Quantum box with infinite well potential Masatsugu Sei Suzuki Department of Physics, State University of New York at Binghamton (Date: January 13, 2012)



$$H\varphi(x) = -\frac{\hbar^2}{2m} \frac{d^2}{dx^2} \varphi(x) = E\varphi(x) = \frac{\hbar^2 k^2}{2m} \varphi(x)$$

The solution of this equation is

$$\varphi(x) = A\sin(kx) + B\cos(kx)$$

where

$$E = \frac{\hbar^2 k^2}{2m}$$

Using the boundary condition:

$$\varphi(x=0) = \varphi(x=a) = 0$$

we have

$$B = 0 \text{ and } A \neq 0.$$

 $\sin(ka) = 0$
 $ka = n\pi \ (n = 1, 2, ...)$

Note that n = 0 is not included in our solution because the corresponding wave function becomes zero. The wave function is given by

$$\varphi_n(x) = \langle x | \varphi_n \rangle = A_n \sin(\frac{n\pi x}{a}) = \sqrt{\frac{2}{a}} \sin(\frac{n\pi x}{a})$$

with

$$E_n = \frac{\hbar^2}{2m} \left(\frac{n\pi}{a}\right)^2$$

((Normalization))

$$1 = \int_{0}^{a} A_{n}^{2} \sin^{2}(\frac{n\pi x}{a}) dx = \frac{a}{2} A_{n}^{2}$$

2. Mathematica

$$|\varphi_n(x)|^2 = \left[\sqrt{\frac{2}{a}}\sin(\frac{n\pi x}{a})\right]^2 = \frac{2}{a}\sin^2(\frac{n\pi x}{a})$$



Fig. Plot of $|\varphi_n(x)|^2$ with a = 1, as a function of x. n = 1, 2, 3, 4, and 5. There are *n* peaks for the state $|n\rangle$.

The expectation values and uncertainty:

$$\left\langle x^{m}\right\rangle = \int_{0}^{a} \varphi_{n}^{*}(x) x^{m} \varphi_{n}(x) dx = \int_{0}^{a} \frac{2}{a} x^{m} \sin^{n}(\frac{n\pi x}{a}) dx$$

$$\left\langle p^{m}\right\rangle = \int_{0}^{a} \varphi_{n}^{*}(x) \left(\frac{\hbar}{i} \frac{\partial}{\partial x}\right)^{m} \varphi_{n}(x) dx$$

Since

$$\langle x \rangle = \frac{a}{2}, \qquad \langle x^2 \rangle = \frac{a^2}{6} (2 - \frac{3}{n^2 \pi^2})$$

 $\langle p \rangle = 0, \qquad \langle p^2 \rangle = \frac{n^2 \pi^2 \hbar^2}{a^2}$

we have

$$\Delta x = \sqrt{\langle x^2 \rangle - \langle x \rangle^2} = a\sqrt{\frac{1}{6}(2 - \frac{3}{n^2 \pi^2}) - \frac{1}{4}} = \frac{1}{\sqrt{12}}\sqrt{1 - \frac{6}{n^2 \pi^2}}$$

$$\Delta p = \sqrt{\left\langle p^2 \right\rangle - \left\langle p \right\rangle^2} = \frac{n\pi\hbar}{a}$$

Then

$$\Delta p \Delta x = \frac{\hbar}{2} \sqrt{\frac{n^2 \pi^2}{3} - 2} =$$

When n = 1,

$$\Delta p \Delta x = \hbar > 0.567862 \ \hbar > \frac{\hbar}{2}$$

3. 2D well potential Next we consider a particle in a 2D well potential

The potential:

$$V(x,y) = 0 \text{ for } 0 \le x \le a \text{ and } 0 \le y \le a. \ V(x,y) = \infty \text{ otherwise.}$$

$$H\varphi(x,y) = -\frac{\hbar^2}{2m} (\frac{d^2}{dx^2} + \frac{d^2}{dy^2})\varphi(x,y) = E\varphi(x,y) = \frac{\hbar^2 k^2}{2m}\varphi(x,y)$$

$$E = \frac{\hbar^2}{2m} (k_x^2 + k_y^2)$$

$$(\frac{d^2}{dx^2} + \frac{d^2}{dy^2})\varphi(x,y) = -(k_x^2 + k_y^2)\varphi(x,y)$$

We use the method of the separation variables. Suppose that

$$\varphi(x, y) = X(x)Y(y)$$
$$\frac{X''(x)}{X(x)} + \frac{Y''(y)}{Y(y)} = -(k_x^2 + k_y^2)$$

