',y',z'}$$

where $\dot{\phi}(t)$ is directed along the z axis.

$$\mathbf{\Omega}_\theta = \mathfrak{R}_z(-\psi(t)) \mathfrak{R}_x(-\theta(t)) \begin{pmatrix} \dot{\theta}(t) \\ 0 \\ 0 \end{pmatrix}_{\xi,\eta,\zeta} = \begin{pmatrix} \cos \psi(t) \dot{\theta}(t) \\ -\sin \psi(t) \dot{\theta}(t) \\ 0 \end{pmatrix}_{x',y',z'}$$

where $\dot{\theta}(t)$ is directed along the ξ axis.

$$\mathbf{\Omega}_\psi = \mathfrak{R}_z(-\psi(t)) \begin{pmatrix} 0 \\ 0 \\ \dot{\psi}(t) \end{pmatrix}_{\xi',\eta',\zeta'} = \begin{pmatrix} 0 \\ 0 \\ \dot{\psi}(t) \end{pmatrix}_{x',y',z'}$$

where $\dot{\psi}(t)$ is directed along the ζ' axis. Then we have the angular velocity in the new coordiante (x', y', z') as

$$\begin{aligned} \mathbf{\Omega}_{x'y'z'} &= \mathbf{\Omega}_\phi + \mathbf{\Omega}_\theta + \mathbf{\Omega}_\psi \\ &= \begin{pmatrix} \Omega_{x'} \\ \Omega_{y'} \\ \Omega_{z'} \end{pmatrix} = \begin{pmatrix} \cos \psi(t) \dot{\theta}(t) + \sin \theta(t) \sin \psi(t) \dot{\phi}(t) \\ -\sin \psi(t) \dot{\theta}(t) + \sin \theta(t) \cos \psi(t) \dot{\phi}(t) \\ \cos \theta(t) \dot{\phi}(t) + \dot{\psi}(t) \end{pmatrix}_{x',y',z'} \end{aligned}$$

The angular velocity in the original (x, y, z) coordinate is obtained as

$$\begin{aligned} \mathbf{\Omega}_{x,y,z} &= \begin{pmatrix} \Omega_{x'} \\ \Omega_{y'} \\ \Omega_{z'} \end{pmatrix} \\ &= \mathfrak{R}^{-1}(t) \mathbf{\Omega}_{x'y'z'} = \mathfrak{R}^T(t) \mathbf{\Omega}_{x'y'z'} \\ &= \begin{pmatrix} \cos \phi(t) \dot{\theta}(t) + \sin \theta(t) \sin \phi(t) \dot{\psi}(t) \\ \sin \phi(t) \dot{\theta}(t) - \sin \theta(t) \cos \phi(t) \dot{\psi}(t) \\ \dot{\phi}(t) + \cos \theta(t) \dot{\psi}(t) \end{pmatrix}_{x,y,z} \end{aligned}$$

((Mathematica))

