

**Understanding of spin orbit interaction with an example of  $l = 1$  and  $s = 1/2$**   
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Using the Mathematica (KroneckerProduct and Eigensystem), the eigenvalue problem of the spin-orbit interaction for the orbital angular momentum ( $l=1$ ) and spin angular momentum ( $s = 1/2$ ) is discussed. This discussion is useful for students in understanding the concept of the Clebsch-Gordan coefficient.

**1. Matrix representation for the state vectors**

(i) Orbital angular momentum of  $l = 1$

$$|l=1, m=1\rangle = \begin{pmatrix} 1 \\ 0 \\ 0 \end{pmatrix}, \quad |1,0\rangle = \begin{pmatrix} 0 \\ 1 \\ 0 \end{pmatrix}, \quad |1,-1\rangle = \begin{pmatrix} 0 \\ 1 \\ 0 \end{pmatrix}$$

(ii) Spin angular momentum

$$|+z\rangle = \begin{pmatrix} 1 \\ 0 \end{pmatrix}, \quad |-z\rangle = \begin{pmatrix} 0 \\ 1 \end{pmatrix}$$

(iii)  $|l=1, m\rangle \otimes |\pm z\rangle$

$$|\phi_1\rangle = |l=1, m=1\rangle \otimes |+z\rangle = \begin{pmatrix} 1 \\ 0 \\ 0 \end{pmatrix} \otimes \begin{pmatrix} 1 \\ 0 \end{pmatrix} = \begin{pmatrix} 1 \\ 0 \\ 0 \\ 0 \\ 0 \\ 0 \end{pmatrix}$$

$$|\phi_2\rangle = |l=1, m=1\rangle \otimes |-z\rangle = \begin{pmatrix} 1 \\ 0 \\ 0 \end{pmatrix} \otimes \begin{pmatrix} 0 \\ 1 \end{pmatrix} = \begin{pmatrix} 0 \\ 1 \\ 0 \\ 0 \\ 0 \\ 0 \end{pmatrix}$$

$$|\phi_3\rangle = |l=1, m=0\rangle \otimes |+z\rangle = \begin{pmatrix} 0 \\ 1 \\ 0 \end{pmatrix} \otimes \begin{pmatrix} 1 \\ 0 \end{pmatrix} = \begin{pmatrix} 0 \\ 0 \\ 1 \\ 0 \\ 0 \\ 0 \end{pmatrix}$$

$$|\phi_4\rangle = |l=1, m=0\rangle \otimes |-z\rangle = \begin{pmatrix} 0 \\ 1 \\ 0 \end{pmatrix} \otimes \begin{pmatrix} 0 \\ 1 \end{pmatrix} = \begin{pmatrix} 0 \\ 0 \\ 0 \\ 1 \\ 0 \\ 0 \end{pmatrix}$$

$$|\phi_5\rangle = |l=1, m=-1\rangle \otimes |+z\rangle = \begin{pmatrix} 0 \\ 0 \\ 1 \end{pmatrix} \otimes \begin{pmatrix} 1 \\ 0 \end{pmatrix} = \begin{pmatrix} 0 \\ 0 \\ 0 \\ 0 \\ 1 \\ 0 \end{pmatrix}$$

$$|\phi_6\rangle = |l=1, m=-1\rangle \otimes |-z\rangle = \begin{pmatrix} 0 \\ 0 \\ 1 \end{pmatrix} \otimes \begin{pmatrix} 0 \\ 1 \end{pmatrix} = \begin{pmatrix} 0 \\ 0 \\ 0 \\ 0 \\ 0 \\ 1 \end{pmatrix}$$

## 2. The matrix representation of the spin-orbit interaction

$$\frac{\hat{L}_x}{\hbar} = \begin{pmatrix} 0 & \frac{1}{\sqrt{2}} & 0 \\ \frac{1}{\sqrt{2}} & 0 & \frac{1}{\sqrt{2}} \\ 0 & \frac{1}{\sqrt{2}} & 0 \end{pmatrix}, \quad \frac{\hat{L}_y}{\hbar} = \begin{pmatrix} 0 & -\frac{i}{\sqrt{2}} & 0 \\ \frac{i}{\sqrt{2}} & 0 & -\frac{i}{\sqrt{2}} \\ 0 & \frac{i}{\sqrt{2}} & 0 \end{pmatrix}$$

$$\frac{\hat{L}_z}{\hbar} = \begin{pmatrix} 1 & 0 & 0 \\ 0 & 0 & 0 \\ 0 & 0 & -1 \end{pmatrix}$$

