### Compton effect Masatsugu Sei Suzuki Department of Physics, SUNY at Binghamton (Date: January 13, 2012)

#### 1. Overview

By the early 20th century, research into the interaction of X-rays with matter was well underway. It was known that when a beam of X-rays is directed at an atom, an electron is ejected and is scattered through an angle  $\theta$ . Classical electromagnetism predicts that the wavelength of scattered rays should be equal to the initial wavelength;<sup>[3]</sup> however, multiple experiments found that the wavelength of the scattered rays was greater than the initial wavelength. In 1923, Compton published a paper in the *Physical Review* explaining the phenomenon. Using the notion of quantized radiation and the dynamics of special relativity, Compton derived the relationship between the shift in wavelength and the scattering angle:

$$\Delta \lambda = \lambda' - \lambda = \frac{h}{mc} (1 - \cos \theta)$$

 $\lambda_{\rm c}$  is the Compton wavelength,

$$\lambda_c = \frac{h}{mc} = 2.4263102389 \text{ x } 10^{-12} \text{ m}$$

where h is the Planck's constant, m is the mass of electron, and c is the velocity of light.



#### 2. Compton scattering

A photon  $\gamma$  with wavelength  $\lambda$  is directed at an electron e in an atom, which is at rest. The collision causes the electron to recoil, and a new photon  $\gamma'$  with wavelength  $\lambda'$  emerges at angle  $\theta$ . Let e' denote the electron after the collision. From the energy conservation, we have

$$E_{\gamma} + E_e = E_{\gamma'} + E_{e'}$$

Compton postulated that photons carry momentum; thus from the conservation of momentum, the momenta of the particles should be related by

$$\mathbf{p}_{\gamma} = \mathbf{p}_{\gamma'} + \mathbf{p}_{e'}$$

assuming the initial momentum of the electron is zero. The photon energies are related to the frequencies by

$$E_{\gamma} = h v = \frac{hc}{\lambda}, \qquad E_{\gamma} = h v = \frac{hc}{\lambda'}$$

where *h* is the Planck constant,  $\nu$  is the frequency of the incident photon  $\gamma$  and  $\lambda$  is the wavelength From the relativistic energy-momentum relation, the electron energies are

$$E_{e} = mc^{2}, \qquad \qquad E_{e'} = \sqrt{m^{2}c^{4} + c^{2}p_{e'}^{2}}$$

Along with the conservation of energy, these relations imply that

$$hv + mc^2 = hv' + \sqrt{m^2c^4 + c^2p_{e'}^2}$$

or

$$(hv + mc^{2} - hv')^{2} = m^{2}c^{4} + c^{2}p_{e'}^{2}$$

or

$$c^{2}p_{e'}^{2} = (hv + mc^{2} - hv')^{2} - m^{2}c^{4}.$$
 (1)

From the conservation of momentum,

$$\mathbf{p}_{e'} = \mathbf{p}_{\gamma} - \mathbf{p}_{\gamma'}$$

The *x* component:

$$p_{e'}\cos\phi + \frac{h}{\lambda'}\cos\theta = \frac{h}{\lambda}$$

The *y* component:

$$p_{e'}\sin\phi - \frac{h}{\lambda'}\sin\theta = 0$$

#### 3.

**Derivation of formula** Then by making use of the scalar product, we have

$$p_{e'}^{2} = (\mathbf{p}_{\gamma} - \mathbf{p}_{\gamma'}) \cdot (\mathbf{p}_{\gamma} - \mathbf{p}_{\gamma'}) = p_{\gamma}^{2} + p_{\gamma'}^{2} - 2p_{\gamma}p_{\gamma'}\cos\theta$$

or

$$p_{e'}^{2}c^{2} = p_{\gamma}^{2}c^{2} + p_{\gamma'}^{2}c^{2} - 2c^{2}p_{\gamma}p_{\gamma'}\cos\theta$$
  
=  $(hv)^{2} + (hv')^{2} - 2(hv)(hv')\cos\theta$  (2)

From Eq. (1) and Eq. (2),

$$(hv + mc^{2} - hv')^{2} - m^{2}c^{4} = (hv)^{2} + (hv')^{2} - 2(hv)(hv')\cos\theta$$

or

$$mc^{2}hv - mc^{2}hv' = (hv)(hv')(1 - \cos\theta)$$

or

$$\frac{(v-v')}{vv'} = \frac{1}{v'} - \frac{1}{v} = \frac{h}{mc^2} (1 - \cos\theta)$$

Then we get

$$\Delta \lambda = \lambda' - \lambda = \lambda_c (1 - \cos \theta)$$

where  $\lambda_c = \frac{h}{mc}$  is the Compton wavelength. The CODATA 2006 value for the Compton wavelength of the electron is

$$\lambda_c = \frac{h}{mc} = 2.4263102175 \pm 33 \times 10^{-12} \text{ m} = 2.4263102175 \pm 33 \times 10^{-2} \text{ A}$$

Note that the scattered photon always has a long wavelength than the incident photon.



The momentum conservation along the y direction



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## 4. Determination of angles $\theta$ and $\phi$

We start with the momentum conservation law,

$$p_{e'}\cos\phi = \frac{h}{\lambda} - \frac{h}{\lambda'}\cos\theta, \qquad (3)$$

$$p_{e'}\sin\phi = \frac{h}{\lambda'}\sin\theta,$$
(4)

Dividing Eq.(4) by Eq.(3);

$$\tan\phi = \frac{\frac{h}{\lambda'}\sin\theta}{\frac{h}{\lambda} - \frac{h}{\lambda'}\cos\theta}$$

Using the relation

$$\lambda' = \lambda + \lambda_c (1 - \cos \theta)$$

we get

$$\tan \phi = \frac{\frac{h}{\lambda'} \sin \theta}{\frac{h}{\lambda} - \frac{h}{\lambda'} \cos \theta} = \frac{\lambda \sin \theta}{\lambda' - \lambda \cos \theta}$$
$$= \frac{\lambda \sin \theta}{\lambda(1 - \cos \theta) + \lambda_c (1 - \cos \theta)}$$
$$= \frac{\lambda \sin \theta}{(\lambda + \lambda_c)(1 - \cos \theta)}$$
$$= \frac{2\lambda \sin \frac{\theta}{2} \cos \frac{\theta}{2}}{2(\lambda + \lambda_c) \sin^2 \frac{\theta}{2}}$$
$$= \frac{\lambda \cos \frac{\theta}{2}}{(\lambda + \lambda_c) \sin \frac{\theta}{2}} = \frac{\lambda}{(\lambda + \lambda_c) \tan \frac{\theta}{2}}$$

The recoil angle of electron  $\phi$  is

$$\cot\phi = (1 + \frac{\lambda_c}{\lambda})\tan\frac{\theta}{2}$$

# 5. Energy of scattered electron

$$hv + mc^2 = hv' + \sqrt{m^2c^4 + c^2p_{e'}^2}$$

$$E' = \sqrt{m^2 c^4 + c^2 p_{e'}^2}$$
$$= h(v - v') + mc^2$$
$$= hc \left(\frac{\lambda' - \lambda}{\lambda \lambda'}\right) + mc^2$$
$$= hc \left(\frac{\Delta \lambda}{\lambda \lambda'}\right) + mc^2$$

Then the kinetic energy of the scattered electron is

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$$K' = E' - mc^{2}$$
$$= hc\left(\frac{\lambda' - \lambda}{\lambda\lambda'}\right)$$
$$= hc\left(\frac{\Delta\lambda}{\lambda\lambda'}\right)$$