D1: the rotation with the angle ϕ around the z axis
 C1: the rotation with the angle θ around the ξ axis
 B1: the rotation with the angle ψ around the ζ' axis
 A1: the resultant rotation is described by a matrix
 $A1 = B1 C1 D1$

```
Clear["Global`*"];

D1 = RotationMatrix[- $\phi[t]$ , {0, 0, 1}];
C1 = RotationMatrix[- $\theta[t]$ , {1, 0, 0}];
B1 = RotationMatrix[- $\psi[t]$ , {0, 0, 1}];
A1 = B1.C1.D1 // Simplify;

 $\Omega\phi1 = A1.\{0, 0, \phi'[t]\}$ 
{Sin[ $\theta[t]$ ] Sin[ $\psi[t]$ ]  $\phi'[t]$ ,
 Cos[ $\psi[t]$ ] Sin[ $\theta[t]$ ]  $\phi'[t]$ , Cos[ $\theta[t]$ ]  $\phi'[t]$ }

 $\Omega\theta1 = B1.C1.\{\theta'[t], 0, 0\}$ 
{Cos[ $\psi[t]$ ]  $\theta'[t]$ , -Sin[ $\psi[t]$ ]  $\theta'[t]$ , 0}

 $\Omega\psi1 = B1.\{0, 0, \psi'[t]\}$ 
{0, 0,  $\psi'[t]$ }

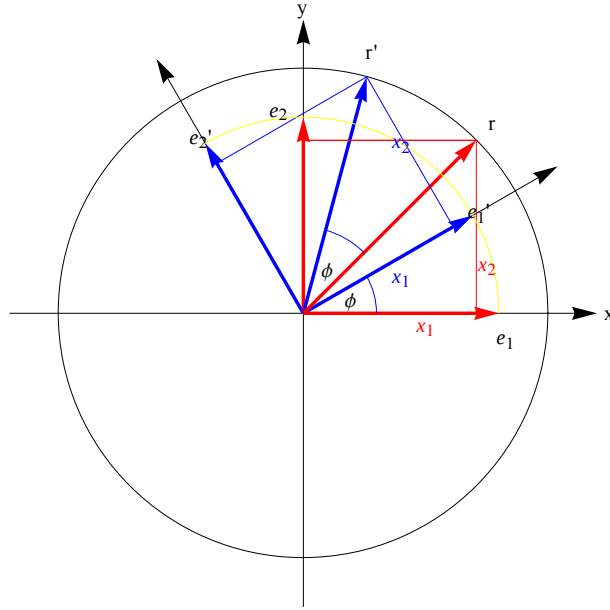
 $\Omega1 = \Omega\phi1 + \Omega\theta1 + \Omega\psi1$ 
{Cos[ $\psi[t]$ ]  $\theta'[t]$  + Sin[ $\theta[t]$ ] Sin[ $\psi[t]$ ]  $\phi'[t]$ ,
 -Sin[ $\psi[t]$ ]  $\theta'[t]$  + Cos[ $\psi[t]$ ] Sin[ $\theta[t]$ ]  $\phi'[t]$ ,
 Cos[ $\theta[t]$ ]  $\phi'[t]$  +  $\psi'[t]$ }
```

The angular velocity with respect to the body axes

```
 $\Omega = \text{Inverse}[A1].\Omega1 // \text{Simplify}$ 
{Cos[ $\phi[t]$ ]  $\theta'[t]$  + Sin[ $\theta[t]$ ] Sin[ $\phi[t]$ ]  $\psi'[t]$ ,
 Sin[ $\phi[t]$ ]  $\theta'[t]$  - Cos[ $\phi[t]$ ] Sin[ $\theta[t]$ ]  $\psi'[t]$ ,
  $\phi'[t]$  + Cos[ $\theta[t]$ ]  $\psi'[t]$ }
```

1S5. 2D rotation matrix (type-II rotation)

Suppose that the vector $\mathbf{r}$ is rotated through θ (counter-clock wise) around the z axis. The position vector $\mathbf{r}$ is changed into $\mathbf{r}'$ in the same orthogonal basis $\{\mathbf{e}_1, \mathbf{e}_2\}$.



In this Fig, we have

$$\begin{aligned} \mathbf{e}_1 \cdot \mathbf{e}_1' &= \cos \phi & \mathbf{e}_2 \cdot \mathbf{e}_1' &= \sin \phi \\ \mathbf{e}_1 \cdot \mathbf{e}_2' &= -\sin \phi & \mathbf{e}_2 \cdot \mathbf{e}_2' &= \cos \phi \end{aligned}$$

