

Derivation of the Solution for Dirac equation of a free particle

Eigenvalue problem

Masatsugu Sei Suzuki

Department of Physics

SUNY at Binghamton

(Date: April 26, 2017)

Here we show the solution of Dirac equation for free particle by using two methods: (a) simultaneous eigenket of the Hamiltonian and the helicity, and (b) the Foldy-Wouthoeau transformation.

1. Hamiltonian and helicity

The Hamiltonian is given by

$$H = c(\boldsymbol{\alpha} \cdot \mathbf{p}) + \beta mc^2 = \begin{pmatrix} mc^2 & c(\boldsymbol{\sigma} \cdot \mathbf{p}) \\ c(\boldsymbol{\sigma} \cdot \mathbf{p}) & -mc^2 \end{pmatrix}$$

The helicity is defined by

$$\frac{\boldsymbol{\Sigma} \cdot \mathbf{p}}{p} = \begin{pmatrix} \frac{1}{p} \boldsymbol{\sigma} \cdot \mathbf{p} & 0 \\ 0 & \frac{1}{p} \boldsymbol{\sigma} \cdot \mathbf{p} \end{pmatrix}$$

The commutation relation between H and $\frac{\boldsymbol{\Sigma} \cdot \mathbf{p}}{p}$

$$\begin{aligned} H \frac{\boldsymbol{\Sigma} \cdot \mathbf{p}}{p} &= \begin{pmatrix} mc^2 & c(\boldsymbol{\sigma} \cdot \mathbf{p}) \\ c(\boldsymbol{\sigma} \cdot \mathbf{p}) & -mc^2 \end{pmatrix} \begin{pmatrix} \frac{1}{p}(\boldsymbol{\sigma} \cdot \mathbf{p}) & 0 \\ 0 & \frac{1}{p}(\boldsymbol{\sigma} \cdot \mathbf{p}) \end{pmatrix} \\ &= \begin{pmatrix} \frac{mc^2}{p}(\boldsymbol{\sigma} \cdot \mathbf{p}) & \frac{c}{p}(\boldsymbol{\sigma} \cdot \mathbf{p})^2 \\ \frac{c}{p}(\boldsymbol{\sigma} \cdot \mathbf{p})^2 & -\frac{mc^2}{p}(\boldsymbol{\sigma} \cdot \mathbf{p}) \end{pmatrix} \end{aligned}$$

$$\begin{aligned} \frac{\boldsymbol{\Sigma} \cdot \mathbf{p}}{p} H &= \begin{pmatrix} \frac{1}{p}(\boldsymbol{\sigma} \cdot \mathbf{p}) & 0 \\ 0 & \frac{1}{p}(\boldsymbol{\sigma} \cdot \mathbf{p}) \end{pmatrix} \begin{pmatrix} mc^2 & c(\boldsymbol{\sigma} \cdot \mathbf{p}) \\ c(\boldsymbol{\sigma} \cdot \mathbf{p}) & -mc^2 \end{pmatrix} \\ &= \begin{pmatrix} \frac{mc^2}{p}(\boldsymbol{\sigma} \cdot \mathbf{p}) & \frac{c}{p}(\boldsymbol{\sigma} \cdot \mathbf{p})^2 \\ \frac{c}{p}(\boldsymbol{\sigma} \cdot \mathbf{p})^2 & -\frac{mc^2}{p}(\boldsymbol{\sigma} \cdot \mathbf{p}) \end{pmatrix} \end{aligned}$$

leading to the commutation relation, $[H, \frac{\boldsymbol{\Sigma} \cdot \mathbf{p}}{p}] = 0$.

So we have a simultaneous eigenket

$$\psi = \begin{pmatrix} \psi_1 \\ \psi_1 \end{pmatrix} = \begin{pmatrix} u_1 \\ u_2 \\ u_3 \\ u_4 \end{pmatrix},$$

with

$$\psi_1 = \begin{pmatrix} u_1 \\ u_2 \end{pmatrix} \quad \psi_2 = \begin{pmatrix} u_3 \\ u_4 \end{pmatrix}$$

The eigenvalue problem is now given by

$$H\psi = E\psi, \quad \frac{\boldsymbol{\Sigma} \cdot \mathbf{p}}{p}\psi = h\psi$$

We note that

$$\left(\frac{\boldsymbol{\Sigma} \cdot \mathbf{p}}{p}\right)^2 = \begin{pmatrix} \frac{1}{p^2}(\boldsymbol{\sigma} \cdot \mathbf{p})^2 & 0 \\ 0 & \frac{1}{p^2}(\boldsymbol{\sigma} \cdot \mathbf{p})^2 \end{pmatrix} = \begin{pmatrix} \mathbf{I} & 0 \\ 0 & \mathbf{I} \end{pmatrix}$$

Thus the eigenvalue of $\frac{\boldsymbol{\Sigma} \cdot \mathbf{p}}{p}$ is $h = \pm 1$.

Since $H^2 = E_R^2$, the eigenvalue of H is $\pm E_R$.