$$\frac{\hat{S}_x}{\hbar} = \frac{1}{2}\hat{\sigma}_x = \frac{1}{2}\begin{pmatrix} 0 & 1 \\ 1 & 0 \end{pmatrix}, \quad \frac{\hat{S}_y}{\hbar} = \frac{1}{2}\hat{\sigma}_y = \frac{1}{2}\begin{pmatrix} 0 & -i \\ i & 0 \end{pmatrix}$$

$$\frac{\hat{S}_z}{\hbar} = \frac{1}{2}\hat{\sigma}_z = \frac{1}{2}\begin{pmatrix} 1 & 0 \\ 0 & -1 \end{pmatrix}$$

The spin orbit interaction

$$\hat{H}_{so} = \xi(\hat{L} \cdot \hat{S}) = \xi\hbar^2 \left( \frac{\hat{L} \cdot \hat{S}}{\hbar^2} \right)$$

$$\begin{aligned} \xi \frac{\hat{L} \cdot \hat{S}}{\hbar^2} &= \xi \left( \frac{\hat{L}_x}{\hbar} \otimes \frac{\hat{S}_x}{\hbar} + \frac{\hat{L}_y}{\hbar} \otimes \frac{\hat{S}_y}{\hbar} + \frac{\hat{L}_z}{\hbar} \otimes \frac{\hat{S}_z}{\hbar} \right) \\ &= \xi \begin{pmatrix} \frac{1}{2} & 0 & 0 & 0 & 0 & 0 \\ 0 & -\frac{1}{2} & \frac{1}{\sqrt{2}} & 0 & 0 & 0 \\ 0 & \frac{1}{\sqrt{2}} & 0 & 0 & 0 & 0 \\ 0 & 0 & 0 & 0 & \frac{1}{\sqrt{2}} & 0 \\ 0 & 0 & 0 & \frac{1}{\sqrt{2}} & -\frac{1}{2} & 0 \\ 0 & 0 & 0 & 0 & 0 & \frac{1}{2} \end{pmatrix} \end{aligned}$$

under the basis  $\{|\phi_1\rangle, |\phi_2\rangle, |\phi_3\rangle, |\phi_4\rangle, |\phi_5\rangle, |\phi_6\rangle\}$ . We solve the eigenvalue problem.

### 3. Eigenvalue problem

Eigenvalue

(a) Energy eigenvalue:  $\frac{\xi}{2}$

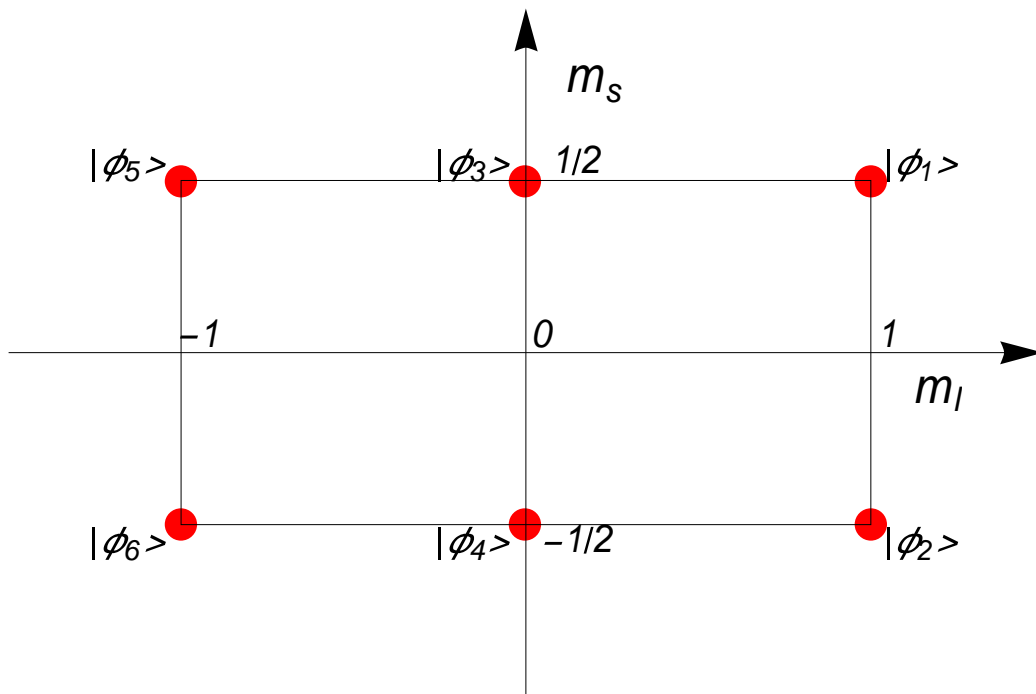
$$|\psi_3\rangle = \left| j = \frac{3}{2}, m = -\frac{3}{2} \right\rangle = \begin{pmatrix} 0 \\ 0 \\ 0 \\ 0 \\ 0 \\ 1 \end{pmatrix}, \quad |\psi_4\rangle = \left| j = \frac{1}{2}, m = -\frac{1}{2} \right\rangle = \begin{pmatrix} 0 \\ 0 \\ 0 \\ \sqrt{2/3} \\ 1/\sqrt{3} \\ 0 \end{pmatrix}$$