We define $\mathbf{r}$ and $\mathbf{r}'$ as

$$\mathbf{r}' = x_1' \mathbf{e}_1 + x_2' \mathbf{e}_2 = x_1 \mathbf{e}_1' + x_2 \mathbf{e}_2',$$

and

$$\mathbf{r} = x_1 \mathbf{e}_1 + x_2 \mathbf{e}_2.$$

Using the relation

$$\begin{aligned}\mathbf{e}_1 \cdot \mathbf{r}' &= \mathbf{e}_1 \cdot (x_1' \mathbf{e}_1 + x_2' \mathbf{e}_2) = \mathbf{e}_1 \cdot (x_1 \mathbf{e}_1 + x_2 \mathbf{e}_2) \\ \mathbf{e}_2 \cdot \mathbf{r}' &= \mathbf{e}_2 \cdot (x_1' \mathbf{e}_1 + x_2' \mathbf{e}_2) = \mathbf{e}_2 \cdot (x_1 \mathbf{e}_1 + x_2 \mathbf{e}_2)\end{aligned}$$

we have

$$\begin{aligned}x_1' &= \mathbf{e}_1 \cdot (x_1 \mathbf{e}_1 + x_2 \mathbf{e}_2) = x_1 \cos \phi - x_2 \sin \phi \\ x_2' &= \mathbf{e}_2 \cdot (x_1 \mathbf{e}_1 + x_2 \mathbf{e}_2) = x_1 \sin \phi + x_2 \cos \phi\end{aligned}$$

or

$$\begin{pmatrix} x_1' \\ x_2' \end{pmatrix} = \mathfrak{R}(\phi) \begin{pmatrix} x_1 \\ x_2 \end{pmatrix} = \begin{pmatrix} \cos \phi & -\sin \phi \\ \sin \phi & \cos \phi \end{pmatrix} \begin{pmatrix} x_1 \\ x_2 \end{pmatrix}$$

((**Mathematica**))

$\mathfrak{R}(\phi)$ is obtained in the Mathematica as `RotationMatrix[ $\phi$ ]` to gives the 2D rotation matrix that rotates 2D vectors counterclockwise by ϕ radians.

((**Note**))

Rotation around the z axis in the complex plane

$$x' + iy' = e^{i\phi} (x + iy) = (\cos \phi + i \sin \phi)(x + iy) = x \cos \phi - y \sin \phi + i(x \sin \phi + y \cos \phi)$$

$$\begin{pmatrix} x' \\ y' \end{pmatrix} = \begin{pmatrix} \cos \phi & -\sin \phi \\ \sin \phi & \cos \phi \end{pmatrix} \begin{pmatrix} x \\ y \end{pmatrix}$$

1S.6 3D Rotation matrix (type-II rotation)

We discuss the three-dimensional (3D) case,

$$\mathbf{r} = \sum_{j=1}^3 x_j \mathbf{e}_j, \quad \mathbf{r}' = \sum_{j=1}^3 x_j' \mathbf{e}_j = \sum_{j=1}^3 x_j \mathbf{e}_j'$$

$$\mathbf{r}' = \mathfrak{R}_z(\phi) \mathbf{r} = \mathfrak{R}_z(\phi) \left(\sum_{j=1}^3 x_j \mathbf{e}_j \right) = \sum_{j=1}^3 x_j \mathfrak{R}_z(\phi) \mathbf{e}_j = \sum_{j=1}^3 x_j \mathbf{e}_j'$$

where

$$\mathfrak{R}_z(\phi)\mathbf{e}_j = \mathbf{e}_j'$$

Thus we have

$$\left(\sum_{j=1}^3 x_j \mathbf{e}_j'\right) \cdot \mathbf{e}_i = \left(\sum_{j=1}^3 x_j' \mathbf{e}_j\right) \cdot \mathbf{e}_i$$

or

$$\sum_{j=1}^3 x_j' \delta_{j,i} = x_i' = \sum_{j=1}^3 (\mathbf{e}_i \cdot \mathbf{e}_j') x_j = \sum_{j=1}^3 \mathfrak{R}_{ij} x_j$$

where

$$\mathfrak{R}_{ij} = \mathbf{e}_i \cdot \mathbf{e}_j'$$

(i) The rotation around the z axis.