(a) Eigenvalue problem of $\frac{\boldsymbol{\Sigma} \cdot \mathbf{p}}{p}$

$$\frac{\boldsymbol{\Sigma} \cdot \mathbf{p}}{p} \psi = \begin{pmatrix} \frac{1}{p}(\boldsymbol{\sigma} \cdot \mathbf{p}) & 0 \\ 0 & \frac{1}{p}(\boldsymbol{\sigma} \cdot \mathbf{p}) \end{pmatrix} \begin{pmatrix} \psi_1 \\ \psi_2 \end{pmatrix} = \lambda \psi = \lambda \begin{pmatrix} \psi_1 \\ \psi_2 \end{pmatrix}$$

leading to

$$\frac{1}{p}(\boldsymbol{\sigma} \cdot \mathbf{p})\psi_1 = \lambda\psi_1, \quad \frac{1}{p}(\boldsymbol{\sigma} \cdot \mathbf{p})\psi_2 = \lambda\psi_2 \quad (1)$$

where $\lambda = \pm 1$.

(b) Eigenvalue problem of H

$$H\psi = \begin{pmatrix} mc^2 & c(\boldsymbol{\sigma} \cdot \mathbf{p}) \\ c(\boldsymbol{\sigma} \cdot \mathbf{p}) & -mc^2 \end{pmatrix} \begin{pmatrix} \psi_1 \\ \psi_2 \end{pmatrix} = E \begin{pmatrix} \psi_1 \\ \psi_2 \end{pmatrix} \quad (2)$$

or

$$H\psi = \begin{pmatrix} mc^2 & c(\boldsymbol{\sigma} \cdot \mathbf{p}) \\ c(\boldsymbol{\sigma} \cdot \mathbf{p}) & -mc^2 \end{pmatrix} \begin{pmatrix} \psi_1 \\ \psi_2 \end{pmatrix} = E \begin{pmatrix} \psi_1 \\ \psi_2 \end{pmatrix}$$

or

$$mc^2\psi_1 + c(\boldsymbol{\sigma} \cdot \mathbf{p})\psi_2 = E\psi_1, \quad c(\boldsymbol{\sigma} \cdot \mathbf{p})\psi_1 - mc^2\psi_2 = E\psi_2$$

Note that the energy eigenvalues are

$$E = E_R, E_R, -E_R, -E_R$$

where

$$E_R = c\sqrt{p^2 + m^2c^2}$$

Suppose that

$$c(\boldsymbol{\sigma} \cdot \mathbf{p})\psi_1 = cp\lambda\psi_1 \quad (1)$$

$$c(\boldsymbol{\sigma} \cdot \mathbf{p})\psi_2 = cp\lambda\psi_2 \quad (2)$$

$$mc^2\psi_1 + c(\boldsymbol{\sigma} \cdot \mathbf{p})\psi_2 = E\psi_1 \quad (3)$$

$$c(\boldsymbol{\sigma} \cdot \mathbf{p})\psi_1 - mc^2\psi_2 = E\psi_2 \quad (4)$$

(the same E and λ are required)

where

$$\lambda^2 = 1, \quad E^2 = E_R^2 = m^2c^4 + c^2p^2$$

Using Eqs.(2) and (3), we have

$$mc^2\psi_1 + cp\lambda\psi_2 = E\psi_1 \quad (3')$$

Using Eqs.(1) and (4), we have

$$cp\lambda\psi_1 - mc^2\psi_2 = E\psi_2 \quad (4')$$

From Eq.(4'),

$$\psi_1 = \frac{E + mc^2}{cp\lambda}\psi_2 \quad (5)$$

From Eq.(3'),

$$\psi_2 = \frac{(E - mc^2)}{cp\lambda}\psi_1 \quad (6)$$

In Eq.(5),

$$\begin{aligned}\psi_1 &= \frac{(E + mc^2)(E - mc^2)}{cp\lambda(E - mc^2)}\psi_2 \\ &= \frac{c^2 p^2}{cp\lambda(E - mc^2)}\psi_2 \\ &= \frac{cp\lambda}{E - mc^2}\psi_2\end{aligned}$$

where we use $\lambda^2 = 1$. So this equation is the same as Eq.(6). Eq.(6) and Eq.(6) are essentially the same equation.