$$|\psi_5\rangle = \left| j = \frac{3}{2}, m = \frac{1}{2} \right\rangle = \begin{pmatrix} 0 \\ 1/\sqrt{3} \\ \sqrt{2/3} \\ 0 \\ 0 \\ 0 \end{pmatrix}, \quad |\psi_6\rangle = \left| j = \frac{3}{2}, m = \frac{3}{2} \right\rangle = \begin{pmatrix} 1 \\ 0 \\ 0 \\ 0 \\ 0 \\ 0 \end{pmatrix}$$

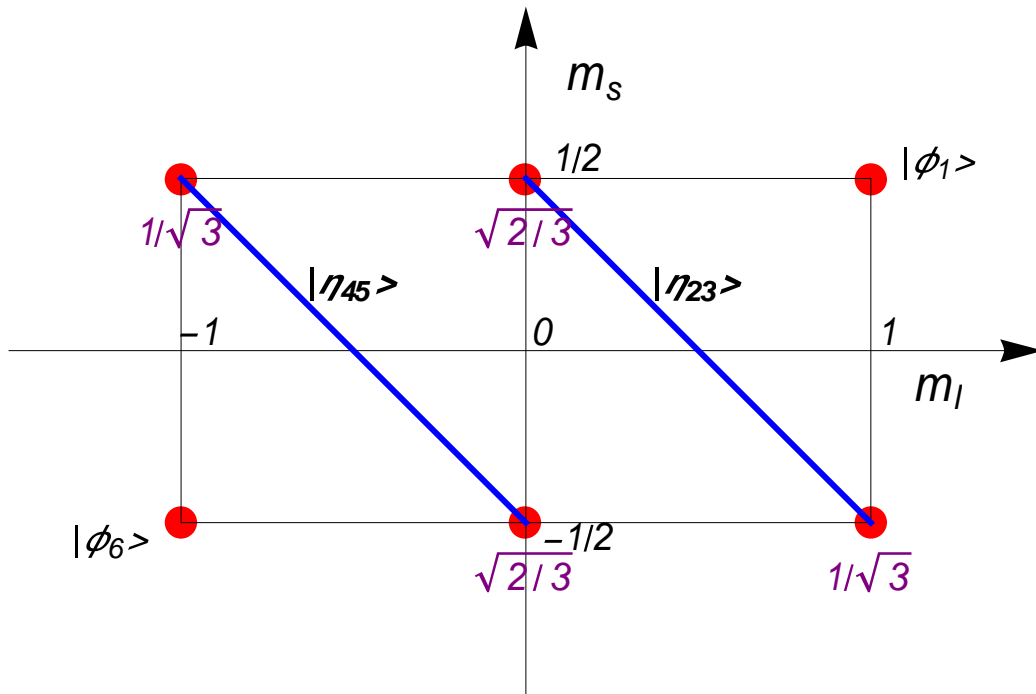
(b) Energy eigenvalue:  $-\xi$

$$|\psi_1\rangle = \left| j = \frac{1}{2}, m = \frac{1}{2} \right\rangle = \begin{pmatrix} 0 \\ 0 \\ 0 \\ 1/\sqrt{3} \\ -\sqrt{2/3} \\ 0 \end{pmatrix}, \quad |\psi_2\rangle = \left| j = \frac{1}{2}, m = -\frac{1}{2} \right\rangle = \begin{pmatrix} 0 \\ \sqrt{2/3} \\ -1/\sqrt{3} \\ 0 \\ 0 \\ 0 \end{pmatrix}$$