$$\begin{aligned} \mathfrak{R}_{11} &= \mathbf{e}_1 \cdot \mathbf{e}_1' = \cos \phi, & \mathfrak{R}_{12} &= \mathbf{e}_1 \cdot \mathbf{e}_2' = -\sin \phi, & \mathfrak{R}_{13} &= \mathbf{e}_1 \cdot \mathbf{e}_3' = 0 \\ \mathfrak{R}_{21} &= \mathbf{e}_2 \cdot \mathbf{e}_1' = \sin \phi, & \mathfrak{R}_{22} &= \mathbf{e}_2 \cdot \mathbf{e}_2' = \cos \phi, & \mathfrak{R}_{23} &= \mathbf{e}_2 \cdot \mathbf{e}_3' = 0 \\ \mathfrak{R}_{31} &= \mathbf{e}_3 \cdot \mathbf{e}_1' = 0, & \mathfrak{R}_{32} &= \mathbf{e}_3 \cdot \mathbf{e}_2' = 0, & \mathfrak{R}_{33} &= \mathbf{e}_3 \cdot \mathbf{e}_3' = 1 \end{aligned}$$

$$\mathbf{r}' = \begin{pmatrix} x_1' \\ x_2' \\ x_3' \end{pmatrix} = \begin{pmatrix} \mathfrak{R}_{11} & \mathfrak{R}_{12} & \mathfrak{R}_{13} \\ \mathfrak{R}_{21} & \mathfrak{R}_{22} & \mathfrak{R}_{23} \\ \mathfrak{R}_{31} & \mathfrak{R}_{32} & \mathfrak{R}_{33} \end{pmatrix} \begin{pmatrix} x_1 \\ x_2 \\ x_3 \end{pmatrix}$$

where

$$\mathfrak{R}_z(\phi) = \begin{pmatrix} \cos \phi & -\sin \phi & 0 \\ \sin \phi & \cos \phi & 0 \\ 0 & 0 & 1 \end{pmatrix}$$

(ii) Rotation around the x axis

$$\mathfrak{R}_x(\phi) = \begin{pmatrix} 1 & 0 & 0 \\ 0 & \cos \phi & -\sin \phi \\ 0 & \sin \phi & \cos \phi \end{pmatrix}$$

(iii) Rotation around the y axis

$$\mathfrak{R}_y(\phi) = \begin{pmatrix} \cos \phi & 0 & \sin \phi \\ 0 & 1 & 0 \\ -\sin \phi & 0 & \cos \phi \end{pmatrix}$$

APPENDIX

In the Mathematica

The matrix R is represented by

$$R = \{ \{R_{11}, R_{12}, R_{13}\}, \{R_{21}, R_{22}, R_{23}\}, \{R_{31}, R_{32}, R_{33}\} \}.$$

Thus $\mathbf{e}_1'$ is simply expressed as $R[[1]]$ ($=\{R_{11}, R_{12}, R_{13}\}$). $\mathbf{e}_2'$ is simply expressed as $R[[2]]$ ($=\{R_{21}, R_{22}, R_{23}\}$). $\mathbf{e}_3'$ is simply expressed as $R[[3]]$ ($=\{R_{31}, R_{32}, R_{33}\}$).

Rotation Matrix for the 3 D system
Euler angles

RotationMatrix[θ, w]