We use two equations

(i)

$$\psi_2 = \frac{(E - mc^2)}{cp\lambda}\psi_1,$$

$$\left(\boldsymbol{\sigma} \cdot \frac{\mathbf{p}}{p}\right)\psi_1 = \lambda\psi_1$$

or

(ii)

$$\psi_1 = \frac{E + mc^2}{cp\lambda}\psi_2,$$

$$\left(\boldsymbol{\sigma} \cdot \frac{\mathbf{p}}{p}\right)\psi_2 = \lambda\psi_2$$

2. Eigenvalue of $\boldsymbol{\sigma} \cdot \frac{\mathbf{p}}{p}$

$$\left(\boldsymbol{\sigma} \cdot \frac{\mathbf{p}}{p}\right)\psi = \lambda\psi$$

For $\lambda = 1$

$$\psi = \begin{pmatrix} e^{-i\phi/2} \cos \frac{\theta}{2} \\ e^{i\phi/2} \sin \frac{\theta}{2} \end{pmatrix}$$

For $\lambda = -1$

$$\psi = \begin{pmatrix} -e^{-i\phi/2} \sin \frac{\theta}{2} \\ e^{i\phi/2} \cos \frac{\theta}{2} \end{pmatrix}$$

3. Energy and helicity for the particle and antiparticle

(a) $E = E_R, \quad \lambda = 1,$

$$\psi_2 = \begin{pmatrix} e^{-i\phi/2} \cos \frac{\theta}{2} \\ e^{i\phi/2} \sin \frac{\theta}{2} \end{pmatrix},$$

$$\psi_1 = \frac{(E_R + mc^2)}{cp} \psi_2 = \frac{(E_R + mc^2)}{cp} \begin{pmatrix} e^{-i\phi/2} \cos \frac{\theta}{2} \\ e^{i\phi/2} \sin \frac{\theta}{2} \end{pmatrix}$$

$$\psi^{(+\uparrow)} = \frac{cp}{\sqrt{2E_R(E_R + mc^2)}} \begin{pmatrix} \frac{(E_R + mc^2)}{cp} \begin{pmatrix} e^{-i\phi/2} \cos \frac{\theta}{2} \\ e^{i\phi/2} \sin \frac{\theta}{2} \end{pmatrix} \\ \begin{pmatrix} e^{-i\phi/2} \cos \frac{\theta}{2} \\ e^{i\phi/2} \sin \frac{\theta}{2} \end{pmatrix} \end{pmatrix}$$

$$= \sqrt{\frac{E_R + mc^2}{2E_R}} \begin{pmatrix} \begin{pmatrix} e^{-i\phi/2} \cos \frac{\theta}{2} \\ e^{i\phi/2} \sin \frac{\theta}{2} \end{pmatrix} \\ \frac{cp}{(E_R + mc^2)} \begin{pmatrix} e^{-i\phi/2} \cos \frac{\theta}{2} \\ e^{i\phi/2} \sin \frac{\theta}{2} \end{pmatrix} \end{pmatrix}$$

(b) $E = E_R, \quad \lambda = -1$

$$\psi_2 = \begin{pmatrix} -e^{-i\phi/2} \sin \frac{\theta}{2} \\ e^{i\phi/2} \cos \frac{\theta}{2} \end{pmatrix},$$

$$\psi_1 = -\frac{(E_R + mc^2)}{cp} \psi_2 = -\frac{(E_R + mc^2)}{cp} \begin{pmatrix} -e^{-i\phi/2} \sin \frac{\theta}{2} \\ e^{i\phi/2} \cos \frac{\theta}{2} \end{pmatrix}$$

$$\psi^{(+\downarrow)} = \frac{cp}{\sqrt{2E_R(E_R + mc^2)}} \begin{pmatrix} -\frac{(E_R + mc^2)}{cp} \begin{pmatrix} -e^{-i\phi/2} \sin \frac{\theta}{2} \\ e^{i\phi/2} \cos \frac{\theta}{2} \end{pmatrix} \\ \begin{pmatrix} -e^{-i\phi/2} \sin \frac{\theta}{2} \\ e^{i\phi/2} \cos \frac{\theta}{2} \end{pmatrix} \end{pmatrix}$$

$$= \sqrt{\frac{E_R + mc^2}{2E_R}} \begin{pmatrix} \begin{pmatrix} -e^{-i\phi/2} \sin \frac{\theta}{2} \\ e^{i\phi/2} \cos \frac{\theta}{2} \end{pmatrix} \\ \frac{-cp}{E_R + mc^2} \begin{pmatrix} -e^{-i\phi/2} \sin \frac{\theta}{2} \\ e^{i\phi/2} \cos \frac{\theta}{2} \end{pmatrix} \end{pmatrix}$$

(c) $E = -E_R, \quad \lambda = 1,$

$$\psi_1 = \begin{pmatrix} e^{-i\phi/2} \cos \frac{\theta}{2} \\ e^{i\phi/2} \sin \frac{\theta}{2} \end{pmatrix},$$

$$\psi_2 = -\frac{(E_R + mc^2)}{cp} \begin{pmatrix} e^{-i\phi/2} \cos \frac{\theta}{2} \\ e^{i\phi/2} \sin \frac{\theta}{2} \end{pmatrix}$$

$$\psi^{(-\uparrow)} = \sqrt{\frac{E_R + mc^2}{2E_R}} \begin{pmatrix} \frac{-cp}{E_R + mc^2} \begin{pmatrix} e^{-i\phi/2} \cos \frac{\theta}{2} \\ e^{i\phi/2} \sin \frac{\theta}{2} \end{pmatrix} \\ \begin{pmatrix} e^{-i\phi/2} \cos \frac{\theta}{2} \\ e^{i\phi/2} \sin \frac{\theta}{2} \end{pmatrix} \end{pmatrix}$$