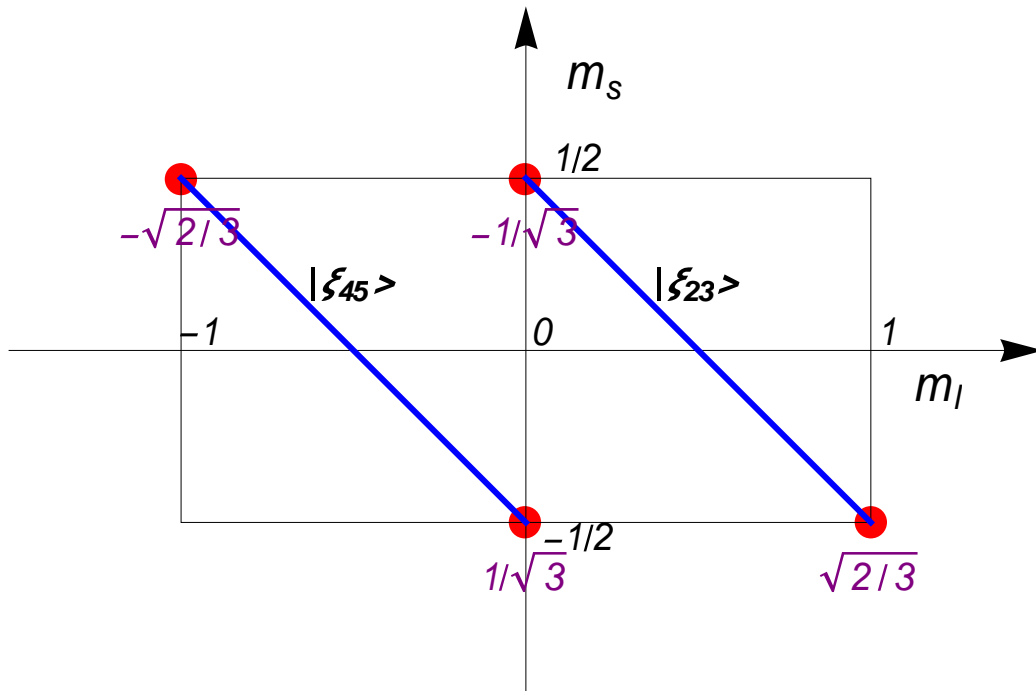
#### 4. Discussion



**Fig.**  $|\phi_1\rangle = \left| m_l = 1, m_s = \frac{1}{2} \right\rangle$ ,  $|\phi_2\rangle = \left| m_l = 1, m_s = -\frac{1}{2} \right\rangle$ ,  $|\phi_3\rangle = \left| m_l = 0, m_s = \frac{1}{2} \right\rangle$ .  
 $|\phi_4\rangle = \left| m_l = 0, m_s = -\frac{1}{2} \right\rangle$ ,  $|\phi_5\rangle = \left| m_l = -1, m_s = \frac{1}{2} \right\rangle$ ,  $|\phi_6\rangle = \left| m_l = -1, m_s = -\frac{1}{2} \right\rangle$ ,



**Fig.**  $\lambda = \frac{1}{2}$ .  $j = \frac{3}{2}$ .  $|\eta_{23}\rangle = |j = \frac{3}{2}, m = \frac{1}{2}\rangle$ .  $|\eta_{45}\rangle = |j = \frac{1}{2}, m = -\frac{1}{2}\rangle$ . The CG coefficients are denoted next to the red points.



**Fig.**  $\lambda = -1$ .  $j = \frac{1}{2}$ .  $|\xi_{23}\rangle = |j = \frac{1}{2}, m = \frac{1}{2}\rangle$ .  $|\xi_{45}\rangle = |j = \frac{1}{2}, m = -\frac{1}{2}\rangle$

$$\hat{H}_{so} = \xi \frac{\hat{\mathbf{L}} \cdot \hat{\mathbf{S}}}{\hbar^2} = \xi \begin{pmatrix} \frac{1}{2} & 0 & 0 & 0 & 0 & 0 \\ 0 & -\frac{1}{2} & \frac{1}{\sqrt{2}} & 0 & 0 & 0 \\ 0 & \frac{1}{\sqrt{2}} & 0 & 0 & 0 & 0 \\ 0 & 0 & 0 & 0 & \frac{1}{\sqrt{2}} & 0 \\ 0 & 0 & 0 & \frac{1}{\sqrt{2}} & -\frac{1}{2} & 0 \\ 0 & 0 & 0 & 0 & 0 & \frac{1}{2} \end{pmatrix}$$