gives the 3D rotation matrix for a counterclockwise rotation around the 3D vector w

```
Clear["Global`"];

R $\phi$  = RotationMatrix[- $\phi$ , {0, 0, 1}] // Simplify; R $\theta$  = RotationMatrix[- $\theta$ , {1, 0, 0}] // Simplify;
R $\psi$  = RotationMatrix[- $\psi$ , {0, 0, 1}] // Simplify;
S = R $\psi$ .R $\theta$ .R $\phi$  // Simplify;

S1 = S /. { $\theta \rightarrow 0$ ,  $\phi \rightarrow 0$ ,  $\psi \rightarrow 0$ };

g1 = Graphics3D[{Black, Thick, Arrow[{{0, 0, 0}, 1.3 S1[[1]]}], Arrow[{{0, 0, 0}, 1.3 S1[[2]]}],
  Arrow[{{0, 0, 0}, 1.3 S1[[3]]}], Text[Style["x", 12, Black], 1.35 S1[[1]],
  Text[Style["y", 12, Black], 1.35 S1[[2]], Text[Style["z", 12, Black], 1.35 S1[[3]]],
  Boxed  $\rightarrow$  False];

S2 = S /. { $\theta \rightarrow 0$ ,  $\psi \rightarrow 0$ };

g2 =
Graphics3D[
  {Table[{Hue[ $\frac{\phi}{\frac{\pi}{2}}$ ], Thin, Arrow[{{0, 0, 0}, S2[[1]]}], Arrow[{{0, 0, 0}, S2[[2]]}],
    Arrow[{{0, 0, 0}, S2[[3]]}], { $\phi$ , 0,  $\frac{\pi}{2}$ ,  $\frac{\pi}{2 \times 18}$ }}], Boxed  $\rightarrow$  False];

S21 = S /. { $\theta \rightarrow 0$ ,  $\psi \rightarrow 0$ ,  $\phi \rightarrow \frac{\pi}{6}$ };

g21 = Graphics3D[{Blue, Thick, Arrow[{{0, 0, 0}, 1.3 S21[[1]]}], Arrow[{{0, 0, 0}, 1.3 S21[[2]]}],
  Arrow[{{0, 0, 0}, 1.3 S21[[3]]}], Text[Style[" $\xi$ ", 12, Black], 1.35 S21[[1]],
  Text[Style[" $\eta$ ", 12, Black], 1.35 S21[[2]], Text[Style[" $\zeta$ ", 12, Black], 1.45 S21[[3]],
  Text[Style[" $\phi$ ", Black, 20], {0.35, 0.1, 0}]]];

f2 = ParametricPlot3D[{Cos[ $\alpha$ ], Sin[ $\alpha$ ], 0}, { $\alpha$ , 0, 2  $\pi$ }, PlotStyle  $\rightarrow$  {Black, Thin}, Boxed  $\rightarrow$  False];
```

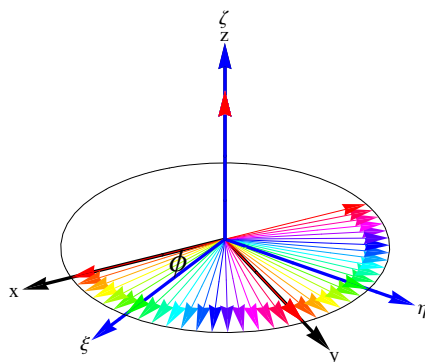
Rotation-1

Rotation around z axis by an angle ϕ ;

$x - y - z \rightarrow \xi - \eta - \zeta$

where $\zeta = z$.

Show[g1, g2, g21, f2]



```
S3 = S /. {ϕ →  $\frac{\pi}{6}$ , ψ → 0};
```

```
g3 =
Graphics3D[
{Table[{Hue[ $\frac{\theta}{\frac{\pi}{2}}$ ], Thin, Arrow[{0, 0, 0}, S3[[1]]], Arrow[{0, 0, 0}, S3[[2]]],
Arrow[{0, 0, 0}, S3[[3]]]}], { $\theta$ , 0,  $\frac{\pi}{2}$ ,  $\frac{\pi}{2 \times 18}$ }}], Boxed → False];
```

```
S31 = S /. { $\theta$  →  $\frac{\pi}{4}$ , ψ → 0, ϕ →  $\frac{\pi}{6}$ };
```

```
g21 = Graphics3D[{Green, Thick, Arrow[{0, 0, 0}, 1.3 S31[[1]]], Arrow[{0, 0, 0}, 1.3 S31[[2]]],
Arrow[{0, 0, 0}, 1.3 S31[[3]]], Text[Style["ξ", 12, Black], 1.35 S21[[1]]],
Text[Style["η", 12, Black], 1.20 S21[[2]]], Text[Style["ξ", 12, Black], 1.45 S21[[3]]],
Text[Style["ξ'", 12, Black], 1.45 S31[[1]]], Text[Style["η'", 12, Black], 1.45 S31[[2]]],
Text[Style["ξ'", 12, Black], 1.45 S31[[3]]], Text[Style["θ", 20, Black], {0.05, -0.2, 0.25}]}];
```