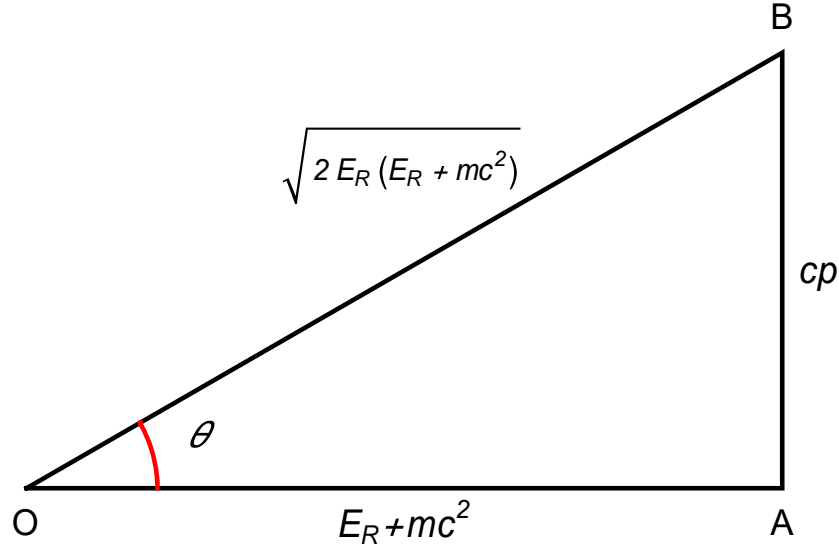
(d) $E = -E_R, \quad \lambda = -1$

$$\psi_1 = \begin{pmatrix} -e^{-i\phi/2} \sin \frac{\theta}{2} \\ e^{i\phi/2} \cos \frac{\theta}{2} \end{pmatrix}$$

$$\psi_2 = \frac{(E_R + mc^2)}{cp} \psi_1 = \frac{E_R + mc^2}{cp} \begin{pmatrix} -e^{-i\phi/2} \sin \frac{\theta}{2} \\ e^{i\phi/2} \cos \frac{\theta}{2} \end{pmatrix}$$

$$\psi^{(-\downarrow)} = \sqrt{\frac{E_R + mc^2}{2E_R}} \begin{pmatrix} \frac{cp}{E_R + mc^2} \begin{pmatrix} -e^{-i\phi/2} \sin \frac{\theta}{2} \\ e^{i\phi/2} \cos \frac{\theta}{2} \end{pmatrix} \\ \begin{pmatrix} -e^{-i\phi/2} \sin \frac{\theta}{2} \\ e^{i\phi/2} \cos \frac{\theta}{2} \end{pmatrix} \end{pmatrix}$$

4. Normalization factor A



The normalization factor A is determined as

$$A^2 \left[1 + \frac{(E_R + mc^2)^2}{c^2 p^2} \right] = A^2 \left[\frac{(E_R + mc^2)^2 + c^2 p^2}{c^2 p^2} \right] = 1$$

In the above triangle, we have the relation

$$(E_R + mc^2)^2 + c^2 p^2 = 2E_R(E_R + mc^2).$$

Then the normalization factor A can be evaluated as

$$A = \frac{cp}{\sqrt{(E_R + mc^2)^2 + c^2 p^2}} = \frac{cp}{\sqrt{2E_R(E_R + mc^2)}}$$

5. The special case for $\mathbf{p} = (0, 0, p)$

For the case of $\mathbf{p} = (0, 0, p)$, we have $\theta = 0$ and $\phi = 0$.

(a) $E = E_R, \quad \lambda = 1,$

$$\psi^{(+\uparrow)} = \sqrt{\frac{E_R + mc^2}{2E_R}} \begin{pmatrix} \begin{pmatrix} 1 \\ 0 \end{pmatrix} \\ \frac{cp}{E_R + mc^2} \begin{pmatrix} 1 \\ 0 \end{pmatrix} \end{pmatrix}$$

(b) $E = E_R, \quad \lambda = -1$

$$\psi^{(+\downarrow)} = \sqrt{\frac{E_R + mc^2}{2E_R}} \begin{pmatrix} \begin{pmatrix} 0 \\ 1 \end{pmatrix} \\ \frac{-cp}{E_R + mc^2} \begin{pmatrix} 0 \\ 1 \end{pmatrix} \end{pmatrix}$$

(c) $E = -E_R, \quad \lambda = 1,$

$$\psi^{(-\uparrow)} = \sqrt{\frac{E_R + mc^2}{2E_R}} \begin{pmatrix} \frac{-cp}{E_R + mc^2} \begin{pmatrix} 1 \\ 0 \end{pmatrix} \\ \begin{pmatrix} 1 \\ 0 \end{pmatrix} \end{pmatrix}$$