We assume that

$$X''(x) = -k_x^2 X(x)$$
$$Y''(y) = -ky^2 Y(y)$$

Using the boundary condition

$$X(x=0) = X(x=a) = 0$$

and

$$Y(y = 0) = Y(y = a) = 0$$

Then we have

$$\varphi_{nx,ny}(x,y) = \left(\sqrt{\frac{2}{a}}\right)^2 \sin(\frac{n_x \pi x}{a}) \sin(\frac{n_y \pi y}{a})$$

4. Mathematica

A particle in a two dimensional box

Clear["Global`*"];

$$\psi = \sqrt{\frac{2}{a}} \sqrt{\frac{2}{b}} \sin\left[\frac{n \pi x}{a}\right] \sin\left[\frac{m \pi y}{b}\right];$$

prb = ψ^2 /. {a > 1, b > 1};

 $\begin{array}{l} \texttt{p13D1} = \texttt{Plot3D[prb /. \{n \rightarrow 4, \ m \rightarrow 4\}, \ \{x, \ 0, \ 1\}, \ \{y, \ 0, \ 1\}, \\ \texttt{PlotPoints} \rightarrow \texttt{100]} \end{array}$



 $\label{eq:contl} \begin{array}{l} \texttt{contourPlot[prb} \mbox{/}. \ \{n \rightarrow 4, \ m \rightarrow 4\}, \ \{x, \ 0, \ 1\}, \\ \{y, \ 0, \ 1\}, \ \texttt{PlotPoints} \rightarrow 100] \end{array}$



5. Standing wave solutions with a fixed boundary condition

We consider a free particle inside a box with length L_x , L_y , L_z along the x, y, and z axes, respectively. The Schrödinger equation of the system is given by

$$H\psi(x, y, z) = -\frac{\hbar^2}{2m} \nabla^2 \psi(x, y, z) = E\psi(x, y, z)$$

under the boundary condition;

$$\psi(x = L_x, y, z) = \psi(x = 0, y, z) = 0$$

$$\psi(x, y = L_z, z) = \psi(x, y = 0, z) = 0$$

$$\psi(x_x, y, z = L_z) = \psi(x, y, z = 0) = 0$$

We use the method of separation variables. We assume that

$$\psi(x, y, z) = X(x)Y(y)Z(z)$$

with

$$X(0) = X(L_x) = 0$$
, $Y(0) = Y(L_y) = 0$, $Z(0) = Z(L_z) = 0$

The substitution of the solution into the Schrödinger equation yields

$$\frac{X''(x)}{X(x)} + \frac{Y''(y)}{Y(y)} + \frac{Z''(z)}{Z(z)} = -\frac{2mE}{\hbar^2}$$

We assume that

$$\frac{X''(x)}{X(x)} = -k_x^2, \qquad \qquad \frac{Y''(y)}{Y(y)} = -k_y^2, \frac{Z''(z)}{Z(z)} = -k_z^2$$

The solution of these differential equations can be obtained as a standing wave solution,

$$X(x) = \sin(k_x x), \qquad Y(y) = \sin(k_y y), \qquad Z(z) = \sin(k_z z)$$

under the boundary conditions, where k_x , k_y , and k_z are constants. The resulting wave function is

$$\psi(x, y, z) = A\sin(k_x x)\sin(k_y y)\sin(k_z z)$$

The condition that $\psi = 0$ at $x = L_x$ requires that

$$k_x = \frac{n_x \pi}{L_x}.$$

The values for the k_x , k_y , and k_z are

$$k_x = \frac{n_x \pi}{L_x}, \qquad k_y = \frac{n_y \pi}{L_y}, \qquad k_z = \frac{n_z \pi}{L_z}$$

where n_x , n_y , and n_z are positive integers.

((Density of states))

$$E(k_x, k_y, k_z) = \varepsilon = \frac{\hbar^2}{2m} k^2 = \frac{\hbar^2}{2m} (k_x^2 + k_y^2 + k_z^2)$$
$$= \frac{\pi^2 \hbar^2}{2m} (\frac{n_x^2}{L_x^2} + \frac{n_y^2}{L_y^2} + \frac{n_z^2}{L_z^2})$$