$$\frac{\hat{H}_{so}}{\xi} |\phi_1\rangle = \frac{1}{2} |\phi_1\rangle$$

$$\frac{\hat{H}_{so}}{\xi} |\phi_2\rangle = -\frac{1}{2} |\phi_2\rangle + \frac{1}{\sqrt{2}} |\phi_3\rangle, \quad \frac{\hat{H}_{so}}{\xi} |\phi_3\rangle = \frac{1}{\sqrt{2}} |\phi_2\rangle$$

$$\frac{\hat{H}_{so}}{\xi} |\phi_4\rangle = \frac{1}{\sqrt{2}} |\phi_5\rangle, \quad \frac{\hat{H}_{so}}{\xi} |\phi_5\rangle = \frac{1}{\sqrt{2}} |\phi_4\rangle - \frac{1}{2} |\phi_5\rangle$$

$$\frac{\hat{H}_{so}}{\xi} |\phi_6\rangle = \frac{1}{2} |\phi_6\rangle$$

So  $|\phi_1\rangle$  and  $|\phi_6\rangle$  are the eigenkets of  $\frac{\hat{H}_{so}}{\xi}$  with the eigenvalue  $\frac{1}{2}$ .

(i)

$|\phi_2\rangle$  and  $|\phi_3\rangle$  form a subsystem of  $\frac{\hat{H}_{so}}{\xi}$ . The matrix of  $\frac{\hat{H}_{so}}{\xi}$  under the basis  $\{|\phi_2\rangle, |\phi_3\rangle\}$  is obtained as

$$\hat{A} = \begin{pmatrix} -\frac{1}{2} & \frac{1}{\sqrt{2}} \\ \frac{1}{\sqrt{2}} & 0 \end{pmatrix}$$

We solve the eigenvalue problem.

$$\text{Eigenvalue } -1: \quad |\xi_{23}\rangle = \sqrt{\frac{2}{3}}|\phi_2\rangle - \frac{1}{\sqrt{3}}|\phi_3\rangle$$

$$\text{Eigenvalue } \frac{1}{2} \quad |\eta_{23}\rangle = \frac{1}{\sqrt{3}}|\phi_2\rangle + \sqrt{\frac{2}{3}}|\phi_3\rangle$$

(ii)

$|\phi_2\rangle$  and  $|\phi_3\rangle$  form a subsystem of  $\frac{\hat{H}_{so}}{\xi}$ . The matrix of  $\frac{\hat{H}_{so}}{\xi}$  under the basis  $\{|\phi_2\rangle, |\phi_3\rangle\}$  is obtained as

$$\hat{B} = \begin{pmatrix} 0 & \frac{1}{\sqrt{2}} \\ \frac{1}{\sqrt{2}} & \frac{1}{2} \end{pmatrix}$$

We solve the eigenvalue problem.

$$\text{Eigenvalue } -1: \quad |\xi_{45}\rangle = \frac{1}{\sqrt{3}}|\phi_4\rangle - \sqrt{\frac{2}{3}}|\phi_5\rangle$$

$$\text{Eigenvalue } \frac{1}{2} \quad |\eta_{45}\rangle = \sqrt{\frac{2}{3}}|\phi_4\rangle + \frac{1}{\sqrt{3}}|\phi_5\rangle$$

We note that

$$2\lambda = j(j+1) - l(l+1) - s(s+1) = j(j+1) - 2 - \frac{3}{4} = j(j+1) - \frac{11}{4}$$

$$\text{When } \lambda = -1, \quad j = \frac{1}{2}$$

since



$$j(j+1) - \frac{11}{4} = -2, \quad \text{or} \quad j^2 + j - \frac{3}{4} = 0 \quad \left(j + \frac{3}{2}\right)\left(j - \frac{1}{2}\right) = 0.$$

When  $\lambda = \frac{1}{2}$ ,  $j = \frac{3}{2}$

since

$$j(j+1) - \frac{11}{4} = 1, \quad \text{or} \quad j^2 + j - \frac{15}{4} = 0 \quad \left(j - \frac{3}{2}\right)\left(j + \frac{5}{2}\right) = 0.$$

### 5. Clebsch-Gordan coefficient

The above discussion is confirmed from the derivation of the Clebsch-Gordan co-efficient for  $l = 1$  and  $s = 1/2$ .