(d) $E = -E_R, \quad \lambda = -1$

$$\psi^{(-\downarrow)} = \sqrt{\frac{E_R + mc^2}{2E_R}} \begin{pmatrix} \frac{cp}{E_R + mc^2} \begin{pmatrix} 0 \\ 1 \end{pmatrix} \\ \begin{pmatrix} 0 \\ 1 \end{pmatrix} \end{pmatrix}$$

6. Approach based on FW transformation

(a) Energy eigenkets

Hamiltonian: $H = c(\boldsymbol{\alpha} \cdot \mathbf{p}) + \beta mc^2$

$$\hat{H} \begin{pmatrix} u_1 \\ u_2 \\ u_3 \\ u_4 \end{pmatrix} = \pm E_R \begin{pmatrix} u_1 \\ u_2 \\ u_3 \\ u_4 \end{pmatrix}$$

with the eigenvalue $\pm E_R$ since

$$\hat{H}^2 = E_R^2$$

Using the FW transformation, we have the new eigenket

$$\psi_{FW} = \hat{U}\psi,$$

with

$$\hat{U} = \frac{1}{\sqrt{2E_R(E_R + mc^2)}}(E_R + \beta H),$$

$$\hat{U}^+ = \frac{1}{\sqrt{2E_R(E_R + mc^2)}}(E_R + H\beta)$$

(a) For the energy eigenvalue $+E_R$, (particle)

$$\begin{aligned} \psi_{FW}^{(+)} &= \hat{U}\psi \\ &= \frac{1}{\sqrt{2E_R(E_R + mc^2)}}(E_R + \beta H)\psi \\ &= \frac{E_R}{\sqrt{2E_R(E_R + mc^2)}}(1 + \beta)\psi \\ &= \frac{E_R}{\sqrt{2E_R(E_R + mc^2)}} \begin{pmatrix} 2 & 0 & 0 & 0 \\ 0 & 2 & 0 & 0 \\ 0 & 0 & 0 & 0 \\ 0 & 0 & 0 & 0 \end{pmatrix} \begin{pmatrix} u_1 \\ u_2 \\ u_3 \\ u_4 \end{pmatrix} \\ &= \sqrt{\frac{2E_R}{E_R + mc^2}} \begin{pmatrix} u_1 \\ u_2 \\ 0 \\ 0 \end{pmatrix} \end{aligned}$$

with the normalization condition

$$|u_1|^2 + |u_2|^2 = \frac{E_R + mc^2}{2E_R}$$

(b) For the energy eigenvalue $-E_R$ (anti-particle)

$$\begin{aligned}
\psi_{FW}^{(-)} &= \hat{U} \psi \\
&= \frac{1}{\sqrt{2E_R(E_R + mc^2)}} (E_R + \beta H) \psi \\
&= \frac{E_R}{\sqrt{2E_R(E_R + mc^2)}} (1 - \beta) \psi \\
&= \frac{E_R}{\sqrt{2E_R(E_R + mc^2)}} \begin{pmatrix} 0 & 0 & 0 & 0 \\ 0 & 0 & 0 & 0 \\ 0 & 0 & 2 & 0 \\ 0 & 0 & 0 & 2 \end{pmatrix} \begin{pmatrix} u_1 \\ u_2 \\ u_3 \\ u_4 \end{pmatrix} \\
&= \sqrt{\frac{2E_R}{E_R + mc^2}} \begin{pmatrix} 0 \\ 0 \\ u_3 \\ u_4 \end{pmatrix}
\end{aligned}$$

From the normalization condition, we have

$$|u_3|^2 + |u_4|^2 = \frac{E_R + mc^2}{2E_R}$$

Helicity:

$$\begin{aligned}
\Sigma \cdot \frac{\mathbf{p}}{|\mathbf{p}|} &= \begin{pmatrix} \boldsymbol{\sigma} \cdot \frac{\mathbf{p}}{|\mathbf{p}|} & 0 \\ 0 & \boldsymbol{\sigma} \cdot \frac{\mathbf{p}}{|\mathbf{p}|} \end{pmatrix} \\
\Sigma \cdot \frac{\mathbf{p}}{|\mathbf{p}|} \begin{pmatrix} u_1 \\ u_2 \\ u_3 \\ u_4 \end{pmatrix} &= \begin{pmatrix} \boldsymbol{\sigma} \cdot \frac{\mathbf{p}}{|\mathbf{p}|} & 0 \\ 0 & \boldsymbol{\sigma} \cdot \frac{\mathbf{p}}{|\mathbf{p}|} \end{pmatrix} \begin{pmatrix} u_1 \\ u_2 \\ u_3 \\ u_4 \end{pmatrix}
\end{aligned}$$