There is one state per volume of the *k*-space;

$$\frac{\pi}{L_x}\frac{\pi}{L_y}\frac{\pi}{L_z}.$$



In the region of k - k + dk, the number of states is

$$D(\varepsilon)d\varepsilon = 2\frac{1}{8}\frac{4\pi k^2 dk}{\frac{\pi^3}{L_x L_y L_z}}$$
$$= 2\frac{V}{(2\pi)^3} 4\pi k^2 dk$$
$$= \frac{V}{2\pi^2} \left(\frac{2m}{\hbar^2}\right)^{3/2} \sqrt{\varepsilon} d\varepsilon$$

where the factor 2 comes from the two allowed state $|+\rangle$ and $|-\rangle$ for the spin quantum number (S = 1/2); fermions such as electron. The density of state $D(\varepsilon)$ is obtained as

The total particle number N and total energy E can be described by

$$N = \int_{0}^{\varepsilon_{F}} D(\varepsilon) d\varepsilon = \frac{V}{2\pi^{2}} \left(\frac{2m}{\hbar^{2}}\right)^{3/2} \int_{0}^{\varepsilon_{F}} \sqrt{\varepsilon} d\varepsilon = \frac{2}{3} \frac{V}{2\pi^{2}} \left(\frac{2m}{\hbar^{2}}\right)^{3/2} \varepsilon_{F}^{3/2}$$

and

$$E = \int_{0}^{\varepsilon_{F}} \varepsilon D(\varepsilon) d\varepsilon = \frac{V}{2\pi^{2}} \left(\frac{2m}{\hbar^{2}}\right)^{3/2} \int_{0}^{\varepsilon_{F}} \varepsilon^{3/2} d\varepsilon = \frac{2}{5} \frac{V}{2\pi^{2}} \left(\frac{2m}{\hbar^{2}}\right)^{3/2} \varepsilon_{F}^{5/2}.$$

Then we have

$$\frac{E}{N} = \frac{\frac{2}{5}\varepsilon_F^{3/2}}{\frac{2}{3}\varepsilon_F^{3/2}} = \frac{3}{5}\varepsilon_F$$

((Note)) Fermi-Dirac distribution function

The Fermi-Dirac distribution gives the probability that an orbital at energy ε will be occupied in an ideal gas in thermal equilibrium

$$f(\varepsilon) = \frac{1}{e^{\beta(\varepsilon-\mu)} + 1},\tag{12}$$

where μ is the chemical potential and $\beta = 1/(k_{\rm B}T)$.

(i)
$$\lim_{T\to 0} \mu = \mathcal{E}_F$$
.

- (ii) $f(\varepsilon) = 1/2$ at $\varepsilon = \mu$.
- (iii) For $\varepsilon \mu \gg k_{\rm B}T$, $f(\varepsilon)$ is approximated by $f(\varepsilon) = e^{-\beta(\varepsilon-\mu)}$. This limit is called the Boltzman or Maxwell distribution.
- (iv) For $k_{\rm B}T \ll \varepsilon_{\rm F}$, the derivative $-df(\varepsilon)/d\varepsilon$ corresponds to a Dirac delta function having a sharp positive peak at $\varepsilon = \mu$.

6. Plane wave solution with a periodic boundary condition

A. Energy level in 1D system

We consider a free electron gas in 1D system. The Schrödinger equation is given by

$$H\psi_k(x) = \frac{p^2}{2m}\psi_k(x) = -\frac{\hbar^2}{2m}\frac{d^2\psi_k(x)}{dx^2} = \varepsilon_k\psi_k(x), \qquad (1)$$

where

$$p = \frac{\hbar}{i} \frac{d}{dx},$$

and ε_k is the energy of the electron in the orbital.

The orbital is defined as a solution of the wave equation for a system of only one electron: $\langle \langle \text{one-electron problem} \rangle \rangle$.

Using a periodic boundary condition: $\psi_k(x+L) = \psi_k(x)$, we have the plane-wave solution

$$\psi_k(x) \sim e^{ikx} \,, \tag{2}$$

with

$$\varepsilon_k = \frac{\hbar^2}{2m} k^2 = \frac{\hbar^2}{2m} \left(\frac{2\pi}{L}n\right)^2,$$
$$e^{ikL} = 1 \text{ or } k = \frac{2\pi}{L}n,$$

where $n = 0, \pm 1, \pm 2, ...,$ and *L* is the size of the system.