(a)  $j = \frac{3}{2}$

$$\left|j = \frac{3}{2}, m = \frac{3}{2}\right\rangle = |l = 1, m_l = 1\rangle \otimes |s = 1/2, m_s = 1/2\rangle$$

$$\begin{aligned} \left|j = \frac{3}{2}, m = \frac{1}{2}\right\rangle &= \frac{1}{\sqrt{3}} |l = 1, m_l = 1\rangle \otimes |s = 1/2, m_s = -1/2\rangle \\ &+ \sqrt{\frac{2}{3}} |l = 1, m_l = 0\rangle \otimes |s = 1/2, m_s = 1/2\rangle \end{aligned}$$

$$\begin{aligned} \left|j = \frac{3}{2}, m = -\frac{1}{2}\right\rangle &= \sqrt{\frac{2}{3}} |l = 1, m_l = 0\rangle \otimes |s = 1/2, m_s = -1/2\rangle \\ &+ \frac{1}{\sqrt{3}} |l = 1, m_l = -1\rangle \otimes |s = 1/2, m_s = 1/2\rangle \end{aligned}$$

$$\left|j = \frac{3}{2}, m = -\frac{3}{2}\right\rangle = |l = 1, m_l = -1\rangle \otimes |s = 1/2, m_s = -1/2\rangle$$

(b)  $j = \frac{1}{2}$

$$\begin{aligned} \left| j = \frac{1}{2}, m = \frac{1}{2} \right\rangle &= \sqrt{\frac{2}{3}} |l = 1, m_l = 1\rangle \otimes |s = 1/2, m_s = -1/2\rangle \\ &\quad - \frac{1}{\sqrt{3}} |l = 1, m_l = 0\rangle \otimes |s = 1/2, m_s = 1/2\rangle \end{aligned}$$

$$\begin{aligned} \left| j = \frac{1}{2}, m = -\frac{1}{2} \right\rangle &= \frac{1}{\sqrt{3}} |l = 1, m_l = 0\rangle \otimes |s = 1/2, m_s = -1/2\rangle \\ &\quad - \sqrt{\frac{2}{3}} |l = 1, m_l = -1\rangle \otimes |s = 1/2, m_s = 1/2\rangle \end{aligned}$$

**((Mathematica))**

```
Clear["Global`*"];
```

```
CCGG[{j1_, m1_}, {j2_, m2_}, {j_, m_}] :=  
Module[{s1},  
  s1 = If[Abs[m1] ≤ j1 && Abs[m2] ≤ j2 && Abs[m] ≤ j,  
    ClebschGordan[{j1, m1}, {j2, m2}, {j, m}], 0]]
```

```
CG[{j_, m_}, j1_, j2_] :=  
Sum[CCGG[{j1, m1}, {j2, m - m1}, {j, m}] a[j1, m1]  
  b[j2, m - m1], {m1, -j1, j1}]
```

$j_1 = 1$  and  $j_2 = 1/2$

$j = 3/2$

```
j1 = 1; j2 = 1 / 2;
```

```
CG[{3 / 2, 3 / 2}, j1, j2]
```

$$a[1, 1] b\left[\frac{1}{2}, \frac{1}{2}\right]$$

```
CG[{3 / 2, 1 / 2}, j1, j2]
```

$$\frac{a[1, 1] b\left[\frac{1}{2}, -\frac{1}{2}\right]}{\sqrt{3}} + \sqrt{\frac{2}{3}} a[1, 0] b\left[\frac{1}{2}, \frac{1}{2}\right]$$

**CG**[{3 / 2, -1 / 2}, **j1**, **j2**]

$$\sqrt{\frac{2}{3}} a[1, 0] b\left[\frac{1}{2}, -\frac{1}{2}\right] + \frac{a[1, -1] b\left[\frac{1}{2}, \frac{1}{2}\right]}{\sqrt{3}}$$

**CG**[{3 / 2, -3 / 2}, **j1**, **j2**]

$$a[1, -1] b\left[\frac{1}{2}, -\frac{1}{2}\right]$$

**j=1/2**

**CG**[{1 / 2, 1 / 2}, **j1**, **j2**]

$$\sqrt{\frac{2}{3}} a[1, 1] b\left[\frac{1}{2}, -\frac{1}{2}\right] - \frac{a[1, 0] b\left[\frac{1}{2}, \frac{1}{2}\right]}{\sqrt{3}}$$

**CG**[{1 / 2, -1 / 2}, **j1**, **j2**]

$$\frac{a[1, 0] b\left[\frac{1}{2}, -\frac{1}{2}\right]}{\sqrt{3}} - \sqrt{\frac{2}{3}} a[1, -1] b\left[\frac{1}{2}, \frac{1}{2}\right]$$