with

$$\boldsymbol{\sigma} \cdot \frac{\mathbf{p}}{|\mathbf{p}|} \begin{pmatrix} u_1 \\ u_2 \end{pmatrix} = \pm \begin{pmatrix} u_1 \\ u_2 \end{pmatrix}, \quad \boldsymbol{\sigma} \cdot \frac{\mathbf{p}}{|\mathbf{p}|} \begin{pmatrix} u_3 \\ u_4 \end{pmatrix} = \pm \begin{pmatrix} u_3 \\ u_4 \end{pmatrix}$$

with the eigenvalues ± 1 since $(\boldsymbol{\sigma} \cdot \frac{\mathbf{p}}{|\mathbf{p}|})^2 = 1$. When we define $\frac{\mathbf{p}}{|\mathbf{p}|} = \mathbf{n}$

$$\boldsymbol{\sigma} \cdot \mathbf{n} |\pm \mathbf{n}\rangle = \pm |\pm \mathbf{n}\rangle$$

$$\begin{pmatrix} u_1 \\ u_2 \end{pmatrix} = \begin{pmatrix} \cos \frac{\theta}{2} e^{-i\phi/2} \\ \sin \frac{\theta}{2} e^{i\phi/2} \end{pmatrix} \text{ for the helicity } 1$$

$$\begin{pmatrix} u_1 \\ u_2 \end{pmatrix} = \begin{pmatrix} \sin \frac{\theta}{2} e^{-i\phi/2} \\ -\cos \frac{\theta}{2} e^{i\phi/2} \end{pmatrix} \text{ for the helicity } -1$$

We note that

$$\hat{U}^+ = \frac{1}{\sqrt{2E_R(E_R + mc^2)}} (E_R + H\beta)$$

$$H = c(\boldsymbol{\alpha} \cdot \mathbf{p}) + \beta mc^2 = \begin{pmatrix} mc^2 & c(\boldsymbol{\sigma} \cdot \mathbf{p}) \\ c(\boldsymbol{\sigma} \cdot \mathbf{p}) & -mc^2 \end{pmatrix}$$

$$\begin{aligned} (E_R + H\beta) &= \begin{pmatrix} E_R & 0 \\ 0 & E_R \end{pmatrix} + \begin{pmatrix} mc^2 & c(\boldsymbol{\sigma} \cdot \mathbf{p}) \\ c(\boldsymbol{\sigma} \cdot \mathbf{p}) & -mc^2 \end{pmatrix} \begin{pmatrix} 1 & 0 \\ 0 & -1 \end{pmatrix} \\ &= \begin{pmatrix} E_R & 0 \\ 0 & E_R \end{pmatrix} + \begin{pmatrix} mc^2 & -c(\boldsymbol{\sigma} \cdot \mathbf{p}) \\ c(\boldsymbol{\sigma} \cdot \mathbf{p}) & mc^2 \end{pmatrix} \\ &= \begin{pmatrix} E_R + mc^2 & -c(\boldsymbol{\sigma} \cdot \mathbf{p}) \\ c(\boldsymbol{\sigma} \cdot \mathbf{p}) & E_R + mc^2 \end{pmatrix} \end{aligned}$$

(a) The particle

$$\begin{aligned}
\hat{U}^+ \begin{pmatrix} u_1 \\ u_2 \\ 0 \\ 0 \end{pmatrix} &= \frac{1}{\sqrt{2E_R(E_R + mc^2)}} (E_R + H\beta) \begin{pmatrix} u_1 \\ u_2 \\ 0 \\ 0 \end{pmatrix} \\
&= \frac{1}{\sqrt{2E_R(E_R + mc^2)}} \begin{pmatrix} E_R + mc^2 & -c(\boldsymbol{\sigma} \cdot \mathbf{p}) \\ c(\boldsymbol{\sigma} \cdot \mathbf{p}) & E_R + mc^2 \end{pmatrix} \begin{pmatrix} u_1 \\ u_2 \\ 0 \\ 0 \end{pmatrix} \\
&= \frac{1}{\sqrt{2E_R(E_R + mc^2)}} \begin{pmatrix} (E_R + mc^2) \begin{pmatrix} u_1 \\ u_2 \end{pmatrix} \\ c(\boldsymbol{\sigma} \cdot \mathbf{p}) \begin{pmatrix} u_1 \\ u_2 \end{pmatrix} \end{pmatrix} \\
&= \frac{1}{\sqrt{2E_R(E_R + mc^2)}} \begin{pmatrix} (E_R + mc^2) \begin{pmatrix} u_1 \\ u_2 \end{pmatrix} \\ \pm cp \begin{pmatrix} u_1 \\ u_2 \end{pmatrix} \end{pmatrix}
\end{aligned}$$