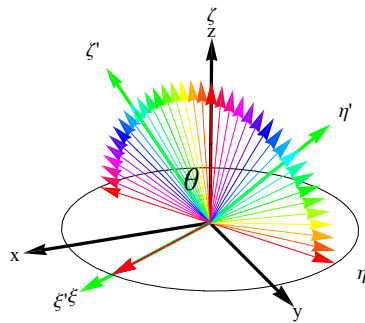
Rotation-2

Rotation around $\xi (= \xi')$ axis by an angle θ ;

ξ - η - $\zeta \rightarrow \xi'$ - η' - ζ'

where $\xi' = \xi$.

```
Show[g1, g21, g3, f2]
```



```

S4 = S /. {ϕ →  $\frac{\pi}{6}$ , θ →  $\frac{\pi}{4}$ };
g4 =
Graphics3D[
{Table[{Hue[ $\frac{\psi}{2}$ ], Thin, Arrow[{0, 0, 0}, S4[[1]]], Arrow[{0, 0, 0}, S4[[2]]],
Arrow[{0, 0, 0}, S4[[3]]]}, {ψ, 0,  $\frac{\pi}{2}$ ,  $\frac{\pi}{2 \times 18}$ }}], Boxed → False];

S41 = S /. {θ →  $\frac{\pi}{4}$ , ψ →  $\frac{\pi}{4}$ , ϕ →  $\frac{\pi}{6}$ };

g41 = Graphics3D[{Green, Thick, Arrow[{0, 0, 0}, 1.3 S41[[1]]], Arrow[{0, 0, 0}, 1.3 S41[[2]]],
Arrow[{0, 0, 0}, 1.3 S41[[3]]], Text[Style["x'", 12, Black], 1.35 S41[[1]],
Text[Style["y'", 12, Black], 1.35 S41[[2]], Text[Style["z'", 12, Black], 1.45 S41[[3]],
Text[Style["ξ'", 12, Black], 1.05 S31[[1]], Text[Style["η'", 12, Black], 1.05 S31[[2]],
Text[Style["ζ'", 12, Black], 1.30 S31[[3]], Text[Style["ψ", 20, Black], {0.05, 0.2, 0.05}]]];

```

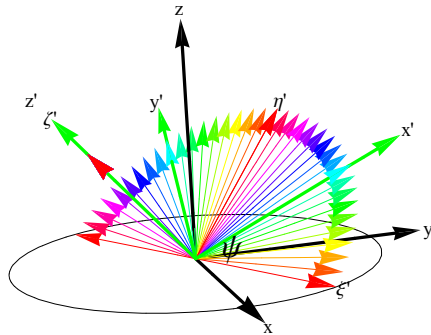
Rotation-3

Rotation around z axis by an angle ψ ;

$$\xi' - \eta' - \zeta' \rightarrow x' - y' - z'$$

where $\zeta' = z'$.

```
Show[g1, g4, g41, f2]
```



REFERENCES

- H. Goldstein, C.P. Poole, and J.L.Safko, *Classical Mechanics*, 3rd edition (Addison Wesley, San Francisco, 2002).
- Jerry B. Marion, *Classical Dynamic s of Particles and Systems*, 2nd edition (Academic Press, New York, 1970).