B. Energy level in 3D system

We consider the Schrödinger equation of an electron confined to a cube of edge L.

$$H\psi_{\mathbf{k}} = \frac{\mathbf{p}^2}{2m}\psi_{\mathbf{k}} = -\frac{\hbar^2}{2m}\nabla^2\psi_{\mathbf{k}} = \varepsilon_{\mathbf{k}}\psi_{\mathbf{k}}.$$
(3)

It is convenient to introduce wavefunctions that satisfy periodic boundary conditions. Boundary condition (Born-von Karman boundary conditions).

$$\begin{split} \psi_{\mathbf{k}}(x+L,y,z) &= \psi_{\mathbf{k}}(x,y,z) ,\\ \psi_{\mathbf{k}}(x,y+L,z) &= \psi_{\mathbf{k}}(x,y,z) ,\\ \psi_{\mathbf{k}}(x,y,z+L) &= \psi_{\mathbf{k}}(x,y,z) . \end{split}$$

The wavefunctions are of the form of a traveling plane wave.

$$\psi_{\mathbf{k}}(\mathbf{r}) = e^{i\mathbf{k}\cdot\mathbf{r}},\tag{4}$$

with

$$k_{\rm x} = (2\pi/L) n_{\rm x}, (n_{\rm x} = 0, \pm 1, \pm 2, \pm 3,), k_{\rm y} = (2\pi/L) n_{\rm y}, (n_{\rm y} = 0, \pm 1, \pm 2, \pm 3,), k_{\rm z} = (2\pi/L) n_{\rm z}, (n_{\rm z} = 0, \pm 1, \pm 2, \pm 3,).$$

The components of the wavevector k are the quantum numbers, along with the quantum number m_s of the spin direction. The energy eigenvalue is

$$\varepsilon(\mathbf{k}) = \frac{\hbar^2}{2m} (k_x^2 + k_y^2 + k_z^2) = \frac{\hbar^2}{2m} \mathbf{k}^2 \,.$$
(5)

Here

$$\mathbf{p}\psi_k(\mathbf{r}) = \frac{\hbar}{i} \nabla_{\mathbf{k}} \psi_k(\mathbf{r}) = \hbar \mathbf{k} \psi_k(\mathbf{r}) .$$
(6)

So that the plane wave function $\psi_k(\mathbf{r})$ is an eigenfunction of p with the eigenvalue $\hbar \mathbf{k}$. The ground state of a system of N electrons, the occupied orbitals are represented as a point inside a sphere in k-space.

Because we assume that the electrons are noninteracting, we can build up the N-electron ground state by placing electrons into the allowed one-electron levels we have just found.

((The Pauli's exclusion principle))

The one-electron levels are specified by the wavevectors k and by the projection of the electron's spin along an arbitrary axis, which can take either of the two values $\pm \hbar/2$. Therefore associated with each allowed wave vector k are two levels:

$$|\mathbf{k},\uparrow\rangle,|\mathbf{k},\downarrow\rangle.$$

In building up the *N*-electron ground state, we begin by placing two electrons in the oneelectron level k = 0, which has the lowest possible one-electron energy $\varepsilon = 0$. We have

$$N = 2\frac{L^3}{(2\pi)^3} \frac{4\pi}{3} k_F^{\ 3} = \frac{V}{3\pi^2} k_F^{\ 3}, \tag{7}$$

where the sphere of radius $k_{\rm F}$ containing the occupied one-electron levels is called the Fermi sphere, and the factor 2 is from spin degeneracy.

The electron density *n* is defined by

$$n = \frac{N}{V} = \frac{1}{3\pi^2} k_F^{3}.$$
 (8)

The Fermi wavenumber $k_{\rm F}$ is given by

$$k_F = (3\pi^2 n)^{1/3}.$$
 (9)

The Fermi energy is given by

$$\varepsilon_F = \frac{\hbar^2}{2m} (3\pi^2 n)^{2/3}.$$
 (10)

The Fermi velocity is

$$v_F = \frac{\hbar k_F}{m} = \frac{\hbar}{m} (3\pi^2 n)^{1/3}.$$
 (11)

((Note))

The Fermi energy $\varepsilon_{\rm F}$ can be estimated using the number of electrons per unit volume as $\varepsilon_{\rm F} = 3.64645 \times 10^{-15} n^{2/3} [{\rm eV}] = 1.69253 n_0^{2/3} [{\rm eV}],$ where *n* and n_0 is in the units of (cm⁻³) and $n = n_0 \times 10^{22}$. The Fermi wave number $k_{\rm F}$ is

calculated as

 $k_{\rm F} = 6.66511 \times 10^7 \ n_0^{1/3} \ [\rm cm^{-1}].$ The Fermi velocity $v_{\rm F}$ is calculated as $v_{\rm F} = 7.71603 \times 10^7 \ n_0^{1/3} \ [\rm cm/s].$