or

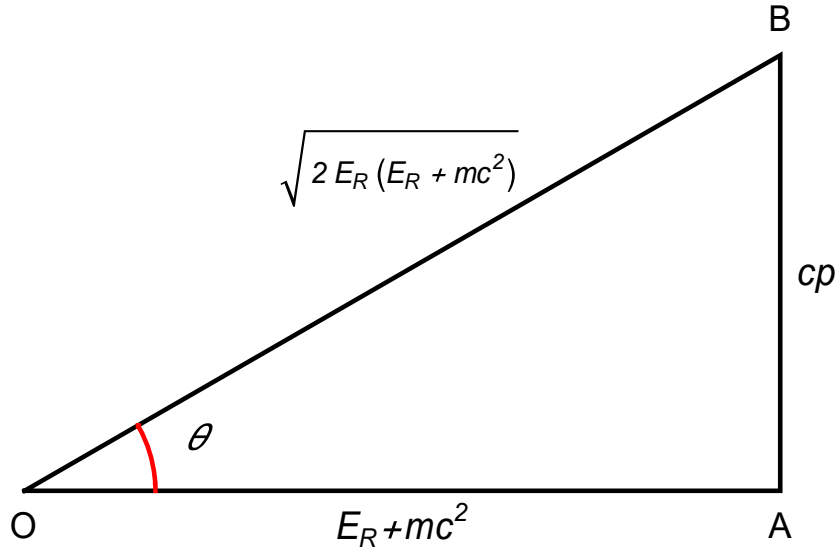
$$\hat{U}^+ \begin{pmatrix} u_1 \\ u_2 \\ 0 \\ 0 \end{pmatrix} = \frac{(E_R + mc^2)}{\sqrt{2E_R(E_R + mc^2)}} \begin{pmatrix} \begin{pmatrix} u_1 \\ u_2 \end{pmatrix} \\ \pm \frac{cp}{E_R + mc^2} \begin{pmatrix} u_1 \\ u_2 \end{pmatrix} \end{pmatrix}$$

This leads to

$$\begin{pmatrix} u_3 \\ u_4 \end{pmatrix} = \pm \frac{cp}{E_R + mc^2} \begin{pmatrix} u_1 \\ u_2 \end{pmatrix}$$

The normalization condition is satisfied,

$$\begin{aligned}
\frac{(E_R + mc^2)}{2E_R(E_R + mc^2)} (|u_1|^2 + |u_2|^2 + |u_3|^2 + |u_4|^2) &= \frac{(E_R + mc^2)}{2E_R(E_R + mc^2)} (|u_1|^2 + |u_2|^2) \left[1 + \frac{c^2 p^2}{(E_R + mc^2)^2}\right] \\
&= \frac{(E_R + mc^2)}{2E_R(E_R + mc^2)} (|u_1|^2 + |u_2|^2) \frac{(E_R + mc^2)^2 + c^2 p^2}{(E_R + mc^2)^2} \\
&= \frac{E_R + mc^2}{2E_R} \left[\frac{2E_R(E_R + mc^2)}{(E_R + mc^2)^2} \right] \\
&= 1
\end{aligned}$$



(b) Anti-particle:

$$\begin{aligned}
\hat{U}^+ \begin{pmatrix} 0 \\ 0 \\ u_3 \\ u_4 \end{pmatrix} &= \frac{1}{\sqrt{2E_R(E_R + mc^2)}} (E_R + H\beta) \begin{pmatrix} 0 \\ 0 \\ u_3 \\ u_4 \end{pmatrix} \\
&= \frac{1}{\sqrt{2E_R(E_R + mc^2)}} \begin{pmatrix} E_R + mc^2 & -c(\boldsymbol{\sigma} \cdot \mathbf{p}) \\ c(\boldsymbol{\sigma} \cdot \mathbf{p}) & E_R + mc^2 \end{pmatrix} \begin{pmatrix} 0 \\ 0 \\ u_3 \\ u_4 \end{pmatrix} \\
&= \frac{1}{\sqrt{2E_R(E_R + mc^2)}} \begin{pmatrix} -c(\boldsymbol{\sigma} \cdot \mathbf{p}) \begin{pmatrix} u_3 \\ u_4 \end{pmatrix} \\ (E_R + mc^2) \begin{pmatrix} u_3 \\ u_4 \end{pmatrix} \end{pmatrix} \\
&= \frac{E_R + mc^2}{\sqrt{2E_R(E_R + mc^2)}} \begin{pmatrix} \mp \frac{cp}{E_R + mc^2} \begin{pmatrix} u_3 \\ u_4 \end{pmatrix} \\ \begin{pmatrix} u_3 \\ u_4 \end{pmatrix} \end{pmatrix}
\end{aligned}$$

This leads to

$$\begin{pmatrix} u_1 \\ u_2 \end{pmatrix} = \mp \frac{cp}{E_R + mc^2} \begin{pmatrix} u_3 \\ u_4 \end{pmatrix}$$

where

$$\begin{pmatrix} u_3 \\ u_4 \end{pmatrix} = \begin{pmatrix} \cos \frac{\theta}{2} e^{-i\phi/2} \\ \sin \frac{\theta}{2} e^{i\phi/2} \end{pmatrix} \text{ for the helicity } +1$$

$$\begin{pmatrix} u_3 \\ u_4 \end{pmatrix} = \begin{pmatrix} \sin \frac{\theta}{2} e^{-i\phi/2} \\ -\cos \frac{\theta}{2} e^{i\phi/2} \end{pmatrix} \text{ for the helicity } -1$$

The normalization condition is satisfied,

$$\begin{aligned}
\frac{(E_R + mc^2)}{2E_R(E_R + mc^2)} (|u_1|^2 + |u_2|^2 + |u_3|^2 + |u_4|^2) &= \frac{(E_R + mc^2)}{2E_R(E_R + mc^2)} (|u_3|^2 + |u_4|^2) \left[1 + \frac{c^2 p^2}{(E_R + mc^2)^2}\right] \\
&= \frac{(E_R + mc^2)}{2E_R(E_R + mc^2)} (|u_3|^2 + |u_4|^2) \frac{(E_R + mc^2)^2 + c^2 p^2}{(E_R + mc^2)^2} \\
&= \frac{E_R + mc^2}{2E_R} \left[\frac{2E_R(E_R + mc^2)}{(E_R + mc^2)^2} \right] \\
&= 1
\end{aligned}$$

In summary we have the solution of Dirac equation

- (a) The energy eigenvalue $+E_R$, helicity (+1)

$$\psi^{(+\uparrow)} = \sqrt{\frac{E_R + mc^2}{2E_R}} \begin{pmatrix} \begin{pmatrix} e^{-i\phi/2} \cos \frac{\theta}{2} \\ e^{i\phi/2} \sin \frac{\theta}{2} \end{pmatrix} \\ \frac{cp}{E_R + mc^2} \begin{pmatrix} e^{-i\phi/2} \cos \frac{\theta}{2} \\ e^{i\phi/2} \sin \frac{\theta}{2} \end{pmatrix} \end{pmatrix}$$

- (b) The energy eigenvalue $+E_R$, helicity (-1)

$$\psi^{(+\downarrow)} = \sqrt{\frac{E_R + mc^2}{2E_R}} \begin{pmatrix} \begin{pmatrix} -e^{-i\phi/2} \sin \frac{\theta}{2} \\ e^{i\phi/2} \cos \frac{\theta}{2} \end{pmatrix} \\ \frac{-cp}{E_R + mc^2} \begin{pmatrix} -e^{-i\phi/2} \sin \frac{\theta}{2} \\ e^{i\phi/2} \cos \frac{\theta}{2} \end{pmatrix} \end{pmatrix}$$

- (c) The energy eigenvalue $-E_R$, helicity (+1)

$$\psi^{(-\uparrow)} = \sqrt{\frac{E_R + mc^2}{2E_R}} \begin{pmatrix} \frac{-cp}{E_R + mc^2} \begin{pmatrix} e^{-i\phi/2} \cos \frac{\theta}{2} \\ e^{i\phi/2} \sin \frac{\theta}{2} \end{pmatrix} \\ \begin{pmatrix} e^{-i\phi/2} \cos \frac{\theta}{2} \\ e^{i\phi/2} \sin \frac{\theta}{2} \end{pmatrix} \end{pmatrix}$$

(d) The energy eigenvalue $-E_R$, helicity (-1)

$$\psi^{(-\downarrow)} = \sqrt{\frac{E_R + mc^2}{2E_R}} \begin{pmatrix} \frac{cp}{E_R + mc^2} \begin{pmatrix} -e^{-i\phi/2} \sin \frac{\theta}{2} \\ e^{i\phi/2} \cos \frac{\theta}{2} \end{pmatrix} \\ \begin{pmatrix} -e^{-i\phi/2} \sin \frac{\theta}{2} \\ e^{i\phi/2} \cos \frac{\theta}{2} \end{pmatrix} \end{pmatrix}$$

REFERENCES

L.L. Foldy and S.A. Wouthuysen, Phys. Rev. **78**, 29 (1950).

S.S. Schweber, Introduction to Relativistic Quantum mechanics (Row, Peterson and Company, 1961).

P. Strange, Relativistic Quantum Mechanics (Cambridge, 1998).

APPENDIX

$$\boldsymbol{\sigma} \cdot \mathbf{p} = \begin{pmatrix} p_z & p_x - ip_y \\ p_x + ip_y & -p_z \end{pmatrix}$$

$$(\boldsymbol{\sigma} \cdot \mathbf{p}) \begin{pmatrix} 1 \\ 0 \end{pmatrix} = \begin{pmatrix} p_z & p_x - ip_y \\ p_x + ip_y & -p_z \end{pmatrix} \begin{pmatrix} 1 \\ 0 \end{pmatrix} = \begin{pmatrix} p_z \\ p_x + ip_y \end{pmatrix}$$

$$(\boldsymbol{\sigma} \cdot \mathbf{p}) \begin{pmatrix} 0 \\ 1 \end{pmatrix} = \begin{pmatrix} p_z & p_x - ip_y \\ p_x + ip_y & -p_z \end{pmatrix} \begin{pmatrix} 0 \\ 1 \end{pmatrix} = \begin{pmatrix} p_x - ip_y \\ -p_z \end{pmatrix}$$